

LEVEL II



DTIC FILE COPY ADA109318

SEMI-FORMAL DESCRIPTION OF KVM/370 TRUSTED PROCESSES

D. H. THOMPSON

DTIC
SELECTED
S JAN 5 1982 D
D

9 DECEMBER 1977

CLEARED
FOR OPEN PUBLICATION

DEC 11 1981 3

SLC-TM-6062/111/00

3427

DIRECTORATE FOR FREEDOM OF INFORMATION
AND SECURITY REVIEW (OASD-PA)
DEPARTMENT OF DEFENSE

331710

DISTRIBUTION STATEMENT A

Approved for public release
Distribution Unlimited

81 12 22 106

9 December 1977

System Development Corporation

TM-6062/111/00

Contract MDA903-76-C-0250

KVM/370
Trusted Processes
Semi-Formal Description

This document contains a semi-formal description of the trusted processes of the kernelized VM/370 operating system. The formal specification and a gross description of the five trusted processes are contained in document TM-6062/101/00, "KVM/370 Formal Specification".

Accession For	
NTIS GRA&I	<input checked="" type="checkbox"/>
DTIC TAB	<input type="checkbox"/>
Unannounced	<input type="checkbox"/>
Justification	
By _____	
Distribution/	
Availability Codes	
Dist	Avail and/or Special
P	

Operator Process
Semi-Formal Description

This section contains a semi-formal description of the Operator Process of KVM/370.

Data Types

primitive types and structuring mechanisms:

```
boolean [unordered, two elements: true, false]
string [unbounded, predefined string of length zero: nil]
integer subrange

scalar [ordered element list]
list [of any type, predefined empty list: nil]
set [of any type, predefined empty set: nil]
record [field list]
```

undefined types:

```
ProcessName
MessageId
CommandName

ConsoleOutputStatus: scalar(
    Continuing,
    Idle)

ResponseStatus: scalar(
    NoResponse,
    Responded)

RequestCategory: scalar(
    OpRequest,
    ReadOpRequest,
    PrintOpMsg,
    MapUserId)
```

```
Answer:
record
    HMS: string
    Text: string
end
```

9 December 1977
Operator Process

System Development Corporation
TM-6062/111/00

ResponseSlot:
record
 Respondent: ProcessName
 Text: string
 State: ResponseStatus
end

PendingRequest:
record
 MsgId: MessageId
 Kind: RequestCategory
 Command: CommandName
 Responses: set of ResponseSlot
end

LogLine:
record
 Num: 1..99
 Line: string
end

9 December 1977
Operator Process

System Development Corporation
TM-6062/111/00

Data Structures

Answers: list of Answer

PendingRequests: set of PendingRequest

LogMessage: set of LogLine

CommandExpected: boolean

ConsoleOutputState: ConsoleOutputStatus

CurrentNkcps: set of ProcessName

9 December 1977
Operator Process

System Development Corporation
TM-6062/111/00

Initial Conditions

```
Empty[Answers]
&
Empty[PendingRequests]
&
Empty[LogMessage]
&
CommandExpected
&
ConsoleOutputState = Idle
&
Empty[CurrentNkcps]
```

9 December 1977
Operator Process

System Development Corporation
TM-6062/111/00

Invariant Assertions

```
for all (P1,P2:PendingRequest) in PendingRequests:  
  (P1.MsgId = P2.MsgId => P1 = P2)  
&  
for all (P:PendingRequest) in PendingRequests:  
  (for all (R1,R2:ResponseSlot) in P.Responses:  
    (R1.Respondent = R2.Respondent => R1 = R2)  
  &  
  for some (R:ResponseSlot) in P.Responses:  
    (R.State = NoResponse)  
  &  
  ~Empty(P.Responses))  
&  
for all (L1,L2:LogLine) in LogMessage:  
  (L1.Num = L2.Num => L1 = L2)
```

9 December 1977
Operator Process

System Development Corporation
TM-6062/111/00

Global Macros / Functions

primitive macros/functions:

Head([list]
Tail([list]
Empty([list/set])
Append([list/set,entry])
Insert([list,entry])

(as yet) undefined macros / functions:

ClockRead
MsgName
Destination
DeviceType

9 December 1977
Operator Process

System Development Corporation
TM-6062/111/00

MagNet: process

/t subdriver of OpProcess,
handling messages from Network Process //

given: MsgId: MessageId
Text: string

entry: just received message, Source = NetworkProcess

action: if for some (P:PendingRequest) in PendingRequests:
P.MsgId = MsgId

then /t response to request //

case P.Kind: RequestCategory of

PrintOpMsg:

case MagName[Text] of

OpMsgPrinted:

if Empty[Answers]

then ConsoleOutputState <-

Idle

else KernelCall[SendMessage(

PrintOpMsg[

Head[Answers]],

NetworkProcess)]

ConsoleOutputState <-

Continuing

Answers <-

Tail[Answers]

)

end

OpHitAttn:

CommandExpected <- true

ConsoleOutputState <- Idle

KernelCall[SendMessage(

ReadOpRequest,

NetworkProcess)]

other:

error

end

```
ReadOpRequest:  
  case MsgName[Text] of  
    OpRequestRead:  
      ProcessCommand[Text]  
      if CommandExpected  
        &  
        ConsoleOutputState = Idle  
        &  
        ~Empty[Answers]  
        then KernelCall[SendMessage(  
          PrintOpMsg[  
            Head[Answers]],  
          NetworkProcess)]  
        ConsoleOutputState <-  
          Continuing  
        Answers <-  
          Tail[Answers]  
      end  
  
    OpHitAttn:  
      CommandExpected <- true  
      ConsoleOutputState <- Idle  
      KernelCall[SendMessage(  
        ReadOpRequest,  
        NetworkProcess)]  
  
    other:  
      error  
  end  
  
OpRequest:  
  error on MsgName[Text] ~~ ResponseToOpRequest  
  ProcessResponse  
  
  other:  
    error  
  end  
else /* request */  
  case MsgName[Text] of  
    OpHitAttn:  
      CommandExpected <- true  
      ConsoleOutputState <- Idle  
      KernelCall[SendMessage(  
        ReadOpRequest,  
        NetworkProcess)]  
  
    OperatorRequest:  
      Answers <- Append[Answers,  
        <HMS = ClockRead[],  
        Text = Text>]
```

9 December 1977
Operator Process

System Development Corporation
TM-6062/111/00

others:
error
end
end MagNet

MsgAuth: process

```
/w subdriver of OpProcess,
    handling messages from the Authorization Process w/
given: MsgId: MessageId
      Text: string
entry: just received message, Source = AuthProcess
action: if for some (P:PendingRequest) in PendingRequests:
        P.MsgId = MsgId
        then /w response to request w/
            case P.Kind:RequestCategory of
                OpRequest:
                    error on MsgName[Text]
                    ~~ ResponseToOpRequest
                    ProcessResponse

                MapUserId:
                    error on MsgName[Text]
                    ~~ MappedUserId
                    case P.Command:CommandName of
                        FORCE+USERID,
                        MESSAGE+USERID,
                        WARNING+USERID:
                            OpCat3a
                            INDICATE+USER,
                            LOCK+USERID,
                            QUERY+PRIORITY,
                            SET+FAVORED,
                            SET+RESERVED,
                            SET+PRIORITY,
                            UNLOCK+USERID,
                            LOCATE+USERID:
                                OpCat3b
                        ACNT+USERIDS:
                            OpCat7
                        other:
                            error
                    end
                other:
                    error
            end
```

9 December 1977
Operator Process

System Development Corporation
TM-6062/111/00

```
else /* request */
case MsgName[Text] of
  OperatorRequest:
    Answers <- append[Answers,
      <HMS = ClockRead[], 
      Text = Text>]

    AddNkcp:
      Auth1

    DeleteNkcp:
      Auth2

    other:
      error
  end
end
```

9 December 1977
Operator Process

System Development Corporation
TM-6062/111/00

MsgAcnt: process

```
/* subdriver of OpProcess,
   handling messages from the Accounting Process */

given: MsgId: MessageId
       Text: string

entry: just received message, Source = AcntProcess

action: if for some (P.PendingRequest) in PendingRequests:
           P.MsgId = MsgId
           then /* response to request */
               error on ~(P.Kind = OpRequest
               &
               MsgName[Text] = ResponseToOpRequest)
           ProcessResponse
       else /* request */
           error on MsgName [Text] ~= OperatorRequest
           Answers <- Append[Answer, <HMS = ClockRead[],
                           Text = Text>]

end
end MsgAcnt
```

9 December 1977
Operator Process

System Development Corporation
TM-6062/111/00

```
MsgNkcp: process
    /* subdriver of OpProcess,
       handling messages from all Nkcps */
    given: MsgId: MessageId
           Text: String
           Process: ProcessName
    entry: just received message, Source = Process (an Nkcp)
    action: if for some (P:PendingRequest) in PendingRequests:
              P.MsgId = MsgId
              then /* response to request */
                  error on (P.Kind == OpRequest)
                  or
                  (MsgName[Text] == ResponseToOpRequest)
              else /* request */
                  error on MsgName[Text] == OperatorRequest
                  Answers <- Append[Answers, <HMS = ClockRead[], 
                                  Text = Text>]
    end
end MsgNkcp
```

9 December 1977
Operator Process

System Development Corporation
TM-6062/111/00

```
macro ProcessCommand(Text:string) =  
  if CommandExpected  
    then case CommandName[Text] of  
      DIAL,  
      LOGON,  
      LOGOFF,  
      SET-RECORD, SET-MODE,  
      SLEEP,  
      UNLOCK-SYSTEM, UNLOCK-VIRT,  
      ATTACH-CHANNEL,  
      DETACH-CHANNEL,  
      DISCONN,  
      SAVESYS:  
        OpCat0  
  
      AUTOLOG,  
      INDICATE-I0, INDICATE-PAGING,  
      LOCK-SYSTEM,  
      MONITOR-NKCP,  
      NETWORK,  
      QUERY-PAGING, QUERY-SASSIST-NKCP,  
      QUERY-DASD, QUERY-TAPES  
      QUERY-LINES, QUERY-GRAF,  
      QUERY-SYSTEM, QUERY-USERS,  
      QUERY-NAMES,  
      QUERY-USERID, QUERY-UR,  
      QUERY-DUMP, QUERY-FILES,  
      QUERY-READER, QUERY-PRINTER,  
      QUERY-PUNCH, QUERY-HOLD,  
      SET-SASSIST-NKCP, SET-DUMP,  
      UNLOCK-SYSTEM,  
      BACKSPAC,  
      CHANGE,  
      DRAIN,  
      FLUSH,  
      FREE,  
      HOLD,  
      ORDER,  
      PURGE,  
      REPEAT,  
      SPACE,  
      START,  
      TRANSFER,  
      DISABLE,  
      ENABLE,  
      LOADBUF,  
      ACNT-PUNCH,  
      DCP,  
      DMCP,  
      STCP:  
        OpCat1
```

```
FORCE->ALL,
INDICATE->LOAD, INDICATE->QUEUES,
MESSAGE->ALL,
MONITOR->ALL,
QUERY->SASSIST->ALL, QUERY->TASK,
SET->SASSIST->ALL,
WARNING->ALL,
ACNT->ALL:
    OpCat2

FORCE->USERID,
INDICATE->USER,
LOCK->USERID,
MESSAGE->USERID,
QUERY->PRIORITY,
SET->FAVORED, SET->RESERVED,
SET->PRIORITY,
UNLOCK->USERID,
WARNING->USERID,
LOCATE->USERID,
ACNT->USERIDS:
    OpCat6

QUERY->RADDR,
ATTACH->RADDR,
DETACH->RADDR,
VARY,
LOCATE->RADDR:
    OpCat4

HALT,
QUERY->STORAGE:
    OpCat5

QUERY->LOGMSG:
    OpCat8a

SET->LOGMSG:
    OpCat8b

QUERY->ALL:
    OpCat9

SHUTDOWN:
    OpCat10

other:
    error /* specification error */

end
else /* must be set logmsg */
    OpCat8c
end
```

9 December 1977
Operator Process

System Development Corporation
TM-6062/111/08

OpDriver: process

```
    case HowWeGotHere of
        ExternalInterrupt:
            case InterruptSubType of
                Message:
                    case Source of
                        AuthProcess:      MsgAuth
                        URPProcess:       MsgUR
                        Nkcp:             MsgNkcp
                        IOPagingScheduler: MsgIOPS
                        IOScheduler:      MsgIOS
                        NetworkProcess:   MsgNet
                        DumpProcessor:    MsgDump
                        AcntProcessor:    MsgAcnt
                        other:             /* anybody else talk
                                            to OpProcess? */

                    end
                other:
                    /* any other external interrupts? */
            end
        other:
            /* any other important interrupt classes? */
    end

    KernelCall [ReceiveInterrupts]
    KernelCall [ReleaseCPU]
end OpDriver
```

9 December 1977
Operator Process

System Development Corporation
TM-6062/111/00

Entry / Exit Conditions

OpCat0: Not legal

commands:

DIAL
LOGON
LOGOFF
SET+RECORD
SET+MODE
SLEEP
UNLOCK-SYSTEM
UNLOCK-VIRT
ATTACH-CHANNEL
DETACH-CHANNEL
DISCONN
SAVESYS

exit: N"Answers = Append[Answers,ErrorMessage]
N"CommandExpected = true

where

ErrorMessage = <HMS = ClockRead(),
Text = "Not a legal command.">

9 December 1977
Operator Process

System Development Corporation
TM-6062/111/00

OpCat1: Single message sent
No mappings
Single response expected (unless marked by *)

commands:

AUTOLOG	QUERY+PAGING	*SET+DUMP
INDICATE+IO	+SASSIST+NKCP	UNLOCK+SYSTEM
+PAGING	+DASD	BACKSPAC
LOCK+SYSTEM	+TAPES	CHANGE
MONITOR+NKCP	+LINES	DRAIN
NETWORK	+GRAF	FLUSH
	+SYSTEM	FREE
	+NAMES	HOLD
	+USERS	ORDER
	+USERID	PURGE
	+UR	REPEAT
	+DUMP	SPACE
	+FILES	START
	+READER	TRANSFER
	+PRINTER	DISABLE
	+PUNCH	ENABLE
	+HOLD	LOADBUF
	SET+SASSIST+NKCP	ACNT+PUNCH
		OCP
		OMCP
		STCP

exit: N"PendingRequests = Append(PendingRequests,Entry)
N"CommandExpected = true

where

```
Entry = <MsgId = new(OpMsg),  
        Kind = OpRequest,  
        Command = Command,  
        Responses = set:  
        !<Respondent = Destination(Command),  
          Text = nil,  
          State = NoResponse>>
```

KernelCalled[SendMessage(Destination(Command))]

9 December 1977
Operator Process

System Development Corporation
TM-6062/111/00

OpCat2: Multiple messages sent -- one to each NKCP
 No mappings
 Multiple responses expected (unless marked by *)

commands:

:FORCE+ALL
INDICATE+LOAD
INDICATE+QUEUES
MESSAGE+ALL
MONITOR+ALL
QUERY+SASSIST+ALL
QUERY+TASK
SET+SASSIST+ALL
WARNING+ALL
ACNT+ALL

exit: N"PendingRequests = Append(PendingRequests,Entry)
N"CommandExpected = true

where

Entry = <MsgId = new(OpMsg),
Kind = OpRequest,
Command = Command,
Responses = set: {for all (N:ProcessName)
in CurrentNkcps:
<Respondent = N,
Text = nil,
State = NoResponse>}>

for all (N:ProcessName) in CurrentNkcps:
KernelCalled[SendMessage(N)]

9 December 1977
Operator Process

System Development Corporation
TM-6062/111/00

OpCat3: Single message sent
Mapping: user id -> NKCP id (already performed)
OpCat3a: No response expected (marked by *)
OpCat3b: Single response expected

commands:

*FORCE->USERID
INDICATE->USER
LOCK->USERID
*MESSAGE->USERID
QUERY->PRIORITY
SET->FAVORED
SET->RESERVED
SET->PRIORITY
UNLOCK->USERID
*WARNING->USERID
LOCATE->USERID

given: Nkcps: set of ProcessName

entry: for all (N1:ProcessName) in Nkcps:
for some (N2:ProcessName)
in CurrentNkcps:
 N1 = N2

exit: N"PendingRequests = Append(PendingRequests,Entry)
N"CommandExpected = true

where:
Entry = <MsgId = new(OpMsg),
 Kind = OpRequest,
 Command = Command,
 Responses = set: (for all (N:ProcessName)
 in Nkcps:
 <Respondent = N,
 Text = nil,
 State = NoResponse>)>

for all (N:ProcessName) in Nkcps:
 KernelCalled(SendMessage(N))

OpCat4: Single message sent to:
 either URPProcess or AuthProcess
Mapping: raddr -> device type
Single response expected

commands:

QUERY-RADDR
ATTACH-RADDR
DETACH-RADDR
VARY
LOCATE-RADDR

given: Raddr: string

exit: N"PendingRequests =
 if DeviceType[Raddr] = UnitRecord
 then Append[PendingRequests,Entry1]
 else Append[PendingRequests,Entry2]
 end
N"CommandExpected = true

where

Entry1 = <MsgId = new[OpMsg],
 Kind = OpRequest,
 Command = Command,
 Responses = set:
 |<Respondent = URPProcess,
 Text = nil,
 State = NoResponse>|>
Entry2 = <MsgId = new[OpMsg],
 Kind = OpRequest,
 Command = Command,
 Responses = set:
 |<Respondent = AuthProcess,
 Text = nil,
 State = NoResponse>|>

if DeviceType[Raddr] = UnitRecord
 then KernelCalled[SendMessage(URPProcess)]
 else KernelCalled[SendMessage(AuthProcess)]
end

9 December 1977
Operator Process

System Development Corporation
TM-6062/111/00

```
( OpCat5:      Kernel call
                No message sent
                Command answered

        commands:
                HALT
                QUERY-STORAGE

        exit:  N"Answers = Append[Answers,Entry]
                N"CommandExpected = true

                KernelCalled[Command(KResponse)]
                where
                KResponse: string
                Entry = <HMS = ClockRead(),
                        Text = KResponse>
```

OpCat6: Single message to AuthProcess,
to perform user id -> NKCP id mapping function
Response expected

commands:

all commands in Op categories 3 and 7

exit: N"PendingRequests = Append(PendingRequests,Entry)
N"CommandExpected = true

where

Entry = <MsgId = new(OpMsg),
Kind = 'mapUserId',
Command = Command,
Response = set:
 |<Respondent = AuthProcess,
 |Text = nil,
 |State = NoResponse>>

KernelCalled(SendMessage(AuthProcess))

9 December 1977
Operator Process

System Development Corporation
TM-6062/111/00

OpCat7: Multiple messages to a subset of NKCPs
Response expected from each
Mapping: user id -> NKCP id (already performed)

commands:

ACNT->USERIDS

given: Nkcpss: set of ProcessName

entry: for all (N1:ProcessName) in Nkcpss:
 for some (N2:ProcessName) in CurrentNkcpss:
 N1 = N2

exit: N"PendingRequests = Append(PendingRequests,Entry)
 N"CommandExpected = true

 for all (N:ProcessName) in Nkcpss:
 KernelCalled(SendMessage(N))

where

Entry = <MsgId = new(OpMsg),
 Kind = OpRequest,
 Command = Command,
 Responses: set:
 (for all (N:ProcessName) in Nkcpss:
 <Respondent = N,
 Text = nil,
 State = NoResponse>)>

9 December 1977
Operator Process

System Development Corporation
TM-6062/111/00

OpCat8: LogMessage processing

commands:
QUERY->LOGMSG
SET->LOGMSG

OpCat8a:

QUERY->LOGMSG:
exit: N"Answers = Append[Answers,Entry]
N"CommandExpected = true

where
Entry = <HMS = ClockRead[],
Text = MakeString[LogMessage]>

OpCat8b:

SET->LOGMSG:
exit: N"Answers = Insert[Answers,Prompt]
N"CommandExpected = false

where
Prompt = <HMS = nil,
Text = "LOGMSG:>

OpCat8c:

LogMsg Line Received
given: Line#: 1..99
Line: string

entry: CommandExpected = false

exit: N"CommandExpected = true
N"LogMessage =
 if for some (L:LogLine) in LogMessage:
 (L.Num = Line#)
 then Append[Remove[LogMessage,L],Entry]
 else Append[LogMessage,Entry]
 end

where
Entry = <Num = Line#,
Line = Line>

9 December 1977
Operator Process

System Development Corporation
TM-6062/111/00

OpCat9: Multiple messages to:
 AuthProcess and URProcess
 Kernel call
 No mappings
 Multiple responses expected

command: QUERY+ALL

exit: N"PendingRequests = Append(PendingRequests,Entry)
 N"CommandExpected = true

 KernelCalled[Storage (KResponse)]
 KernelCalled[SendMessage (AuthProcess)]
 KernelCalled[SendMessage (URProcess)]

where
KResponse: String
Entry = <MsgId = new(OpMsg),
 Kind = OpRequest,
 Command = Command,
 Responses = set:
 {<Respondent = Kernel,
 Text = KResponse,
 State = Responded>,
 <Respondent = AuthProcess,
 Text = nil,
 State = NoResponse>,
 <Respondent = URProcess,
 Text = nil,
 State = NoResponse>} >

9 December 1977
Operator Process

System Development Corporation
TM-6062/111/00

OpCat10: Multiple messages to:
 AuthProcess, URPProcess, and all NKCPs
 Kernel call
 No mappings
 No responses expected

command:
 SHUTDOWN

exit: N"CommandExpected = true

KernelCalled[Shutdown]
KernelCalled[SendMessage(AuthProcess)]
KernelCalled[SendMessage(URProcess)]
KernelCalled[SendMessage(AcntProcess)]
for all (N:ProcessName) in CurrentNkcpss:
 KernelCalled[SendMessage(N)]

9 December 1977
Operator Process

System Development Corporation
TM-5062/111/00

Auth1: Add Nkcp

given: Nkcp: ProcessName
entry: true
exit: N"CurrentNkcps = Append(CurrentNkcps,Nkcp)

9 December 1977
Operator Process

System Development Corporation
TM-6062/111/00

Auth2: Purge Nkcp

given: Nkcp: ProcessName
entry: for some (N:ProcessName) in CurrentNkcps:
 N = Nkcp
exit: N"CurrentNkcps = Remove[CurrentNkcps,Nkcp]

Unit Record Process
Semi-Formal Description

This section contains a semi-formal description of the Unit Record Process of KVM/370.

Data Types

primitive types and structuring mechanisms:

```
boolean [unordered, two elements: true, false]
string [unbounded, predefined string of length zero: nil]
integer subrange

scalar [ordered element list]
set [of any type, predefined empty set: nil]
record [field list]
union [list of types or data structures]
```

undefined types:

```
DeviceAddress
HardwareStatus
MessageId
ProcessName
```

```
Class: scalar(
    Aye,
    Bee,
    Cee,
    All)
```

```
ResponseStatus: scalar(
    NoResponse,
    Responded)
```

```
RelDevRequestStatus: scalar( /* Relinquish Device Request Status */
    NoNeed,
    ShouldSend,
    Sent)
```

9 December 1977
Unit Record Process

System Development Corporation
TM-6062/111/00

```
CommandName: scalar(
    QUERY-UR-ALL,
    QUERY-RADOR,
    QUERY-FILES-USERID, QUERY-FILES-ALL,
    QUERY-ROPRPU-USERID, QUERY-ROPRPU-SPOOLID,
    QUERY-ROPRPU-ALL,
    QUERY-HOLD,
    BACKSPAC,
    CHANGE-USERID, CHANGE-SYSTEM,
    DRAIN,
    FLUSH,
    FREE,
    HOLD,
    ORDER-USERID, ORDER-SYSTEM,
    PURGE-USERID, PURGE-SYSTEM,
    REPEAT,
    SPACE,
    TRANSFER,
    LOCATE)
```

```
RequestCategory: scalar(
    OpRequest,
    MapUserId,
    NeedNkcp,
    RelinquishDevice)
```

```
InputDeviceStatus: scalar(
    SecurityHeader,
    SecurityHeaderWaitForReady,
    AttachPending,
    AttachedToSpoolingProcess,
    Available,
    AttachedToUser,
    DetachPending,
    OffLine)
```

```
OutputDeviceStatus: scalar(
    SecurityHeader,
    SecurityHeaderWaitForReady,
    AttachedToSpoolingProcess,
    SecurityTrailer,
    SecurityTrailerWaitForReady,
    Available,
    AttachedToUser,
    DetachPending,
    OffLine,
    LoadbufPending,
    LoadbufWaitForReady)
```

9 December 1977
Unit Record Process

System Development Corporation
TM-6062/111/00

```
TapeDriveStatus: scalar(
    Available,
    Attached,
    Offline,
    DetachPending)

ActivityStatus: scalar(
    NotSpooling,
    Drained,
    Started,
    Draining)

SpoolId:
record
    Process: ProcessName
    File: 0..999
end

ODRequestStatus: scalar(
    Processing,
    WaitingForDevice)

OutputDeviceRequest:
record
    Process: ProcessName
    RequestedC: less: set of Class
    AttachedDev: DeviceAddress
    State: ODRequestStatus
end

TapeDriveEntry:
record
    Raddr: DeviceA less
    State: TapeDriveStatus
    AttachedProcess: ProcessName
end

ResponseSlot:
record
    Respondent: ProcessName
    Text: string
    State: ResponseStatus
end
```

9 December 1977
Unit Record Process

System Development Corporation
TM-6062/111/00

PendingRequest:

```
record
  MsgId: MessageId
  Kind: RequestCategory
  Command: CommandName
  Responses: set of ResponseSlot
end
```

ReaderEntry:

```
record
  RAddr: DeviceAddress
  State: ActivityStatus
  CyclePosition: InputDeviceStatus
  AttachedProcess: ProcessName
  ClassesServedCurrently: set of Class
  ClassesServedNextCycle: set of Class
  ChannelStatusWord: HardwareStatus
  LineBuffer: string
end
```

PrinterEntry:

```
record
  RAddr: DeviceAddress
  State: ActivityStatus
  CyclePosition: OutputDeviceStatus
  AttachedProcess: ProcessName
  ClassesServedCurrently: set of Class
  ClassesServedNextCycle: set of Class
  RelinquishDeviceRequestState: RelDevRequestStatus
  ChannelStatusWord: HardwareStatus
end
```

PunchEntry:

```
record
  RAddr: DeviceAddress
  State: ActivityStatus
  CyclePosition: OutputDeviceStatus
  AttachedProcess: ProcessName
  ClassesServedCurrently: set of Class
  ClassesServedNextCycle: set of Class
  RelinquishDeviceRequestState: RelDevRequestStatus
  ChannelStatusWord: HardwareStatus
end
```

9 December 1977
Unit Record Process

System Development Corporation
TM-6062/111/00

NkcpEntry:

```
record
  Process: ProcessName
  UsableReaders: set of DeviceAddress
  UsablePrinters: set of DeviceAddress
  UsablePunches: set of DeviceAddress
  UsableTapeDrives: set of DeviceAddress
end
```

DeviceEntry: union of(
 ReaderEntry,
 PrinterEntry,
 PunchEntry)

OutputDeviceEntry: union of(
 PrinterEntry,
 PunchEntry)

9 December 1977
Unit Record Process

System Development Corporation
TM-6062/111/00

Data Structures

ShuttingDown: boolean
Readers: set of ReaderEntry
Printers: set of PrinterEntry
Punches: set of PunchEntry
TapeDrives: set of TapeDriveEntry
PrinterSpoolRequests: set of OutputDeviceRequest
PunchSpoolRequests: set of OutputDeviceRequest
CurrentNkcps: set of NkcpEntry
PendingRequests: set of PendingRequest
Devices: union of (Readers, Printers, Punches)

(Initial Conditions

```
Empty(PendingRequests)
&
Empty(CurrentNkops)
&
Empty(PrinterSpoolRequests)
&
Empty(PunchSpoolRequests)
&
(~ShuttingDown)
&
for all (R:ReaderEntry) in Readers:
    (ReaderInInitialState[R])
&
for all (Pr:PrinterEntry) in Printers:
    (PrinterInInitialState[Pr])
&
for all (Pu:PunchEntry) in Punches:
    (PunchInInitialState[Pu])
&
for all (T:TapeDriveEntry) in TapeDrives:
    (TapeDriveInInitialState[T])
```

```
macro ReaderinInitialState(R:ReaderEntry) =
  (R.State = Drained
  &
  R.CyclePosition = Available
  &
  R.AttachedProcess = URProcess
  &
  R.LineBuffer = nil
  &
  Empty(R.ClassesServedCurrently)
  &
  Empty(R.ClassesServedNextCycle))

macro PrinterinInitialState(P:PrinterEntry) =
  (P.State = Drained
  &
  P.CyclePosition = Available
  &
  P.AttachedProcess = URProcess
  &
  Empty(P.ClassesServedCurrently)
  &
  Empty(P.ClassesServedNextCycle)
  &
  P.RelinquishDeviceRequestState = NoNeed)

macro PunchinInitialState(P:PunchEntry) =
  (P.State = Drained
  &
  P.CyclePosition = Available
  &
  P.AttachedProcess = URProcess
  &
  Empty(P.ClassesServedCurrently)
  &
  Empty(P.ClassesServedNextCycle)
  &
  P.RelinquishDeviceRequestState = NoNeed)

macro TapeDriveinInitialState(T:TapeDriveEntry) =
  (T.State = Available
  &
  T.AttachedProcess = URProcess)
```

Invariant Assertions

```
for all (R1, R2:ReaderEntry) in Readers:  
    R1.Raddr = R2.Raddr => R1 = R2  
  
for all (Pr1, Pr2:PrinterEntry) in Printers:  
    Pr1.Raddr = Pr2.Raddr => Pr1 = Pr2  
  
for all (Pu1, Pu2:PunchEntry) in Punches:  
    Pu1.Raddr = Pu2.Raddr => Pu1 = Pu2  
  
for all (T1, T2:TapeDriveEntry) in TapeDrives:  
    T1.Raddr = T2.Raddr => T1 = T2  
  
for all (R:ReaderEntry) in Readers:  
    (for all (Pr:PrinterEntry) in Printers:  
        (R.Raddr == Pr.Raddr)  
    &  
    for all (Pu:PunchEntry) in Punches:  
        (R.Raddr == Pu.Raddr)  
    &  
    for all (T:TapeDriveEntry) in TapeDrives:  
        (R.Raddr == T.Raddr))  
&  
for all (Pr:PrinterEntry) in Printers:  
    (for all (Pu:PunchEntry) in Punches:  
        (Pr.Raddr == Pu.Raddr)  
    &  
    for all (T:TapeDriveEntry) in TapeDrives:  
        (Pr.Raddr == T.Raddr))  
&  
for all (Pu:PunchEntry) in Punches:  
    for all (T:TapeDriveEntry) in TapeDrives:  
        Pu.Raddr == T.Raddr
```

9 December 1977
Unit Record Process

System Development Corporation
TM-6062/111/00

```
for all (R:ReaderEntry) in Readers:  
{  
    ((R.State = NotSpooling) =>  
        R.CyclePosition inset {AttachPending,  
                               DetachPending,  
                               AttachedToUser,  
                               OffLine})  
    &  
    ((R.State == NotSpooling) =>  
        R.CyclePosition inset {SecurityHeader,  
                               SecurityHeaderWaitForReady,  
                               AttachPending,  
                               AttachedToSpoolingProcess,  
                               Available})  
    &  
    ((R.CyclePosition = Available) =>  
        (R.ClassesServedCurrently = R.ClassesServedNextCycle  
         &  
         R.AttachedProcess = URProcess))  
}  
  
for all (P:OutputDeviceEntry) in OutputDevices:  
( (P.State = NotSpooling =>  
    P.CyclePosition inset {AttachPending,  
                           DetachPending,  
                           AttachedToUser,  
                           OffLine})  
  &  
  (P.State == NotSpooling =>  
    P.CyclePosition inset {SecurityHeader,  
                           SecurityHeaderWaitForReady,  
                           SecurityTrailer,  
                           SecurityTrailerWaitForReady,  
                           AttachedToSpoolingProcess,  
                           Available})  
  &  
  (P.CyclePosition = Available =>  
    (P.ClassesServedCurrently = P.ClassesServedNextCycle  
     &  
     P.AttachedProcess = URProcess))  
)  
  
for all (DR:OutputDeviceRequest) in PrinterSpoolRequests:  
for some (N:NkcpEntry) in CurrentNkcps:  
    N.Process = DR.Process  
  
for all (DR:OutputDeviceRequest) in PunchSpoolRequests:  
for some (N:NkcpEntry) in CurrentNkcps:  
    N.Process = DR.Process
```

```
for all (N1,N2:NkcpEntry) in CurrentNkcps:  
  (N1.Process = N2.Process => N1 = N2)  
  
for all (P1,P2:PendingRequest) in PendingRequests:  
  (P1.MsgId = P2.MsgId => P1 = P2)  
&  
for all (P:PendingRequest) in PendingRequests:  
  (for all (R1,R2:ResponseSlot) in P.Responses:  
    (R1.Respondent = R2.Respondent => R1 = R2)  
  &  
  for some (R:ResponseSlot) in P.Responses:  
    (R.State = NoResponse)  
  &  
  ~Empty(P.Responses))  
  
for all (N:NkcpEntry) in CurrentNkcps:  
  ((for all (D:DeviceAddress) in N.UsableReaders:  
    for some (R:ReaderEntry) in Readers:  
      R.Raddr = D)  
  &  
  (for all (D:DeviceAddress) in N.UsablePrinters:  
    for some (Pr:PrinterEntry) in Printers:  
      Pr.Raddr = D)  
  &  
  (for all (D:DeviceAddress) in N.UsablePunches:  
    for some (Pu:PunchEntry) in Punches:  
      Pu.Raddr = D)  
  &  
  (for all (D:DeviceAddress) in N.UsableTapeDrives:  
    for some (T:TapeDriveEntry) in TapeDrives:  
      T.Raddr = D))
```

9 December 1977
Unit Record Process

System Development Corporation
TM-6062/111/00

Legality Checks upheld in Driver:

```
IOInterrupt(Raddr) ->
    for some (D:DeviceEntry) in Devices:
        (D.Raddr = Raddr
        &
        D.CyclePosition in set {Available, SecurityHeader,
                               SecurityHeaderWaitForReady,
                               SecurityTrailer,
                               SecurityTrailerWaitForReady})
```

Global Macros / Functions

primitive macros / functions:

Append[set,entry]
Remove[set,entry]
Empty[set,entry]

undefined macros / functions:

KernelCalled

```
macro ReinitializeInputSpoolDevice[R:ReaderEntry] =
    if R.State = Draining
        then R.State <- Drained
            KernelCall[SendMessage(Drained[R.Raddr],OpProcess)]
    end
    R.CyclePosition <- Available
    R.ClassesServedCurrently <- R.ClassesServedNextCycle
    R.AttachedProcess <- URProcess

macro ClassesMatch[S1,S2:set of Class] =
    for some (C1:Class) in S1:
        for some (C2:Class) in S2:
            C1 = C2
```

RD: process

```
/w subdriver of URProcess,
    handling IO interrupts on readers w/
given: R:ReaderEntry in Readers
entry: just received interrupt on R.Raddr
action: /* pay attention to only those interrupts
          that we care about */

error on R.State inset (NotSpooling,
Drained)
or
R.CyclePosition inset (
    AttachPending,
    AttachedToSpoolingProcess,
    AttachedToUser,
    DetachPending,
    OffLine)
case R.CyclePosition:InputDeviceStatus of
    SecurityHeader:
        if R.ChannelStatusWord.UnitCheck
            then RD2b
            else RD2a
        end
    SecurityHeaderWaitForReady:
        if R.ChannelStatusWord.UnitCheck
            then RD2b
            else RD2c
        end
    Available:
        if ShuttingDown
            then KernelCall [SendMessage (
                PhysicallyPurgeDeck [R.Raddr],
                OpProcess)]
            else RD1
        end
    end
end RD
```

```
PR: process
  /* subdriver of URProcess,
   handling 10 interrupts on printers */
  given: P:PrinterEntry in Printers
  entry: just received interrupt on P.Raddr
  action: error on P.State inset {NotSpooling,
   Drained}
  or
  P.CyclePosition inset {
    AttachedToSpoolingProcess,
    AttachedToUser,
    DetachPending,
    OffLine}
  case P.CyclePosition: OutputDeviceStatus of
    SecurityHeader:
      if P.ChannelStatusWord.UnitCheck
        then PR3b
        else PR3a
      end
    SecurityHeaderWaitForReady:
      if P.ChannelStatusWord.UnitCheck
        then PR3b
        else PR3c
      end
    Available:
      if (~Empty(PrinterSpoolRequests))
        &
        P.State = Started
        &
        for some (DR:OutputDeviceRequest)
          in PrinterSpoolRequests:
            (DR.State = WaitingForDevice
            &
            ClassesMatch[
              P.ClassesServedCurrently,
              DR.RequestedClasses])
        then PR2
      end
```

9 December 1977
Unit Record Process

System Development Corporation
TM-6062/111/00

```
SecurityTrailer:  
    if P.ChannelStatusWord.UnitCheck  
        then PR5b  
        else PR5a  
    end  
  
SecurityTrailerWaitForReady:  
    if P.ChannelStatusWord.UnitCheck  
        then PR5b  
        else PR5c  
    end  
  
LoadbufPending:  
    PR6a  
  
LoadbufWaitForReady:  
    PR6b  
end
```

end PR

MsgAuth: process

```
/* subdriver of URProcess,
   handling messages from AuthProcess */

given: MsgId: MessageId
       Text: string

entry: just received message, Source = AuthProcess

action: if for some (P:PendingRequest) in PendingRequests:
         P.MsgId = MsgId
         then /* response to request */
             case P.Kind: RequestCategory of
                 NeedNkcp:
                     case MsgName[Text] of
                         AddedNkcp:
                             DetermineRaddr[Text]
                             error on
                                 for all (R:ReaderEntry)
                                     in Readers:
                                         (R.Raddr == Raddr)
                             RD3a
                             PendingRequests <- Remove[
                                 PendingRequests,P]
                         CannotAddNkcp:
                             DetermineRaddr[Text]
                             error on
                                 for all (R:ReaderEntry)
                                     in Readers:
                                         (R.Raddr == Raddr)
                             RD3b
                             PendingRequests <- Remove[
                                 PendingRequests,P]
                     other:
                         error
end
```

```
MapUserId:  
    error on MsgName[Text] == UserIdMapped  
    if Nkcp[Text] = nil  
        then KernelCall[SendMessage(  
            UnknownUserId[Text],  
            OpProcess)]  
        PendingRequests <- Remove[  
            PendingRequests,P]  
    else KernelCall[SendMessage(  
        OpCmd[Text],  
        Nkcp[Text])]  
    end  
  
    other:  
        error  
    end  
else /* message is a request */  
case MsgName[Text] of  
    AddNkcp:  
        AUTH1  
  
    DeleteNkcp:  
        AUTH2  
  
    AttachDevice:  
        DetermineRaddr[Text]  
        case DeviceType[Raddr] of  
            Reader,  
            Printer,  
            Punch:  
                AUTH3abc  
  
            TapeDrives:  
                AUTH3d  
            end  
  
        other:  
            error  
    end  
end  
end MsgAuth
```

MsgOp: process

```
/* subdriver of URProcess,
   handling messages from OpProcess */

given: MsgId: MessageId
       Text: string

entry: just received message, Source = OpProcess

action: if for some (P:PendingRequest) in PendingRequests:
         P.MsgId = MsgId
         then /* response to request */
             /* URProcess currently doesn't make any
                requests of OpProcess: error */
             error
         else case MsgName[Text] of
                 QUERY<-UR,
                 QUERY<-ALL,
                 QUERY<-RAODR,
                 QUERY<-TAPES,
                 OP0

                 QUERY<-READER<-SPOOLID,
                 QUERY<-PRINTER<-SPOOLID,
                 QUERY<-PUNCH<-SPOOLID,
                 CHANGE<-SYSTEM<-SPOOLID:
                 OP1

                 QUERY<-FILES<-ALL,
                 QUERY<-READER<-ALL,
                 QUERY<-PRINTER<-ALL,
                 QUERY<-PUNCH<-ALL,
                 QUERY<-HOLD,
                 CHANGE<-SYSTEM<-CLASS<-ALL:
                 OP2

                 QUERY<-FILES<-USERID,
                 QUERY<-READER<-USERID,
                 QUERY<-PRINTER<-USERID,
                 QUERY<-PUNCH<-USERID,
                 CHANGE<-USERID,
                 FREE,
                 HOLD,
                 ORDER<-USERID,
                 PURGE<-USERID:
                 OP3
```

9 December 1977
Unit Record Process

System Development Corporation
TM-6062/111/00

```
BACKSPAC,  
FLUSH,  
REPEAT:  
    DetermineRaddr [Text]  
    case DeviceType [Raddr] of  
        Printer,  
        Punch:  
            OP4ab  
  
        other:  
            error  
    end  
  
SPACE:  
    DetermineRaddr [Text]  
    case DeviceType [Raddr] of  
        Printer:  
            OPS  
  
        other:  
            error  
    end  
  
ORDER+SYSTEM,  
PURGE+SYSTEM:  
    OPS  
  
VARY+OFFLINE:  
    DetermineRaddr [Text]  
    case DeviceType [Raddr] of  
        Reader,  
        Printer,  
        Punch:  
            OP9abc  
  
        TapeDrive:  
            OP9d  
    end
```

9 December 1977
Unit Record Process

System Development Corporation
TM-6062/111/00

VARY_←ONLINE:
DetermineRaddr [Text]
case DeviceType [Raddr] of
Reader:
OP10a

Printer,
Punch:
OP10bc

TapeDrive:
OP10d

end

ATTACH:
DetermineRaddr [Text]
case DeviceType [Raddr] of
Reader,
Printer,
Punch:
OP11abc

TapeDrive:
OP11d

end

DETACH:
DetermineRaddr [Text]
case DeviceType [Raddr] of
Reader,
Printer,
Punch:
OP12abc

TapeDrive:
OP12d

end

LOCATE:
DetermineRaddr [Text]
case DeviceType [Raddr] of
Reader,
Printer,
Punch:
OP7abc

TapeDrive:
OP7d

end

SHUTDOWN:
OP8

9 December 1977
Unit Record Process

System Development Corporation
TM-6062/111/00

```
START:  
DetermineRaddr[Text]  
case DeviceType(Raddr) of  
  Reader:  
    OP15a  
  
  Printer,  
  Punch:  
    OP15bc  
  
  TapeDrive:  
    error  
end  
  
DRAIN:  
DetermineRaddr[Text]  
case DeviceType(Raddr) of  
  Reader:  
    OP14a  
  
  Printer,  
  Punch:  
    OP14bc  
  
  TapeDrive:  
    error  
end  
  
TRANSFER:  
OP16  
  
LOADBUF:  
DetermineRaddr[Text]  
case DeviceType(Raddr) of  
  Printer:  
    OP13  
  
  other:  
    error  
end  
  
other:  
error  
end  
end
```

end MsgOp

9 December 1977
Unit Record Process

System Development Corporation
TM-6062/111/00

MsgNkcp: process

```
/* subdriver of URProcess,
   handling messages from Nkcpa */

given: Process: ProcessName
       MsgId: MessageId
       Text: string

entry: just received message, Source = Process

action: if for some (P:PendingRequest) in PendingRequests:
         P.MsgId = MsgId
         then /* response to request */
             case P.Kind:RequestCategory of
                 OpRequest:
                     error on
                         MsgName(Text) == ResponseToOpRequest
                     ProcessResponse

                 RelinquishDevice:
                     error on MsgName(Text) == DetachDevice
                     DetermineRaddr(Text)
                     case DeviceType[Raddr] of
                         Reader,
                         Printer,
                         Punch:
                             NKCP1abc

                 TapeDrive:
                     NKCP1d
                     end

                 others:
                     error
             end
         else /* request */
             case MsgName(Text) of
                 DetachSpoolDevice:
                     DetermineRaddr(Text)
                     case DeviceType[Raddr] of
                         Reader:
                             RD4

                         Printer:
                             PR4

                         Punch:
                             PU4

                 TapeDrive:
                     error
             end
```

9 December 1977
Unit Record Process

System Development Corporation
TM-6062/111/00

```
DetachDevice:  
DetermineRaddr [Text]  
case DeviceType[Raddr] of  
  Reader,  
  Printer,  
  Punch:  
    NKCP2abc  
  
TapeDrive:  
  NKCP2d  
end  
  
NeedSpoolingDevice:  
DetermineRaddr [Text]  
case DeviceType[Raddr] of  
  Printer:  
    PR1  
  
  Punch:  
    PU1  
  
  other:  
    error  
end  
  
other:  
error  
end  
end MsgNkcp
```

9 December 1977
Unit Record Process

System Development Corporation
TM-6062/111/00

```
URDriver: process

    case HowWeGotHere of
        IOInterrupt:
            case DeviceType of
                Reader:   RO
                Printer:  PR
                Punch:    PU
                TapeDrive: error
            end

        ExternalInterrupt:
            case InterruptSubType of
                Message:
                    case Source of
                        AuthProcess: MsgAuth
                        OpProcess:   MsgOp
                        Nkcp:        MsgNkcp
                        other:       /* anybody else talk
                                     with URProcess? */
                    end

                other:
                    /* any other external interrupts? */
            end

        other:
            /* any other important interrupt classes? */
    end

    KernelCall [ReceiveInterrupts]
    KernelCall [ReleaseCPU]
end UDRiver
```

9 December 1977
Unit Record Process

System Development Corporation
TM-6062/111/00

R01: Unexpected IO interrupt signalling deck to be read

given: Raddr: DeviceAddress

entry: for some (R:ReaderEntry) in Readers:
 (R.Raddr = Raddr
 &
 R.State = Started
 &
 R.CyclePosition = Available)
 &
 ~ ShuttingDown

action: R.CyclePosition <- SecurityHeader
 R.LineBuffer <- nil
 KernelCall(RequestIO(R.Raddr) for input)

exit: N"R.CyclePosition = SecurityHeader
 N"R.LineBuffer = nil
 KernelCalled(RequestIO(R.Raddr) for input)

R02a: Expected IO interrupt signalling security header read

```
given: Raddr: DeviceAddress
       Process: ProcessName

entry: for some (R:ReaderEntry) in Readers:
       (R.Raddr = Raddr
        &
        R.State == NotSpooling
        &
        R.CyclePosition = SecurityHeader
        &
        R.ChannelStatusWord.UnitCheck = false
        &
        R.LineBuffer ~= nil)

action: if for some (N:NkcpEntry) in CurrentNkcps:
         (N.Process = Process)
         then if for some (D:DeviceAddress)
               in N.UsableReaders:
               (D = R.Raddr)
               then /* Attach Device */
                     KernelCall [GrantAccess(
                         N.Process, R.Raddr)]
                     if OK
                         then R.AttachedProcess <- N.Process
                             R.CyclePosition
                             <- AttachedToSpoolingProcess
                             KernelCall [SendMessage(
                                 SpoolDeviceAttached[R.Raddr],
                                 R.AttachedProcess)]
                     else /* Kernel did not grant access:
                           something's wrong */
                           KernelCall [SendMessage(
                               PhysicallyPurgeDeck [R.Raddr],
                               DpProcess)]
                           ReinitializeInputSpoolCycle [R]
                     end
               else /* Nkcp exists but
                     cannot use this reader */
                     KernelCall [SendMessage(
                         PhysicallyPurgeDeck [R.Raddr],
                         DpProcess)]
                     ReinitializeInputSpoolCycle [R]
         end
end
```

```
else /* necessary Nkcp does not currently exist */
R.CyclePosition <- AttachPending
R.AttachedProcess <- Process
KernelCall [SendMessage(
    NeedNkcp {R.AttachedProcess, R.Raddr},
    AuthProcess)]
end

exit: N"R.CyclePosition =
    if for some (N:NkcpEntry) in CurrentNkcps:
        N.Process = Process
        then if for some (D:DeviceAddress)
            in N.UsableReaders:
                (D = R.Raddr)
                &
                GrantedAccess
                then AttachedToSpoolingProcess
                else Available
            end
        else AttachPending
    end
N"R.AttachedProcess =
    if for some (N:NkcpEntry) in CurrentNkcps:
        N.Process = Process
        then if for some (D:DeviceAddress)
            in N.UsableReaders:
                (D = R.Raddr)
                &
                GrantedAccess
                then N.Process
                else URPProcess
            end
        else Process
    end
N"R.State =
    if for some (N:NkcpEntry) in CurrentNkcps:
        (N.Process = Process)
        &
        (for all (D:DeviceAddress)
            in N.UsableReaders:
                (D == R.Raddr)
                or
                ~ GrantedAccess)
        &
        R.State = Draining
        then Drained
        else R.State
    end
```

```
N"R.ClassesServedCurrently =
    if for some (N:NkcpEntry) in CurrentNkcps:
        (N.Process = Process)
        &
        for all (D:DeviceAddress)
            in N.UsableReaders:
                (D == R.Raddr)
                &
                ~ GrantedAccess
                then R.ClassesServedNextCycle
                else R.ClassesServedCurrently
            end

    if for some (N:NkcpEntry) in CurrentNkcps:
        (N.Process = Process)
        then if for some (D:DeviceAddress) in N.UsableReaders:
            (D = R.Raddr)
            then KernelCalled[GrantAccess]
                if GrantedAccess
                    then KernelCalled[SendMessage(
                        N.Process)]
                else KernelCalled[SendMessage(
                    OpProcess)]
                    if R.State = Draining
                        then KernelCalled[
                            SendMessage(
                                OpP    ess)]
                    end
                end
            else KernelCalled[SendMessage(OpProcess)]
                if R.State = Draining
                    then KernelCalled[SendMessage(
                        OpProcess)]
                end
            end
        else KernelCalled[SendMessage(AuthProcess)]
    end
```

9 December 1977
Unit Record Process

System Development Corporation
TM-6062/111/00

R02b: 10 Error when attempting security header read

given: Raddr: DeviceAddress

entry: for some (R:ReaderEntry) in Readers:
(R.Raddr = Raddr
&
R.State ~~ NotSpooling
&
(R.CyclePosition inset {SecurityHeader,
SecurityHeaderWaitForReady})
&
R.ChannelStatusWord.UnitCheck = true)

action: R.CyclePosition <- SecurityHeaderWaitForReady
KernelCall[SendMessage(InterventionRequired[R.Raddr],
OpProcess)]

exit: N"R.CyclePosition = SecurityHeaderWaitForReady
KernelCalled[SendMessage(OpProcess)]

9 December 1977
Unit Record Process

System Development Corporation
TM-6062/111/00

R02c: Reader error cleared by operator (IO interrupt signalling device ready)

given: Raddr: DeviceAddress

entry: for some (R:ReaderEntry) in Readers:
(R.Raddr = Raddr
&
R.State == NotSpooling
&
R.CyclePosition = SecurityHeaderWaitForReady
&
R.ChannelStatusWord.UnitCheck = false)

action: R.CyclePosition <- SecurityHeader
R.LineBuffer <- nil
KernelCall(RequestIO(R.Raddr) for input)

exit: N"R.CyclePosition = SecurityHeader
N"R.LineBuffer = nil
KernelCalled(RequestIO(R.Raddr) for input)

R03a: AuthProcess message re Nkcp creation: added

given: Raddr: DeviceAddress

entry: for some (R:ReaderEntry) in Readers:
(R.Raddr = Raddr)
&
for some (N:NkcpEntry) in CurrentNkcps:
(N.Process = R.AttachedProcess)

error on ~(R.State == NotSpooling)
&
R.CyclePosition = AttachPending)

action: if for some (D:DeviceAddress) in N.UsableReaders:
D = R.Raddr
then /* attach process */
KernelCall[GrantAccess(N.Process,R.Raddr)]
if OK
then R.CyclePosition <-
AttachedToSpoolingProcess
KernelCall[SendMessage(
SpoolDeviceAttached[R.Raddr],
N.Process)]
else /* Kernel did not grant access */
KernelCall[SendMessage(
PhysicallyPurgeDeck[R.Raddr],
OpProcess)]
KernelCall[SendMessage(
PurgeIfAble,
N.Process)]
ReInitializeInputSpoolCycle(R)
end
else /* NKCP exists but cannot use this reader */
KernelCall[SendMessage(
PhysicallyPurgeDeck[R.Raddr],
OpProcess)]
KernelCall[SendMessage(
PurgeIfAble,
N.Process)]
ReInitializeInputSpoolCycle(R)

end

```
exit: N"R.CyclePosition =
      if for some (D:DeviceAddress)
          in N.UsableReaders:
              (D = R.Raddr)
              &
              GrantedAccess
              then AttachedToSpoolingProcess
              else Available
      end
N"R.State =
      if (for all (D:DeviceAddress)
          in N.UsableReaders:
              (D == R.Raddr)
              or
              ~ GrantedAccess)
              &
              R.State = Draining
              then Drained
              else R.State
      end
N"R.ClassesServedCurrently =
      if for all (D:DeviceAddress)
          in N.UsableReaders:
              (D == R.Raddr)
              or
              ~ GrantedAccess
              then R.ClassesServedNextCycle
              else R.ClassesServedCurrently
      end
N"R.AttachedProcess =
      if for all (D:DeviceAddress)
          in N.UsableReaders:
              (D == R.Raddr)
              or
              ~ GrantedAccess
              then URPProcess
              else R.AttachedProcess
      end
KernelCalled[SendMessage(N.Process)]
      if for some (D:DeviceAddress) in N.UsableReaders:
          (D = R.Raddr)
          then KernelCalled[GrantAccess]
              if ~ GrantedAccess
                  then KernelCalled[SendMessage(OpProcess)]
              end
          else KernelCalled[SendMessage(OpProcess)]
      end
```

R03b: AuthProcess message re Nkcp creation: cannot add

```
given: Raddr: DeviceAddress
entry: for some (R:ReaderEntry) in Readers:
        R.Raddr = Raddr
error on ~(R.State == NotSpooling
&
        R.CyclePosition = AttachPending)
action: KernelCall [SendMessage(
        PhysicallyPurgeDeck (R.Raddr),
        OpProcess)]
        ReInitializeInputSpoolDevice (R)
exit:  N"R.State =
        if R.State = Draining
            then Drained
            else R.State
        end
N"R.CyclePosition = Available
N"R.ClassesServedCurrently = R.ClassesServedNextCycle
N"R.AttachedProcess = URProcess
KernelCalled[SendMessage(OpProcess)]
if R.State = Draining
    then KernelCalled[SendMessage(OpProcess)]
end
```

9 December 1977
Unit Record Process

System Development Corporation
TM-6062/111/00

R04: Process releases reader after spooling

```
given: Raddr: DeviceAddress
       RequestingProcess: ProcessName

entry: for some (R:ReaderEntry) in Readers:
       R.Raddr = Raddr

error on ~(R.State == NotSpooling
      &
      R.CyclePosition = AttachedtoSpoolingProcess
      &
      R.AttachedProcess = RequestingProcess)

action: KernelCall[ReleaseDevice(R.AttachedProcess,R.Raddr)]
if OK
  then ReinitializeInputSpoolDevice(R)
else KernelCall[SendMessage(
  DeviceNotReleased(R.Raddr),
  R.AttachedProcess)]
  KernelCalled[SendMessage(
    DeviceNotReleased(
      R.Raddr,
      R.AttachedProcess),
    OpProcess)]
end
```

9 December 1977
Unit Record Process

System Development Corporation
TM-6062/111/00

```
exit: N"R.State =
      if DeviceReleased
      &
      R.State = Draining
      then Drained
      else R.State
      end
N"R.CyclePosition =
      if DeviceReleased
      then Available
      else R.CyclePosition
      end
N"R.ClassesServedCurrently =
      if DeviceReleased
      then R.ClassesServedNextCycle
      else R.ClassesServedCurrently
      end
N"R.AttachedProcess =
      if DeviceReleased
      then UPProcess
      else R.AttachedProcess
      end
KernelCalled(ReleaseDevice)
if (~DeviceReleased)
or
R.State = Draining
then KernelCalled[SendMessage(OpProcess)]
      KernelCalled[SendMessage(R.AttachedProcess)]
end
```

9 December 1977
Unit Record Process

System Development Corporation
TM-6062/111/b0

OP14a: Drain (Reader)

```
given: Raddr: DeviceAddress
entry: for some (R:ReaderEntry) in Readers:
        R.Raddr = Raddr
error on R.State = NotSpooling
action: if R.CyclePosition = Available
        then R.State <- Drained
            KernelCall[SendMessage(
                Drained(R.Raddr),
                OpProcess)]
        else R.State <- Draining
end

exit: N'R.State =
      if R.CyclePosition = Available
          then Drained
          else Draining
      end

      if R.CyclePosition = Available
          then KernelCalled[SendMessage(OpProcess)]
      end
```

OP15a: Start (Re дер)

```
given: Raddr: DeviceAddress
       NewClasses: set of Class

entry: for some (R:ReaderEntry) in Readers:
       R.Raddr = Raddr

error on R.State = NotSpooling

action: if Empty[NewClasses]
         then if Empty[ClassesServedCurrently]
             then KernelCall[SendMessage(
                           MustProvideInitialClassList[
                           R.Raddr],
                           OpProcess)]
         else R.State <- Started
              KernelCall[SendMessage(
                           Started(R.Raddr),
                           OpProcess)]
         end
      else R.ClassesServedNextCycle <- NewClasses
          if R.CyclePosition = Available
              then R.ClassesServedCurrently <-
                   NewClasses
          end
      R.State <- Started
      KernelCall[SendMessage(
                  Started(R.Raddr),
                  OpProcess)]
end
```

9 December 1977
Unit Record Process

System Development Corporation
TM-5062/111/00

```
exit: N"R.State =
      if (~Empty[NewClasses])
        or
        (~Empty[R.ClassesServedCurrently])
        then Started
        else R.State
      end
N"R.ClassesServedNextCycle =
      if ~Empty[NewClasses]
        then NewClasses
        else R.ClassesServedNextCycle
      end
N"R.ClassesServedCurrently =
      if (~Empty[NewClasses])
        &
        R.CyclePosition = Available
        then NewClasses
        else R.ClassesServedCurrently
      end
KernelCalled[SendMessage(OpProcess)]
```

9 December 1977
Unit Record Process

System Development Corporation
TM-6062/111/00

PRPU1: Process request for output spooling device assignment

```
given: Process: ProcessName
      RequestedClasses: set of Class

entry: true

error on for all (N:NkcpEntry) in CurrentNkcps:
      (N.Process ~ Process)
      or
      Empty[RequestedClasses]

action: if ~ ShuttingDown
        then PrinterSpoolRequests <-
              Append[PrinterSpoolRequests,Entry]
        end

exit: N"PrinterSpoolRequests =
      if ~ ShuttingDown
          then Append[PrinterSpoolRequests,Entry]
      else PrinterSpoolRequests
      end

where
Entry = <Process = Process,
       RequestedClasses = RequestedClasses,
       AttachedDevice = ??,
       State = WaitingForDevice>
```

9 December 1977
Unit Record Process

System Development Corporation
TM-6062/111/00

PRPU2: Printer Assignment (for spooling)

```
given: DR: OutputDeviceRequest in PrinterSpoolRequests
      P: PrinterEntry in Printers

entry: P.State = Started
      &
      P.CyclePosition = Available
      &
      DR.State = WaitingForDevice
      &
      ClassesMatch[P.ClassesServedCurrently,
                  DR.RequestedClasses]

action: let (N:NkcpEntry) in CurrentNkcps:
        (N.Process = DR.Process) in
        if for some (D:DeviceAddress) in N.UsablePrinters:
          D = P.Raddr
          then P.AttachedProcess <- DR.Process
              P.CyclePosition <- SecurityHeader
              DR.AttachedDevice <- P.Raddr
              DR.State <- Processing
              KernelCall[RequestIO(P.Raddr) for output]
        end
```

9 December 1977
Unit Record Process

System Development Corporation
TII-6062/111/00

```
exit:  N"P.AttachedProcess =
      if for some (D:DeviceAddress)
          in N.UsablePrinters:
              D = P.Raddr
              then DR.Process
              else P.AttachedProcess
      end
N"P.CyclePosition =
      if for some (D:DeviceAddress)
          in N.UsablePrinters:
              D = P.Raddr
              then SecurityHeader
              else P.CyclePosition
      end
N"DR.AttachedDevice =
      if for some (D:DeviceAddress)
          in N.UsablePrinters:
              D = P.Raddr
              then P.Raddr
              else DR.AttachedDevice
      end
N"DR.State =
      if for some (D:DeviceAddress)
          in N.UsablePrinters:
              D = P.Raddr
              then Processing
              else DR.State
      end
KernelCall [RequestIO(P.Raddr) for output]
```

9 December 1977
Unit Record Process

System Development Corporation
TM-6062/111/00

PRPU3a: Interrupt indicating end of security header output on printer

```
given: Raddr: DeviceAddress
entry: for some (P:PrinterEntry) in Printers:
        (P.Raddr = Raddr
         &
         P.State == NotSpooling
         &
         P.CyclePosition = SecurityHeader
         &
         P.ChannelStatusWord.UnitCheck = false)

action: KernelCall[GrantAccess(P.AttachedProcess,P.Raddr)]
if OK
    then P.CyclePosition <- AttachedToSpoolingProcess
        KernelCall[SendMessage(
            SpoolingDeviceAttached[P.Raddr],
            P.AttachedProcess)]
    if P.RelinquishDeviceRequestState = ShouldSend
        then P.RelinquishDeviceRequestState <- Sent
            KernelCall[SendMessage(
                Drain[P.Raddr],
                P.AttachedProcess)]
    end
else /* Kernel did not grant access as expected */
    KernelCall[RequestIO(P.Raddr) for output]
    P.CyclePosition <- SecurityTrailer
    let (DR:OutputDeviceEntry)
        in PrinterSpoolRequests:
        (DR.State = Processing
         &
         DR.AttachedDevice = P.Raddr
         &
         P.AttachedProcess = DR.Process) in
    DR.State <- WaitingForDevice
end
```

9 December 1977
Unit Record Process

System Development Corporation
TM-6062/111/00

```
exit: N"P.CyclePosition =
      if GrantedAccess
        then AttachedToSpoolingProcess
        else SecurityTrailer
      end
N"P.RelinquishDeviceRequestState =
      if GrantedAccess
        &
        P.RelinquishDeviceRequestState = ShouldSend
        then Sent
        else P.RelinquishDeviceRequestState
      end
KernelCalled[GrantAccess]
      if GrantedAccess
        then KernelCalled[SendMessage(P.AttachedProcess)]
          if P.RelinquishDeviceRequestState = ShouldSend
            then KernelCalled[SendMessage(
              P.AttachedProcess)]
          end
        else KernelCalled[RequestIO(P.Raddr) for output]
      end
end
```

9 December 1977
Unit Record Process

System Development Corporation
TM-6862/111/00

PRPU3b: Interrupt, 10 error on attempt to output security header

```
given: Raddr: DeviceAddress
entry: for some (P:PrinterEntry) in Printers:
        (P.Raddr = Raddr
         &
         P.State ~= NotSpooling
         &
         P.CyclePosition inset (SecurityHeader,
                               SecurityHeaderWaitForReady)
         &
         P.ChannelStatusWord.UnitCheck = true)
actions: P.CyclePosition <- SecurityHeaderWaitForReady
KernelCall[SendMessage(InterventionRequired[P.Raddr],
                      OpProcess)]
exit:   N"P.CyclePosition = SecurityHeaderWaitForReady
KernelCalled[SendMessage(OpProcess)]
```

9 December 1977
Unit Record Process

System Development Corporation
TM-6062/111/00

PRPU3c: Interrupt indicating OK to retry security header output

```
given: Raddr: DeviceAddress
entry: for some (P:PrinterEntry) in Printers:
        (P.Raddr = Raddr
         &
         P.State ~= NotSpooling
         &
         P.CyclePosition = SecurityHeaderWaitForReady
         &
         P.ChannelStatusWord.UnitCheck = false)
action: P.CyclePosition <- SecurityHeader
        KernelCall(RequestIO(P.Raddr) for output)
exit:  N"P.CyclePosition = SecurityHeader
        KernelCalled(RequestIO(P.Raddr) for output)
```

9 December 1977
Unit Record Process

System Development Corporation
TM-6062/111/00

PRPU4: Process message, release output spooling device

given: Raddr: DeviceAddress
Process: ProcessName

entry: true

error on ~(for some (P:PrinterEntry) in Printers:
(P.Raddr = Raddr
&
P.State == NotSpooling
&
P.CyclePosition = AttachedToSpoolingProcess
&
P.AttachedProcess = Process)
&
for some (DR:OutputDeviceRequest)
in PrinterSpoolRequests:
(DR.Process = P.AttachedProcess
&
DR.State = Processing
&
DR.AttachedDevice = P.Raddr))

action: P.CyclePosition <- SecurityTrailer
P.AttachedProcess <- URPProcess
KernelCall(RequestIO(P.Raddr) for output)
PrinterSpoolRequests <- Remove(PrinterSpoolRequests, DR)

exit: N"P.CyclePosition = SecurityTrailer
N"P.AttachedProcess = URPProcess
N"PrinterSpoolRequests =
Remove(PrinterSpoolRequests, DR)
KernelCalled(RequestIO(P.Raddr) for output)

9 December 1977
Unit Record Process

System Development Corporation
TM-6062/111/00

PRPUSa: Interrupt indicating successful completion of security trailer

```
given: Raddr: DeviceAddress
entry: for some (P:PrinterEntry) in Printers:
        (P.Raddr = Raddr
         &
         P.State == NotSpooling
         &
         P.CyclePosition = SecurityTrailer
         &
         P.ChannelStatusWord.UnitCheck = false)
action: if P.State = Draining
        then P.State <- Drained
        end
        P.ClassesServedCurrently <- P.ClassesServedNextCycle
        P.RelinquishDeviceRequestState <- NoNeed
        P.CyclePosition <- Available
exit:  N"P.State =
        if P.State = Draining
        then Drained
        else P.State
        end
        N"P.ClassesServedCurrently = P.ClassesServedNextCycle
        N"P.RelinquishDeviceRequestState = NoNeed
        N"P.CyclePosition = Available
```

PRPUSb: Interrupt, IO error on security trailer output

```
given: Raddr: DeviceAddress
entry: for some (P:PrinterEntry) in Printers:
        (P.Raddr = Raddr
         &
         P.State ~= NotSpooling
         &
         P.CyclePosition inset {SecurityTrailer,
                               SecurityTrailerWaitForReady}
         &
         P.ChannelStatusWord.UnitCheck = true)
action: P.CyclePosition <- SecurityTrailerWaitForReady
        KernelCall[SendMessage(
                    InterventionRequired(P.Raddr),
                    OpProcess)]
exit:  N"P.CyclePosition = SecurityTrailerWaitForReady
        KernelCalled[SendMessage(OpProcess)]
```

9 December 1977
Unit Record Process

System Development Corporation
TM-S062/111/00

PRPU5c: Interrupt. OK to retry security trailer output

given: Raddr: DeviceAddress
entry: for some (P:PrinterEntry) in Printers:
(P.Raddr = Raddr
&
P.State == NotSpooling
&
P.CyclePosition = SecurityTrailerWaitForReady
&
P.ChannelStatusWord.UnitCheck = false)
actions: P.CyclePosition <- SecurityTrailer
KernelCall(RequestIO(P.Raddr) 'or output)
exit: N"P.CyclePosition = SecurityTrailer
KernelCalled(RequestIO(P.Raddr) for output)

9 December 1977
Unit Record Process

System Development Corporation
TM-6062/111/00

OP14bc: Drain (Printer, Punch)

```
given: Raddr: DeviceAddress
entry: for some (P:OutputDeviceEntry) in OutputDevices:
        P.Raddr = Raddr
error on P.State = NotSpooling
action: if P.CyclePosition = Available
        then P.State <- Drained
            KernelCall [SendMessage(
                Drained[P.Raddr],
                OpProcess)]
        else if P.CyclePosition = AttachedToSpoolingProcess
            then if P.RelinquishDeviceRequestState
                ~= Sent
                then P.RelinquishDeviceRequestState
                    <- Sent
                    KernelCall [SendMessage(
                        Drain[P.Raddr],
                        P.AttachedProcess)]
                end
            else P.RelinquishDeviceRequestState
                <- ShouldSend
            end
        end
    end
end
```

```
exit: N"P.State =
      if P.CyclePosition = Available
          then Drained
          else Draining
      end
N"P.RelinquishDeviceRequestState =
      if P.CyclePosition =
          AttachedToSpoolingProcess
          then Sent
          else if P.CyclePosition = Available
              then P.RelinquishDeviceRequestState
              else ShouldSend
          end
      end

      if P.CyclePosition = Available
          then KernelCalled[SendMessage(OpProcess)]
      end
      if P.CyclePosition = AttachedToSpoolingProcess
          &
          P.RelinquishDeviceRequestState == Sent
          then !Called[SendMessage(P.AttachedProcess)]
      end
```

9 December 1977
Unit Record Process

System Development Corporation
TM-6062/111/00

OP15bc: Start (Printer, Punch)

```
given: Raddr: DeviceAddress
      NewClasses: set of Class

entry: for some (P:OutputDeviceEntry) in OutputDevices:
      P.Raddr = Raddr

error on P.State = NotSpooling

action: if Empty[NewClasses]
      &
      Empty[P.ClassesServedCurrently]
      then KernelCall [SendMessage(
          MustProvideInitialClassList[P.Raddr],
          OpProcess)]
      else P.State <- Started
          KernelCall [SendMessage(
              Started[P.Raddr],
              OpProcess)]
          if ~Empty[NewClasses]
              then P.ClassesServedNextCycle
                  <- NewClasses
                  if P.CyclePosition = Available
                      then P.ClassesServedCurrently
                          <- NewClasses
                      else SendRequest()
                  end
          end
      end
macro SendRequest() =
    if P.CyclePosition = AttachedToSpoolingProcess
        then if P.RelinquishDeviceRequestState == Sent
            then P.RelinquishDeviceRequestState <- Sant
                KernelCall [SendMessage(
                    Drain[P.Raddr],
                    P.AttachedProcess)]
            end
        else P.RelinquishDeviceRequestState <- ShouldSend
    end
```

```
exit: N"P.State =
      if (~Empty[NewClasses])
      or
      (~Empty[P.ClassesServedCurrently])
      then Started
      else P.State
      end
N"P.ClassesServedNextCycle =
      if ~Empty[NewClasses]
      then NewClasses
      else P.ClassesServedNextCycle
      end
N"P.ClassesServedCurrently =
      if P.CyclePosition = Available
      &
      ~Empty[NewClasses]
      then NewClasses
      else P.ClassesServedCurrently
      end
N"P.RelinquishDeviceRequestState =
      if ~Empty[NewClasses]
      then if P.CyclePosition =
                  AttachedToSpoolingProcess
          then Sent
          else if P.CyclePosition =
                      Available
              then
                  P.RelinquishDeviceRequestState
              else ShouldSend
              end
          end
      else P.RelinquishDeviceRequestState
      end
KernelCalled[SendMessage(OpProcess)]
if (~Empty[NewClasses])
&
P.CyclePosition = AttachedToSpoolingProcess
&
P.RelinquishDeviceRequestState ~= Sent
then KernelCalled[SendMessage(P.AttachedProcess)]
end
```

9 December 1977
Unit Record Process

System Development Corporation
TM-6062/111/00

OP0: Miscellaneous commands

commands:

QUERY-UR
QUERY-ALL
QUERY-RADOR
QUERY-TAPES

entry: true

exit: KernelCalled[SendMessage(OpProcess)]

9 December 1977
Unit Record Process

System Development Corporation
TM-6062/111/00

OP1: Single message sent
No maps
Single response expected
No device state information modifications

commands:
QUERY->READER->SPOOLID
QUERY->PRINTER->SPOOLID
QUERY->PUNCH->SPOOLID
CHANGE->SYSTEM->SPOOLID

entry: true

exit: N"PendingRequests = Append(PendingRequests,
<MsgId = new[MessageId],
Kind = OpRequest,
Command = Command,
Responses = {
<Respondent = Destination(Command),
Text = nil,
State = NoResponse>} >]
KernelCalled[SendMessage(Destination(Command))]

9 December 1977
Unit Record Process

System Development Corporation
TM-6062/111/00

OP2: Multiple messages sent
 No maps
 Responses expected
 No device state information modifications

commands:

QUERY+FILES+ALL
QUERY+READER+ALL
QUERY+PRINTER+ALL
QUERY+PUNCH+ALL
QUERY+HOLD
CHANGE+SYSTEM+CLASS+ALL

entry: true

exit: N"PendingRequests = Append(PendingRequests,
 <MsgId = new[MessageId],
 Kind = OpRequest,
 Command = Command,
 Responses = {for all (N:ProcessName)
 in CurrentNkcps:
 <Respondent = N,
 Text = nil,
 State = NoResponse>}>)
 for all (N:ProcessName) in CurrentNkcps:
 KernelCalled[SendMessage(N)]

9 December 1977
Unit Record Process

System Development Corporation
TM-6062/111/00

OP3: Single message sent
 Mapping: User Id -> Nkcp id
 Single response expected
 No device state information modifications

commands:

QUERY+FILES+USERID
QUERY+READER+USERID
QUERY+PRINTER+USERID
QUERY+PUNCH+USERID
CHANGE+USERID
FREE
HOLD
ORDER+USERID
PURGE+USERID

entry: true

exit: N"PendingRequests = Append(PendingRequests,
 <MsgId = new(MessageId),
 Kind = MapUserId,
 Command = Command,
 Responses = [
 <Respondent = AuthProcess,
 Text = nil,
 State = NoResponse>]>]
KernelCalled(SendMessage(AuthProcess))

9 December 1977
Unit Record Process

System Development Corporation
TM-6062/111/00

OP4ab: commands:

BACKSPAC
FLUSH
REPEAT

given: Raddr: DeviceAddress

entry: for some (P:OutputDeviceEntry) in OutputDevices:
P.Raddr = Raddr

error on ~(P.State == NotSpooling
&
P.CyclePosition = AttachedToSpoolingProcess)

exit: N"PendingRequests = Append[PendingRequests,
<MsgId = new[MessageId],
Kind = OpRequest,
Command = Command,
Responses = {
<Respondent = P.AttachedProcess,
Text = nil,
State = NoResponse}>}]>
KernelCalled[SendMessage(P.AttachedProcess)]

9 December 1977
Unit Record Process

System Development Corporation
TM-6062/111/00

```
OPS:    command:  
           SPACE  
  
given:  Raddr: DeviceAddress  
  
entry:  for some (P:PrinterEntry) in Printers:  
           P.Raddr = Raddr  
  
error on ~(P.State == NotSpooling  
           &  
           P.CyclePosition = AttachedToSpoolingProcess)  
  
exit:   N"PendingRequests = Append(PendingRequests,  
           <MsgId = new(MessageId),  
           Kind = OpRequest,  
           Command = SPACE,  
           Responses = {  
               <Respondent = P.AttachedProcess,  
               Text = nil,  
               State = NoResponse>} >]  
  
KernelCalled(SendMessage(P.AttachedProcess))
```

9 December 1977
Unit Record Process

System Development Corporation
TM-6062/111/00

OP6: commands:
 ORDER+SYSTEM
 PURGE+SYSTEM

 given: Nkcps: set of ProcessName

 entry: true

 exit: N"PendingRequests = Append(PendingRequests,.
 <MsgId = new(MessageId),
 Kind = OpRequest,
 Command = Command,
 Responses = {for all (N:ProcessName) in Nkcps:
 <Respondent = N,
 Text = nil,
 State = NoResponse>}>)

 for all (N:ProcessName) in Nkcps:
 KernelCalled[SendMessage(N)]

9 December 1977
Unit Record Process

System Development Corporation
TM-6062/111/00

OP7abc: LOCATE of Reader, Printer, Punch

```
given: Raddr: DeviceAddress
entry: for some (D:DeviceEntry) in Devices:
        D.Raddr = Raddr
error on D.CyclePosition ~inset {AttachedToSpoolingProcess,
                                AttachedToUser}
exit:  N"PendingRequests = Append(PendingRequests,
        <MsgId = new[MessageId],
        Kind = OpRequest,
        Command = LOCATE,
        Responses = [
            <Respondent = D.AttachedProcess,
            Text = nil,
            State = NoResponse}]>
KernelCalled[SendMessage(D.AttachedProcess)]
```

9 December 1977
Unit Record Process

System Development Corporation
TM-6062/111/00

OP7d: LOCATE of Tape Drive

```
given: Raddr: DeviceAddress
entry: for some (T:TapeDriveEntry) in TapeDrives:
       T.Raddr = Raddr
error on T.State == AttachedToUser
exit:  N"PendingRequests = Append[PendingRequests,
      <MsgId = new[MessageId],
      Kind = OpRequest,
      Command = LOCATE,
      Responses = [
          <Respondent = T.AttachedProcess,
          Text = nil,
          State = NoResponse}]>
KernelCalled[SendMessage(T.AttachedProcess)]
```

9 December 1977
Unit Record Process

System Development Corporation
TM-6062/111/00

```
OP8: command:  
      SHUTDOWN  
  
entry: true  
  
action: ShuttingDown <- true  
        for all (DR:OutputDeviceRequest)  
          in PrinterSpoolRequests:  
            if DR.State = WaitingForDevice  
              then PrinterSpoolRequests <- Remove[  
                  PrinterSpoolRequests,DR]  
            end  
        for all (DR:OutputDeviceRequest)  
          in PunchSpoolRequests:  
            if DR.State = WaitingForDevice  
              then PunchSpoolRequests <- Remove[  
                  PunchSpoolRequests,DR]  
            end  
  
exit: N"ShuttingDown = true  
  
for all (DR:OutputDeviceEntry) in PrinterSpoolRequests:  
  N"DR.State == WaitingForDevice  
for all (DR:OutputDeviceEntry) in PunchSpoolRequests:  
  N"DR.State == WaitingForDevice
```

9 December 1977
Unit Record Process

System Development Corporation
TM-6062/111/00

OP9abc: Vary offline (Reader, Printer, Punch)

given: Raddr: DeviceAddress
entry: for some (D:DeviceEntry) in Devices:
 D.Raddr = Raddr
error on D.CyclePosition ~inset {Available,OffLine}
action: D.CyclePosition <- OffLine
 D.State <- NotSpooling
 KernelCall[SendMessage(
 OffLine[D.Raddr],
 OpProcess)]
exit: N"D.CyclePosition = OffLine
N"D.State = NotSpooling
 KernelCalled[SendMessage(OpProcess)]

9 December 1977
Unit Record Process

System Development Corporation
TM-6062/111/00

OP9d: Vary offline (Tape Drive)

given: Raddr: DeviceAddress

entry: for some (T:TapeDriveEntry) in TapeDrives:
T.Raddr = Raddr

error on T.State ~inset {Available,OffLine}

action: T.State <- OffLine
KernelCall{SendMessage(
OffLine(T.Raddr),
OpProcess)}

exit: N=T.State = OffLine

KernelCalled{SendMessage(OpProcess)}

9 December 1977
Unit Record Process

System Development Corporation
TM-6062/111/00

OP10a: Vary online (Reader)

given: Raddr: DeviceAddress

entry: for some (R:ReaderEntry) in Readers:
R.Raddr = Raddr

error on ~(R.State = NotSpooling
&
R.CyclePosition = OffLine)

action: R.State <- Drained
R.CyclePosition <- Available
R.ClassesServedCurrently <- R.ClassesServedNextCycle
R.AttachedProcess <- URProcess
KernelCall [SendMessage(OnLine(R.Raddr), OpProcess)]

exit: N"R.State = Drained
N"R.CyclePosition = Available
N"R.ClassesServedCurrently = R.ClassesServedNextCycle
N"R.AttachedProcess = URProcess
KernelCalled [SendMessage(OpProcess)]

9 December 1977
Unit Record Process

System Development Corporation
TM-6062/111/00

OP10bc: Vary online (Output Device)

given: Raddr: DeviceAddress
entry: for some (D:OutputDeviceEntry) in OutputDevices:
 D.Raddr = Raddr
error on ~(D.State = NotSpooling
 &
 D.CyclePosition = OffLine)
action: D.State <- Drained
 D.CyclePosition <- Available
 D.ClassesServedCurrently <- D.ClassesServedNextCycle
 D.AttachedProcess <- URProcess
 D.RelinquishDeviceRequestState <- NoNeed
 KernelCall[SendMessage(OnLine[D.Raddr],OpProcess)]
exit: N"D.State = Drained
 N"D.CyclePosition = Available
 N"D.ClassesServedCurrently = D.ClassesServedNextCycle
 N"D.AttachedProcess = URProcess
 N"D.RelinquishDeviceRequestState = NoNeed
 KernelCalled[SendMessage(OpProcess)]

9 December 1977
Unit Record Process

System Development Corporation
TM-5062/111/00

OP10d: Vary online (Tape Drive)

```
given: Raddr: DeviceAddress
entry: for some (T:TapeDriveEntry) in TapeDrives:
        (T.Raddr = Raddr)
error on ~(T.State = OffLine)
action: T.State <- Available
        T.AttachedProcess <- URPProcess
        KernelCall[SendMessage(
                OnLine(T.Raddr),
                OpProcess)]
exit:  N" T.State = Available
      N" T.AttachedProcess = URPProcess
      KernelCalled(SendMessage(OpProcess))
```

9 December 1977
Unit Record Process

System Development Corporation
TM-6062/111/00

AUTH3abc: Attach device to process. (request from AuthProcess)
(Reader, Printer, Punch)

```
given: Raddr: DeviceAddress
       Process: ProcessName

entry: for some (D:DeviceEntry) in Devices:
       D.Raddr = Raddr

error on for all (N:NkcpEntry) in CurrentNkcps:
       N.Process ~= Process

action: if D.State = Drained
&
       D.CyclePosition = Available
       then let (N:NkcpEntry) in CurrentNkcps:
           (N.Process = Process) in
           KernelCall(GrantAccess(D.Raddr,N.Process))
           if OK
               then D.State <- NotSpooling
                   D.CyclePosition <- AttachedToUser
                   D.AttachedProcess <- N.Process
                   KernelCall(SendMessage(
                           Attached(D.Raddr,
                                   N.Process),
                           AuthProcess))
               else /* Kernel did not allow the access */
                   KernelCall(SendMessage(
                           AttachFailed(D.Raddr,N.Process),
                           AuthProcess))
           end
       else /* device not available at this time */
           KernelCall(SendMessage(
                           DeviceNotAvailable(D.Raddr),
                           AuthProcess))
   end
```

```
exit: N"D.State =
      if D.State = Drained
        &
        D.CyclePosition = Available
        &
        GrantedAccess
        then NotSpooling
        else D.State
      end
      N"D.CyclePosition =
      if D.State = Drained
        &
        D.CyclePosition = Available
        &
        GrantedAccess
        then AttachedToUser
        else D.CyclePosition
      end
      N"D.AttachedProcess =
      if D.State = Drained
        &
        D.CyclePosition = Available
        &
        GrantedAccess
        then N.Process
        else D.AttachedProcess
      end
      if D.State = Drained
        &
        D.CyclePosition = Available
        then KernelCalled[GrantAccess(D.Raddr)]
      and
      KernelCalled[SendMessage(AuthProcess)]
```

AUTH3d: Attach tape drive (request from AuthProcess)

```
given: Raddr: DeviceAddress
       Process: ProcessName
       ReqAccess: AccessModes

entry: for some (T:TapeDriveEntry) in TapeDrives:
       T.Raddr = Raddr

error on for all (N:NkcpEntry) in CurrentNkcps:
       N.Process ~ Process

action: let (N:NkcpEntry) in CurrentNkcps:
         (N.Process = Process) in
         if T.State = Available
             then KernelCall[GrantAccess(
                     T.Raddr,
                     N.Process,
                     ReqAccess)]
             if OK
                 then T.State <- AttachedToUser
                     T.AttachedProcess <- N.Process
                     KernelCall[SendMessage(
                         Attached[T.Raddr],
                         AuthProcess)]
                 else KernelCall[SendMessage(
                     NotOK[T.Raddr],
                     AuthProcess)]
             end
         else KernelCall[SendMessage(
             DriveNotAvailable[T.Raddr],
             AuthProcess)]
     end
```

9 December 1977
Unit Record Process

System Development Corporation
TM-6062/111/00

```
exit: N"T.State =  
      if T.State = Available  
      &  
      GrantedAccess  
      then AttachedToUser  
      else T.State  
      end  
N"T.AttachedProcess =  
      if T.State = Available  
      &  
      GrantedAccess  
      then N.Process  
      else T.AttachedProcess  
      end  
KernelCalled[SendMessage(AuthProcess)]
```

OPlabel: Attach device to process (request from operator)
(Reader, Printer, Punch)

given: Raddr: DeviceAddress
Process: ProcessName

entry: for some (D:DeviceEntry) in Devices:
 D.Raddr = Raddr

error on for all (N:NkcpEntry) in CurrentNkcps:
 N.Process ~~= Process

action: let (N:NkcpEntry) in CurrentNkcps:
 (N.Process = Process)
 if D.State = Drained
 &
 D.CyclePosition = Available
 then if for some (A:DeviceAddress)
 in union of (N.UsableReaders,
 N.UsablePrinters,
 N.UsablePunches):
 (A = D.Raddr)
 then KernelCall [GrantAccess(
 D.Raddr,
 N.Process)]
 if OK
 then D.State <- NotSpooling
 D.CyclePosition
 <- AttachedToUser
 D.AttachedProcess
 <- N.Process
 KernelCall [SendMessage(
 Attached[
 D.Raddr,
 N.Process],
 OpProcess)]
 KernelCall [SendMessage(
 Attached[D.Raddr],
 N.Process)]
 else KernelCall [SendMessage(
 AttachFailed[
 D.Raddr,
 N.Process],
 OpProcess)]
 end
 else KernelCall [SendMessage(
 DeviceNotUsable[
 D.Raddr,
 N.Process],
 OpProcess)]
end

9 December 1977
Unit Record Process

System Development Corporation
TM-6062/111/00

```
else KernelCall(SendMessage(
    DeviceNotAvailable(D.Raddr),
    OpProcess))
end

exit: N"D.State =
    if D.State = Drained
    &
    D.CyclePosition = Available
    &
    GrantedAccess
    then NotSpooling
    else D.State
end
N"D.CyclePosition =
    if D.State = Drained
    &
    D.CyclePosition = Available
    &
    GrantedAccess
    then AttachedToUser
    else D.CyclePosition
end
N"D.AttachedProcess =
    if D.State = Drained
    &
    D.CyclePosition = Available
    &
    GrantedAccess
    then N.Process
    else D.AttachedProcess
end

KernelCalled(SendMessage(OpProcess))
if D.State = Drained
&
D.CyclePosition = Available
then KernelCalled(GrantAccess)
    if GrantedAccess
        then KernelCalled(SendMessage(N.Process))
    end
end
```

9 December 1977
Unit Record Process

System Development Corporation
TM-6062/111/00

OP12abc: Detach dedicated device from user (request from operator) (Reader, Printer, Punch)

```
given: Raddr: DeviceAddress
       Process: ProcessName

entry: for some (D:DeviceEntry) in Devices:
       (D.Raddr = Raddr)

error on ~(D.State = NotSpooling
      &
      D.CyclePosition = AttachedToUser
      &
      D.AttachedProcess = Process)

action: D.CyclePosition <- DetachPending
        KernelCall[SendMessage(
                      RelinquishDevice(D.Raddr),
                      D.AttachedProcess)]

exit:  N"D.CyclePosition = DetachPending
        N"PendingRequests = Append[PendingRequests,
                                    <MsgId = new[MessageId],
                                    Kind = RelinquishDevice,
                                    Command = ??,
                                    Responses = {
                                      <Respondent = D.AttachedProcess,
                                       Text = nil,
                                       State = NoResponse>}>]
```

9 December 1977
Unit Record Process

System Development Corporation
TM-6062/111/00

NKCP2abc: Detach dedicated device from user (request from attached process) (Reader, Printer, Punch)

given: Raddr: DeviceAddress
Process: ProcessName

entry: for some (D:DeviceEntry) in Devices:
(D.Raddr = Raddr)

error on ~(D.State = NotSpooling
&
D.CyclePosition = AttachedToUser
&
D.AttachedProcess = Process)

actions: KernelCall [ReleaseDevice(
 U.Raddr,
 D.AttachedProcess)]
if OK
 then D.State <- Drained
 D.CyclePosition <- Available
 D.AttachedProcess <- URProcess
 KernelCall [SendMessage(
 Detached(D.Raddr),
 D.AttachedProcess)]
 KernelCall [SendMessage(
 Attached(D.Raddr),
 OpProcess)]
 else KernelCall [SendMessage(
 DeviceNotReusable(D.Raddr),
 D.AttachedProcess)]
 KernelCall [SendMessage(
 DevicesNotReusable(
 D.Raddr,
 D.AttachedProcess),
 OpProcess)]
end

9 December 1977
Unit Record Process

System Development Corporation
TM-6062/111/08

```
exit: N"D.State =
      if DeviceReleased
        then Drained
        else D.State
      end
N"D.CyclePosition =
      if DeviceReleased
        then Available
        else D.CyclePosition
      end
N"D.AttachedProcess =
      if DeviceReleased
        then URPProcess
        else D.AttachedProcess
      end

KernelCalled[ReleaseDevice]
KernelCalled[SendMessage(D.AttachedProcess)]
KernelCalled[SendMessage(OpProcess)]
```

9 December 1977
Unit Record Process

System Development Corporation
TM-6062/111/00

OP12d: Detach dedicated device from user (request from operator)
(Tape Drive)

```
given: Raddr: DeviceAddress
      Process: ProcessName

entry: for some (T:TapeDriveEntry) in TapeDrives:
       (T.Raddr = Raddr)

error on ~(T.State = Attached
    &
    T.AttachedProcess = Process)

action: T.State <- DetachPending
        KernelCall[SendMessage(
            RelinquishDevice[T.Raddr],
            T.AttachedProcess)]

exit:  N" T.State = DetachPending
        N"PendingRequests = Append(PendingRequests,
            <MsgId = new[MessageId],
            Kind = RelinquishDevice,
            Command = ??,
            Responses = [
                <Respondent = T.AttachedProcess,
                Text = nil,
                State = NoResponse>}>]
        KernelCalled[SendMessage(T.AttachedProcess)]
```

9 December 1977
Unit Record Process

System Development Corporation
TM-6062/111/00

NKCP2d: Detach dedicated device from user (request from attached process) (Tape Drive)

```
given: Raddr: DeviceAddress
       Process: ProcessName

entry: for some (T:TapeDriveEntry) in TapeDrives:
       (T.Raddr = Raddr)

error on ~(T.State = Attached
     &
     T.AttachedProcess = Process)

action: KernelCall[ReleaseDevice(
           T.Raddr,
           T.AttachedProcess)]
if OK
  then T.State <- Available
      T.AttachedProcess <- URProcess
      KernelCall[SendMessage(
                  Detached[T.Raddr],
                  T.AttachedProcess)]
      KernelCall[SendMessage(
                  Detached[T.Raddr],
                  OpProcess)]
  else KernelCall[SendMessage(
                  DeviceNotReleasable[T.Raddr],
                  T.AttachedProcess)]
      KernelCall[SendMessage(
                  DeviceNotReleasable(
                      T.Raddr,
                      T.AttachedProcess),
                  OpProcess)]
end

exit: N" T.State =
        if DeviceReleased
          then Available
          else T.State
        end
N" T.AttachedProcess =
        if DeviceReleased
          then URProcess
          else T.AttachedProcess
        end

KernelCalled[ReleaseDevice]
KernelCalled[SendMessage(T.AttachedProcess)]
KernelCalled[SendMessage(OpProcess)]
```

9 December 1977
Unit Record Process

System Development Corporation
TM-6062/111/08

NKCPIabc: Process message, relinquishing device as requested
(Reader, Printer, Punch)

```
given: Raddr: DeviceAddress
      Process: ProcessName

entry: for some (D:DeviceEntry) in Devices:
      D.Raddr = Raddr

error on ~(D.State = NotSpooling
&
D.CyclePosition = DetachPending
&
D.AttachedProcess = Process)

action: KernelCall[ReleaseDevice(D.Raddr,D.AttachedProcess)]
if OK
  then KernelCall[SendMessage(
    Detached(D.Raddr),
    OpProcess)]
  KernelCall[SendMessage(
    Detached(D.Raddr),
    D.AttachedProcess)]
  D.State <- Drained
  D.CyclePosition <- Available
  D.AttachedProcess <- URPProcess
else KernelCall[SendMessage(
  DeviceNotReleasable(D.Raddr),
  D.AttachedProcess)]
KernelCall[SendMessage(
  DeviceNotReleasable[
    D.Raddr,
    D.AttachedProcess],
  OpProcess)]
end
```

9 December 1977
Unit Record Process

System Development Corporation
TM-6062/111/00

```
exit: N"D.State =  
      if DeviceReleased  
        then Drained  
        else D.State  
      end  
N"D.CyclePosition =  
      if DeviceReleased  
        then Available  
        else D.CyclePosition  
      end  
N"D.AttachedProcess =  
      if DeviceReleased  
        then URPProcess  
        else D.AttachedProcess  
      end  
KernelCalled[ReleaseDevice]  
KernelCalled[SendMessage(D.AttachedProcess)]  
KernelCalled[SendMessage(OpProcess)]
```

9 December 1977
Unit Record Process

System Development Corporation
TM-6062/111/00

CP13: Loadbuf

given: Raddr: DeviceAddress
entrys: for some (P:PrinterEntry) in Printers:
P.Raddr = Raddr
error on ~ (P.State = Drained
&
P.CyclePosition = Available)
exit: true

9 December 1977
Unit Record Process

System Development Corporation
TM-6062/111/00

NKCP1d: Process message, relinquishing device as requested (Tape Drive)

```
given: Raddr: DeviceAddress
      Process: ProcessName

entry: for some (T:TapeDriveEntry) in TapeDrives:
      T.Raddr = Raddr

error on ~(T.State = DetachPending
      &
      T.AttachedProcess = Process)

actions KernelCall [ReleaseDevice(T.Raddr,Process)]
if OK
  then KernelCall [SendMessage(
    Detached[T.Raddr],
    OpProcess)
  KernelCall [SendMessage(
    Detached[T.Raddr],
    T.AttachedProcess)]
  T.State <- Available
  T.AttachedProcess <- URPProcess
else KernelCall [SendMessage(
  DeviceNotReleasable[T.Raddr],
  T.AttachedProcess)]
  KernelCall [SendMessage(
    DeviceNotReleasable[
      T.Raddr,
      T.AttachedProcess],
    OpProcess)]
end

exit: N" T.State =
      if DeviceReleased
        then Available
        else T.State
      end
N" T.AttachedProcess =
      if DeviceReleased
        then URPProcess
        else T.AttachedProcess
      end

  KernelCalled[ReleaseDevice]
  KernelCalled[SendMessage(T.AttachedProcess)]
  KernelCalled[SendMessage(OpProcess)]
```

9 December 1977
Unit Record Process

System Development Corporation
TM-6062/111/00

OP11d: Attach tape drive (request from operator)

```
given: Raddr: DeviceAddress
       ReqAccess: AccessModes
       TapeSecLevel: ProcessName
       Process: ProcessName

entry: for some (T:TapeDriveEntry) in TapeDrives:
       T.Raddr = Raddr

error on for all (N:NkcpEntry) in CurrentNkcps:
       N.Process ~ Process

action: let (N:NkcpEntry) in CurrentNkcps:
       (N.Process = Process) in
       if for some (D:DeviceAddress) in N.UsableTapeDrives:
           D = T.Raddr
           then if T.State = Available
               then KernelCall [CheckSecLevel(
                   TapeSecLevel,
                   ReqAccess,
                   T.Raddr,
                   N.Process)]
               if OK
                   then KernelCall [GrantAccess(
                       T.Raddr,
                       N.Process,
                       ReqAccess)]
                   if OK
                       then KernelCall [SendMessage(
                           Attached[T.Raddr],
                           N.Process)]
                           KernelCall [SendMessage(
                               Attached[
                                   T.Raddr,
                                   N.Process],
                               OpProcess)]
                           T.State <- Attached
                           T.AttachedProcess <-
                               N.Process
                           else CannotAttach[NotOK]
                       end
                       else CannotAttach[
                           CannotUseTape]
                   end
                   else CannotAttach[TapeDriveNotAvailable]
               end
           else CannotAttach[CannotUseTapeDrive]
       end
```

9 December 1977
Unit Record Process

System Development Corporation
TM-6062/111/00

```
macro CannotAttach(Reason) =
    KernelCall[SendMessage(
        NotAttached(
            T.Raddr,
            N.Process,
            Reason),
        OpProcess))

exit: N"T.State =
    if for some (D:DeviceAddress)
        in N.UsableTapeDrives:
        (D = T.Raddr)
    &
    T.State = Available
    &
    CheckedSecLevel
    &
    GrantedAccess
    then Attached
    else T.State
end

N"T.AttachedProcess =
    if for some (D:DeviceAddress)
        in N.UsableTapeDrives:
        (D = T.Raddr)
    &
    T.State = Available
    &
    CheckedSecLevel
    &
    GrantedAccess
    then Process
    else T.AttachedProcess

KernelCalled[SendMessage(OpProcess)]
if for some (D:DeviceAddress) in N.UsableTapeDrives:
    (D = T.Raddr)
&
    T.State = Available
    then KernelCalled[CheckSecLevel]
        if CheckedSecLevel
            then KernelCalled[GrantAccess(T.Raddr)]
                if GrantedAccess
                    then KernelCalled[
                        SendMessage(Process)]
                end
            end
        end
    end
end
```

9 December 1977
Unit Record Process

System Development Corporation
TM-6062/111/00

AUTH1: Add Nkcp

```
given: Process: ProcessName
      Readers: set of DeviceAddress
      Printers: set of DeviceAddress
      Punches: set of DeviceAddress
      TapeDrives: set of DeviceAddress

entry: true

error on for some (N:NkcpEntry) in CurrentNkcps:
      N.Process = Process

exit: N"CurrentNkcps = Append[CurrentNkcps,
      <Process = Process,
      UsableReaders = Readers,
      UsablePrinters = Printers,
      UsablePunches = Punches,
      UsableTapeDrives = TapeDrives>]
```

9 December 1977
Unit Record Process

System Development Corporation
TM-6062/111/00

AUTH2: Delete Nkcp

```
given: Process: ProcessName
entry: true
error on for all (N:NkcpEntry) in CurrentNkcps:
      N.Process == Process
let (N:NkcpEntry) in CurrentNkcps:
      (N.Process = Process) in
exit: N"CurrentNkcps = Remove[CurrentNkcps,N]
      for all (DR:OutputDeviceEntry) in PrinterSpoolRequests:
          N"DR.AttachedProcess == N.Process
      for all (DR:OutputDeviceEntry) in PunchSpoolRequests:
          N"DR.AttachedProcess == N.Process
```

9 December 1977
Unit Record Process

System Development Corporation
TM-6062/111/00

KERN1: message from Kernel, re device availability (during scan at system initialization).

```
given: Raddr: DeviceAddress
entry: true
actions: if for some (D:DeviceEntry) in Devices:
          D.Raddr = Raddr
          then error on D.State == Drained
              D.State <- NotAvailableForSpooling
              D.CyclePosition <- OffLine
          else if for some (T:TapeDriveEntry) in TapeDrives:
              T.Raddr = Raddr
              then error on T.State == Available
                  T.State <- OffLine
              else error
          end
exit:  if for some (D:DeviceEntry) in Devices:
          (D.Raddr = Raddr)
          then N"D.State = NotAvailableForSpooling
              N"D.CyclePosition = OffLine
          else if for some (T:TapeDriveEntry) in TapeDrives:
              (T.Raddr = Raddr)
              then N"T.State = OffLine
          end
end
```

Authorization Process
Semi-Formal Description

This section contains a semi-formal description of the Authorization Process of KVM/370.

Data Types

primitive types and structuring mechanisms:

```
boolean [unordered, two elements: true, false]
string [unbounded, predefined string of length zero: nil]
integer subrange

scalar [ordered element list]
set [of any type, predefined empty set: nil]
record [field list]
```

undefined types:

```
DeviceAddress
LineAddress
ProcessName
VirtualMachineName
VolumeId
```

undefined functions / macros:

```
Dominates
DeviceType
#Cylinders
```

CommandName: scalar(
 AUTOLOG,
 ATTACH-RADOR,
 DETACH-RADOR,
 VARY,
 QUERY-DASD,
 QUERY-LINES,
 QUERY-GRAF,
 QUERY-NAMES,
 QUERY-USERS-X,
 QUERY-ALL,
 QUERY-SYSTEM-RADOR,
 QUERY-RADOR,
 QUERY-USERS-USERID,
 QUERY-USERID,
 LOCATE-RADOR,
 SHUTDOWN)

RequestCategory: scalar(
 Attach,
 ClearLine,
 ReDirectLine,
 WriteAndReadLine,
 OnRequest,
 NewVM,
 ConnectVM,
 NewUser,
 NewOrConnectedVM,
 RelinquishDevice)

ResponseStatus: scalar(
 NoResponse,
 Responded)

AccessModes: scalar(
 Read,
 Write)

LineStatus: scalar(
 Retry,
 Disabled,
 Available,
 ReadInitialPassword,
 ReadAccessPassword,
 PerformResourceChecks,
 HookingPeripherals,
 NotifyingNkcp,
 Attached,
 ReadLinkPassword,
 ReEnablePending)

SharableDriveStatus: scalar(
 OffLine,
 Available,
 AttachedToSystem)

DriveStatus: scalar(
 OffLine,
 DetachPending,
 AttachedToUser,
 Available)

VolumeStatus: scalar(
 Mounted,
 NotMounted)

LinkAccess: scalar(R,RR,W,WR,M,MR,MW)

LineCondition: subset of LineStatus: (
 Disabled,
 Available)

ActivityStatus: scalar(
 Free,
 Attached,
 AttachValidation)

AccessCategory: scalar(
 Logon,
 Dial)

9 December 1977
Authorization Process

System Development Corporation
TM-6062/111/00

```
ReasonTypes: scalar(
    IncorrectLogon,
    ResourceFailure,
    SecurityViolation,
    MaxThresholdExceeded,
    NoNcp,
    NoVm,
    TerminalClearanceMismatch)

LogoffReasons: scalar(
    UserChoice,
    Forced,
    Disconnected)

DirectoryEntry:
record
    UserId: VirtualMachineName
    LogonPassword: string
    DialPassword: string
    LinkPassword: string
    MaxSecLevel: ProcessName
    MinSecLevel: ProcessName
    DedicatedDevices: set of DedicatedDeviceEntry
    Links: set of MDLinkEntry
    IplDefined: boolean
    AccessPasswords: set of AccessPasswordEntry
end

LineEntry:
record
    Laddr: LineAddress
    MaxSecLevel: ProcessName
    MinSecLevel: ProcessName
    State: ActivityStatus
    CyclePosition: LineStatus
    RequestedSecLevel: ProcessName
    AttachedVm: VirtualMachineName
    Connection: AccessCategory
    LineDropped: boolean
    #Retries: 0..#MaxRetries
    #AwaitingHooks: nonnegative integer
    Msg: string
end
```

9 December 1977
Authorization Process

System Development Corporation
TM-6062/111/00

```
NkcpEntry:  
record  
  Process: ProcessName  
  VMs: set of VMEntry  
  AttachedDevices: set of AttachedDeviceEntry  
  Links: set of MOLinkEntry  
end  
  
AccessPasswordEntry:  
record  
  SecLevel: ProcessName  
  Password: string  
end  
  
VMEntry:  
record  
  VMName: VirtualMachineName  
  Laddr: LineAddress  
  Disconnected: boolean  
  Users: set of LineAddress  
end  
  
DedicatedDeviceEntry:  
record  
  Raddr: DeviceAddress  
  VolSecLevel: ProcessName  
  Access: set of AccessModes  
end  
  
AttachedDeviceEntry:  
record  
  Raddr: DeviceAddress  
  Access: set of AccessModes  
end  
  
MOLinkEntry:  
record  
  MDName: MiniDiskName  
  Access: set of AccessModes  
end  
  
ProcessLinkEntry:  
record  
  Process: ProcessName  
  Access: set of AccessModes  
end
```

9 December 1977
Authorization Process

System Development Corporation
TM-6062/111/00

```
URPOwnedDeviceEntry:  
record  
    Raddr: DeviceAddress  
    MaxSecLevel: ProcessName  
    MinSecLevel: ProcessName  
end  
  
NonshareableDriveEntry:  
record  
    Raddr: DeviceAddress  
    MaxSecLevel: ProcessName  
    MinSecLevel: ProcessName  
    State: DriveStatus  
    AttachedProcess: ProcessName  
    Access: set of AccessModes  
end  
  
ShareableDriveEntry:  
record  
    Raddr: DeviceAddress  
    State: ShareableDriveStatus  
    SecLevel: ProcessName  
    MountedVolume: VolumeId  
end  
  
SharedVolumeEntry:  
record  
    Volume: VolumeId  
    SecLevel: ProcessName  
    MountedDevice: DeviceAddress  
    State: VolumeStatus  
end  
  
MiniDiskEntry:  
record  
    MDName: MiniDiskName  
    ContainingVolume: VolumeId  
    Cylinders: (1..#MaxCylinders,  
               1..#MaxCylinders)  
    SecLevel: ProcessName  
    CurrentLinks: set of ProcessLinkEntry  
    AccessControlList: set of ACLEntry  
end
```

9 December 1977
Authorization Process

System Development Corporation
TM-6062/111/00

```
ACLEntry:  
record  
  User: VirtualMachineName  
  Access: set of AccessModes  
end  
  
ResponseSlot:  
record  
  Respondent: ProcessName  
  Text: string  
  State: ResponseStatus  
end  
  
PendingRequest:  
record  
  MsgId: MessageId  
  Kind: RequestCategory  
  Command: CommandName  
  Responses: set of ResponseSlot  
end
```

Data Structures

```
constant AddressSpaceSize: 0..8192
constant CodeSize: 0..8192
constant #MaxCylinders: positive integer
constant Code: integer
constant #MaxRetries: nonnegative integer
constant #MaxNkcps: nonnegative integer
constant #MaxVMs: nonnegative integer

#Nkcps: 0..#MaxNkcps
#VMs: 0..#MaxVMs
#Users: nonnegative integer
ShuttingDown: boolean
URPOwnedDevices: set of URPOwnedDeviceEntry
NonshareableDrives: set of NonshareableDriveEntry
ShareableDrives: set of ShareableDriveEntry
SharedVolumes: set of SharedVolumeEntry
MiniDisks: set of MiniDiskEntry
CurrentNkcps: set of NkcpEntry
Lines: set of LineEntry
UserDirectory: set of DirectoryEntry
PendingRequests: set of PendingRequest
```

Initial Conditions

```
//Nkcps = 0
&
//VMs = 0
&
//Users = 0
&
(~ShuttingDown)
&
for all (NS:NonshareableDriveEntry) in NonshareableDrives:
    (NS.State = Available
    &
    NS.AttachedProcess = AuthProcess)
&
for all (S:SharableDriveEntry) in SharableDrives:
    (S.State = Available)
&
for all (V:SharedVolumeEntry) in SharedVolumes:
    (V.State = NotMounted)
&
for all (M:MiniDiskEntry) in MiniDisks:
    (Empty(M.CurrentLinks))
&
Empty(CurrentNkcps)
&
for all (L:LineEntry) in Lines:
    (L.State = Free
    &
    L.CyclePosition = Available
    &
    L.AttachedVM = AuthProcess)
&
Empty(PendingRequests)
```

Invariant Assertions

```
//Nkcps <= #MaxNkcps
&
//VMs <= #MaxVMs
&
//Users <= #MaxUsers
&
InvariantsOfURPOwnedDevices
&
InvariantsOfNonshareableDrives
&
InvariantsOfShareableDrives
&
InvariantsOfSharedVolumes
&
InvariantsOfMiniDisks
&
InvariantsOfCurrentNkcps
&
InvariantsOfLines
&
InvariantsOfPendingRequests
&
InvariantsOfUserDirectory
```

9 December 1977
Authorization Process

System Development Corporation
TM-6062/111/00

InvariantsOfURPOwnedDevices =
for all (U1,U2:URPOwnedDeviceEntry) in URPOwnedDevices:
 (U1.Raddr = U2.Raddr => U1 = U2)
6
for all (U:URPOwnedDeviceEntry) in URPOwnedDevices:
 (Dominates(U.MaxSecLevel,U.MinSecLevel)
 &
 DeviceType(Raddr) in set {Reader,Printer,Punch,TapeDrive})

9 December 1977
Authorization Process

System Development Corporation
TM-6062/111/00

InvariantsOfNonshareableDrives =

```
for all (NS1,NS2:NonshareableDriveEntry) in NonshareableDrives:  
  (NS1.Raddr = NS2.Raddr => NS1 = NS2)  
&  
for all (NS:NonshareableDriveEntry) in NonshareableDrives:  
  (Dominates(NS.MaxSecLevel,NS.MinSecLevel)  
  &  
  NS.State = Attached =>  
    for some (N:NkcpEntry) in CurrentNkcps:  
      (N.Process = NS.AttachedProcess  
      &  
      Dominates(NS.MaxSecLevel,N.Process)  
      &  
      Dominates(N.Process,NS.MinSecLevel)  
      &  
      for some (A:AttachedDeviceEntry) in N.AttachedDevices:  
        (A.Raddr = NS.Raddr)))
```

InvariantsOfSharableDrives =

```
for all (S1,S2:SharableDriveEntry) in SharableDrives:  
    (S1.Raddr = S2.Raddr => S1 = S2)  
&  
for all (S:SharableDriveEntry) in SharableDrives:  
    (S.State = AttachedToSystem =>  
        for some (V:SharedVolumeEntry) in SharedVolumes:  
            (V.Volume = S.MountedVolume  
            &  
            V.State = Mounted  
            &  
            V.MountedDevice = S.Raddr  
            &  
            DomIneq(S.SecLevel,V.SecLevel)))
```

9 December 1977
Authorization Process

System Development Corporation
TM-6062/111/00

```
InvariantsOfSharedVolumes =  
for all (V1,V2:SharedVolumeEntry) in SharedVolumes:  
  (V1.Volume = V2.Volume => V1 = V2)  
&  
for all (V:SharedVolumeEntry) in SharedVolumes:  
  (V.State = Mounted =>  
   for some (S:SharableDriveEntry) in SharableDrives:  
     (S.Raddr = V.MountedDevice  
      &  
      S.MountedVolume = V.Volume  
      &  
      S.State = AttachedToSystem  
      &  
      Dominates(S.SecLevel,V.SecLevel)))
```

9 December 1977
Authorization Process

System Development Corporation
TM-6062/111/08

InvariantsOfMiniDisks =
for all (M1,M2:MiniDiskEntry) in MiniDisks:
(M1.MDName = M2.MDName => M1 = M2)
&
for all (M:MiniDiskEntry) in MiniDisks:
(for some (V:SharedVolumeEntry) in SharedVolumes:
(V.Volume = M.ContainingVolume
&
Dominates(V.SecLevel,M.SecLevel))
&
M.Cylinders.2 > M.Cylinders.1
&
M.Cylinders.1 < #Cylinders(M.ContainingVolume)
&
M.Cylinders.2 <= #Cylinders(M.ContainingVolume)
&
for all (C:ProcessLinkEntry) in M.CurrentLinks:
((~Empty(C.Access))
&
for some (N:NkcpEntry) in CurrentNkcps:
(N.Process = C.Process
&
for some (L:MOLEntry) in N.Links:
(L.MDName = M.MDName
&
L.Access = C.Access)
&
for some (A:ACLEntry) in M.AccessControlList:
(for some (V:VMEntry) in N.VMs:
(V.VMName = A.User))
&
Write_inset C.Access =>
N.Process = M.SecLevel))
&
((~Empty(M.CurrentLinks)) =>
for some (V:SharedVolumeEntry) in SharedVolumes:
(V.Volume = M.ContainingVolume
&
V.State = Mounted
&
for some (S:SharableDriveEntry) in SharableDrives:
(S.Raddr = V.MountedDevice
&
S.MountedVolume = V.Volume
&
S.State = AttachedToSystem)))

9 December 1977
Authorization Process

System Development Corporation
TM-6062/111/00

```
for all (A1,A2:ACLEntry) in M.AccessControlList:  
  (A1.User = A2.User => A1 = A2)  
 &  
 for all (A:ACLEntry) in M.AccessControlList:  
  (for some (D:DirectoryEntry) in UserDirectory:  
    (D.UserId = A.User)  
 &  
 ~Empty(A.Access))
```

```
InvariantsOfCurrentNkcpes =  
  
for all (N1,N2:NkcpEntry) in CurrentNkcpes:  
    (N1.Process = N2.Process => N1 = N2)  
&  
for all (N:NkcpEntry) in CurrentNkcpes:  
    (for all (VM1,VM2:VMEntry) in N.VMs:  
        (VM1.VMName = VM2.VMName => VM1 = VM2)  
&  
    for all (AD1,AD2:AttachedDeviceEntry) in N.AttachedDevices:  
        (AD1.Raddr = AD2.Raddr => AD1 = AD2)  
&  
    for all (L1,L2:MDLinkEntry) in N.Links:  
        (L1.MDName = L2.MDName => L1 = L2)  
&  
    for all (VM:VMEntry) in N.VMs:  
        (for some (D:DirectoryEntry) in UserDirectory:  
            (D.UserId = V.VMName  
             &  
             Dominates[D.MaxSecLevel,N.Process]  
             &  
             Dominates[N.Process,D.MinSecLevel])  
            &  
            (VM.Disconnected =>  
                for all (L:LineEntry) in Lines:  
                    ((L.AttachedVM = VM.VMName  
                     &  
                     L.RequestedSecLevel = N.Process  
                     &  
                     L.State = Attached) =>  
                      L.Connection ~ Logon))  
            &  
            (~VM.Disconnected) =>  
                for some (L:LineEntry) in Lines:  
                    (L.Laddr = VM.Laddr  
                     &  
                     L.AttachedVM = VM.VMName  
                     &  
                     L.RequestedSecLevel = N.Process  
                     &  
                     L.Connection = Logon  
                     &  
                     L.State in set {AttachValidation,Attached}))  
            &  
        for all (U:LineAddress) in VM.Users:  
            (for some (L:LineEntry) in Lines:  
                (L.Laddr = U  
                 &  
                 L.AttachedVM = VM.VMName  
                 &  
                 L.RequestedSecLevel = N.Process  
                 &  
                 L.Connection = Dial
```

```
& L.State inset (AttachValidation,Attached)
& U == VM.Laddr))

& for all (AD:AttachedDeviceEntry) in N.AttachedDevices:
  (for some (NS:NonshareableDriveEntry) in NonshareableDrives:
    (NS.Raddr = AD.Raddr
    &
    Dominates (NS.MaxSecLevel,N.Process)
    &
    Dominates (N.Process,NS.MinSecLevel))
    &
    ~Empty [AD.Access])

& for all (L:MOLinkEntry) in N.Links:
  (for some (M:MiniDiskEntry) in MiniDisks:
    (M.MDName = L.MDName
    &
    Dominates (N.Process,M.SecLevel)
    &
    for some (C:ProcessListEntry) in M.CurrentLinks:
      (C.Process = N.Process
      &
      C.Access = L.Access)
    &
    for some (A:ACLEntry) in M.AccessControlList:
      (for some (VM:VMEntry) in N.VMs:
        (VM.VMName = A.User)))
    &
    Write inset L.Access =>
      M.SecLevel = N.Process)

& ~Empty [L.Access))

& for all (N1,N2:NkcpEntry) in CurrentNkcps:
  (for all (AD1:AttachedDeviceEntry) in N1.AttachedDevices:
    (for all (AD2:AttachedDeviceEntry) in N2.AttachedDevices:
      (AD1.Raddr == AD2.Raddr)))
```

InvariantsOfLines =

```
for all (L1,L2:LineEntry) in Lines,
  (L1.Laddr = L2.Laddr => L1 = L2)
&
for all (L:LineEntry) in Lines:
  (Dominates[L.MaxSecLevel,L.MinSecLevel])
  &
  L.State = AttachValidation =>
    L.CyclePosition inset {Retry,ReadInitialPassword,
                           ReadAccessPa sword,HookingPeripherals,
                           NotifyingNkcp}
  &
  L.State = Attached =>
    L.CyclePosition inset {Attached,ReadLinkPassword}
  &
  L.State = Free =>
    L.CyclePosition inset {Disabled,Available,ReEnablePending}
  &
  L.State inset {AttachValidation,Attached} =>
    (Dominates[L.MaxSecLevel,L.RequestedSecLevel]
     &
     Dominates[L.RequestedSecLevel,L.MinSecLevel])
  &
  L.State = Attached =>
    (for some (N:NkcpEntry) in CurrentNkcps:
      (N.Process = L.RequestedSecLevel
       &
       for some (VM:VMEentry) in N.VMs:
         (VM.VMName = L.AttachedVM
          &
          (L.Connection = Logon =>
            (VM.Laddr = L.Laddr
             &
             ~VM.Disconnected))
         &
         (L.Connection = Dial =>
           for some (U:LineAddress) in VM.Users:
             (U = L.Laddr))))))
```

&

9 December 1977
Authorization Process

System Development Corporation
TM-6062/111/00

```
for all (L1,L2:LineEntry) in Lines:  
  ((L1.Raddr == L2.Raddr  
   &  
   L1.State = Attached  
   &  
   L2.State = Attached  
   &  
   L1.AttachedVM = L2.AttachedVM  
   &  
   L1.RequestedSecLevel = L2.RequestedSecLevel  
   &  
   L1.Connection = Logon) ->  
     L2.Connection = Dial)
```

9 December 1977
Authorization Process

System Development Corporation
TM-6062/111/00

```
InvariantsOfPendingRequests =  
for all (P1,P2:PendingRequest) in PendingRequests:  
  (P1.MsgId = P2.MsgId => P1 = P2)  
&  
for all (P:PendingRequest) in PendingRequests:  
  (for all (R1,R2:ResponseSlot) in P.Responses:  
    (R1.Respondent = R2.Respondent => R1 = R2)  
  &  
  for some (R:ResponseSlot) in P.Responses:  
    (R.State = NoResponse)  
&  
~Empty(P.Responses))
```

InvariantsOfUserDirectory =

for all (D1,D2:DirectoryEntry) in UserDirectory:
 (D1.UserId = D2.UserId => D1 = D2)
&
for all (D:DirectoryEntry) in UserDirectory:
 (Dominates(D.MaxSecLevel,D.MinSecLevel])
 &
 for all (DD1,DD2:DedicatedDeviceEntry) in D.DedicatedDevices:
 (DD1.Raddr = DD2.Raddr => DD1 = DD2)
 &
 for all (DD:DedicatedDeviceEntry) in D.DedicatedDevices:
 (DeviceType[DD.Raddr] inset {Reader,Printer,Punch,TapeDrive} =>
 (for some (U:URPOwnedDeviceEntry) in URPOwnedDevices:
 (DD.Raddr = U.Raddr
 &
 DeviceType[DD.Raddr] = Reader =>
 (DD.VolSecLevel = nil
 &
 DD.Access = {Read})
 &
 DeviceType[DD.Raddr] inset {Printer,Punch} =>
 (DD.VolSecLevel = nil
 &
 DD.Access = {Write})
 &
 DeviceType[DD.Raddr] = TapeDrive =>
 (Dominates(U.MaxSecLevel,DD.VolSecLevel))
 &
 Dominates(DD.VolSecLevel,U.MinSecLevel))
 &
 Dominates(D.MaxSecLevel,DD.VolSecLevel))
 &
 ~Empty(DD.Access))))
 &
 DeviceType[DD.Raddr] ~inset {Reader,Printer,Punch,TapeDrive} =>
 (for some (NS:NonshareableDriveEntry)
 in NonshareableDrives:
 (NS.Raddr = DD.Raddr
 &
 Dominates(NS.MaxSecLevel,DD.VolSecLevel))
 &
 Dominates(DD.VolSecLevel,NS.MinSecLevel))
 &
 Dominates(D.MaxSecLevel,DD.VolSecLevel))
 &
 ~Empty(DD.Access))))

8

```
for all (L1,L2:MDLinkEntry) in D.Links:  
  (L1.MDName = L2.MDName => L1 = L2)  
&  
for all (L:MDLinkEntry) in D.Links:  
  (for some (M:MiniDiskEntry) in MiniDisks:  
    (M.MDName = L.MDName  
    &  
      for some (A:ACLEntry) in M.AccessControlList:  
        (A.User = D.UserId  
        &  
          for all (AM:AccessModes) in L.Access:  
            (AM in set A.Access))  
        &  
        Dominates [D.MaxSecLevel,M.SecLevel])  
    &  
    ~Empty [L.Access])  
&  
for all (AP1,AP2:AccessPasswordEntry) in D.AccessPasswords:  
  (AP1.SecLevel = AP2.SecLevel => AP1 = AP2)  
&  
for all (AP:AccessPasswordEntry) in D.AccessPasswords:  
  (Dominates [D.MaxSecLevel,AP.SecLevel]  
  &  
  Dominates [AP.SecLevel,D.MinSecLevel]))
```

9 December 1977
Authorization Process

System Development Corporation
TM-6062/111/00

(Global Macros / Functions

```
macro EndAccessSequence(Line:LineEntry,Reason:ReasonTypes) -
    case Reason: ReasonTypes of
        IncorrectLogin: M <- "Forget it, Bub"
        ResourceFailure: M <-
            "Requested security level not available
             or not able to run another VM"
        SecurityViolation:
        MaxThresholdExceeded:
        NoNcp:
        NoVMs:
        TerminalClearanceMismatch:
    end

    KernelCall[SendMessage(
        WriteLine(L.Laddr,M),
        NetworkProcess))
    KernelCall[SendMessage(
        ClearLine[Line.Laddr],
        NetworkProcess))
    Line.State <- Free
    Line.CyclePosition <- ReEnablePending
    Line.AttachedVM <- AuthProcess
```

```
macro AddNkcpToSet [Process:ProcessName] =
  //Nkcps <- //Nkcps + 1
  CurrentNkcps <- Append [CurrentNkcps,
    <Process = Process,
    VMs = nil,
    AttachedDevices = nil,
    Links = nil>]
  KernelCall [SendMessage (AddNkcp [Process, Devices], URProcess)]
  KernelCall [SendMessage (AddNkcp [Process], OpProcess)]

macro Retry [L:LineEntry] =
  L.#Retries <- L.#Retries + 1
  if L.#Retries = #MaxRetries
    then EndAccessSequence [L, MaxThresholdExceeded]
  else KernelCall [SendMessage (
    Retry [L.Laddr],
    NetworkProcess)]
  L.CyclePosition <- Retry
end

macro TryNotifyingNkcp [L:LineEntry] =
  if L.#AwaitingHooks = 0
    then L.CyclePosition <- NotifyingNkcp
    if ~L.LineDropped
      then KernelCall [SendMessage (
        RedirectLine [
          L.Laddr,
          L.AttachedProcess],
        NetworkProcess)]
    end
  KernelCall [SendMessage (NewVM [L], L.AttachedProcess)]
end
```

9 December 1977
Authorization Process

System Development Corporation
TM-6062/111/00

```
MsgOp: process
  /* subdriver of AuthProcess,
   handling messages from OpProcess */

  given: MsgId: MessageId
         Text: string

  entry: just received message, Source = OpProcess

  action: if for some (P:PendingRequest) in PendingRequests:
           (P.MsgId = MsgId)
           then /* response to request */
               error /* no requests to OpProcess */
           else /* request from OpProcess */
               case MsgName[Text] of
                 AUTOLOG:
                   OP1

                 ATTACH-RADDR:
                   DetermineRaddr[Text]
                   error on for all
                     (NS:NonshareableDriveEntry)
                     in NonshareableDrives:
                     NS.Raddr == Raddr
                   OP3

                 DETACH-RADDR:
                   DetermineRaddr[Text]
                   if for some (NS:NonshareableDriveEntry)
                      in NonshareableDrives:
                      NS.Raddr = Raddr
                   then OP4a
                   else if for some
                     (S:SharableDriveEntry)
                     in SharableDrives:
                     S.Raddr = Raddr
                   then OP4b
                   else error
                 end
               end
```

```
VARY:  
    DetermineRaddr(Text)  
    if for some (S:ShareableDriveEntry)  
        in ShareableDrives:  
        S.Raddr = Raddr  
    then OPSa  
    else if for some  
        (NS:NonshareableDriveEntry)  
        in NonshareableDrives:  
        NS.Raddr = Raddr  
    then OPSb  
    else error  
end  
  
QUERY=DASD,  
QUERY=LINES,  
QUERY=GRAF,  
QUERY=NAMES,  
QUERY=USERS-X,  
QUERY=ALL:  
    OP6a  
  
QUERY=SYSTEM=RADDR,  
QUERY=RADDR:  
    OP6b  
  
QUERY=USERS-USERID,  
QUERY=USERID:  
    OP6c  
  
LOCATE=RADDR:  
    OP7  
  
SHUTDOWN:  
    OP8  
  
MapUserId:  
    OP2  
  
other:  
    error  
end  
end  
end MsgOp
```

9 December 1977
Authorization Process

System Development Corporation
TM-6062/111/00

MsgUR: process

```
/> subdriver of AuthProcess,  
      handling messages from URProcess //  
  
given: MsgId: MessageId  
       Text: string  
  
entry: just received message, Source = URProcess. //  
  
action: if for some (P:PendingRequest) in PendingRequests:  
        P.MsgId = MsgId  
        then /* response to request */  
          error on P.Kind ~= Attach  
          case MsgName[Text] of  
            Attached:  
              UR3a  
  
              AttachFailed,  
              DeviceNotAvailable:  
              UR3b  
  
              other:  
                error  
            end  
          else /* request from URProcess */  
            case MsgName[Text] of  
              NeedNkcp:  
                UR1  
  
                MapUserId:  
                UR2  
  
                other:  
                  error  
              end  
            end  
          end  
        end  
      end
```

9 December 1977
Authorization Process

System Development Corporation
TM-6062/111/00

MsgNet: process

```
/n subdriver of AuthProcess,
    handling messages from NetworkProcess /n
given: MsgId: MessageId
Text: string

entry: just received message, Source = NetworkProcess

actions: if for some (P:PendingRequest) in PendingRequests:
    P.MsgId = MsgId
    then /n response to request /n
        case P.Kind:RequestCategory of
            ClearLine,
            ReDirectLine:
                error on MsgName[Text] == LineStatus
                NTWK1

            WriteAndReadLine:
                case MsgName[Text] of
                    LineInfo:
                        DetermineLaddr[Text]
                        let (L:LineEntry) in Lines:
                            (L.Laddr = Laddr) in
                        case L.State:ActivityStatus of
                            Attached:
                                error on
                                    L.CyclePosition == ReadLinkPassword
                                    NTWK3

                                AttachValidation:
                                    case L.CyclePosition:
                                        LineStatus of
                                            Retry:
                                                LGDL2

                                    ReadInitialPassword:
                                        LGDL3
                                    if TEMP" L.CyclePosition =
                                        PerformResourceChecks
                                    then LGDL5
                                    if TEMP" L.CyclePosition =
                                        HookingPeripherals
                                    then LGDL6
                                    LGDL7
                                end
                            TryNotifyingNkcp[L]
                        end
                end
end
```

9 December 1977
Authorization Process

System Development Corporation
TM-6062/111/00

```
ReadAccessPassword:  
    LGDL4  
if TEMP"!L.CyclePosition =  
    PerformResourceChecks  
then LGDL5  
    if TEMP"!L.CyclePosition =  
        HookingPeripherals  
    then LGDL6  
        LGDL7  
    end  
    TryNotifyingNkcp (L)  
end  
  
other:  
    error  
end  
  
other:  
    error  
end  
  
LineStatus:  
    NTWK1  
  
other:  
    error  
end  
  
other:  
    error  
end  
else /* request from NetworkProcess w/  
case MsgName[Text] of  
    LineStatus:  
        NTWK1  
  
    LineInfo:  
        LGDL1  
  
    other:  
        error  
end  
end  
end MsgNet
```

9 December 1977
Authorization Process

System Development Corporation
TM-6062/111/00

```
MsgNkcp: process
    /* subdriver of AuthProcess,
       handling messages from Nkcps */
    given: MsgId: messageId
           Text: string
           Process: ProcessName
    entry: just received message, Source = Process /*
    action: if for some (P:PendingRequest) in PendingRequests:
            P.MsgId = MsgId
            then /* response to request */
            case P.Kind:RequestCategory of
                UpRequest:
                    error on MsgName[Text] ~=
                        ResponseToUpRequest
                    ProcessResponse
                    NewVM,
                    ConnectVM,
                    NewUser,
                    NewOrConnectedVM:
                        DetermineLaddr[Text]
                        error on for all (L:LineEntry) in Lines:
                            L.Laddr == Laddr
                            LGDL8
                            if TEMP"!L.CyclePosition =
                                PerformResourceChecks
                                then LGDL9
                                if TEMP"!L.CyclePosition =
                                    HookingPeripherals
                                    then LGDL9
                                    LGDL7
                                end
                                TryNotifyingNkcp(L)
                            end
                RelinquishDevice:
                    error on MsgName[Text] == DetachDevice
                    NKCP6
                other:
                    error
            end
        end
```

9 December 1977
Authorization Process

System Development Corporation
TM-6062/111/00

```
else /* request from Nkcp */  
case MsgName[Text] of  
    Disconnect:  
        NKCP1  
  
    Logoff:  
        NKCP2  
  
    DropUser:  
        NKCP3  
  
    Link:  
        NKCP4  
  
    DetachDevice:  
        NKCP5  
  
    PurgeNkcp:  
        NKCP7  
  
    AccountingRecord:  
        NKCP8  
  
    other:  
        error  
end  
end  
end MsgNkcp
```

9 December 1977
Authorization Process

System Development Corporation
TM-6062/111/00

```
AuthDriver: process
    case HowWeGotHere of
        ExternalInterrupt:
            case InterruptSubType of
                Message:
                    case Source of
                        OpProcess:           MsgOp
                        URProcess:          MsgUR
                        NetworkProcess:     MsgNet
                        Nkcp:                MsgNkcp
                        other:               /* anybody else talk
                                            with AuthProcess? */
                    end
                other: /* any other external interrupts? */
            end
        other: /* any other important interrupt classes? */
    end

    KernelCall [ReceiveInterrupts]
    KernelCall [ReleaseCPU]
end AuthDriver
```

9 December 1977
Authorization Process

System Development Corporation
TM-6062/111/00

NTWK1: Network process message re line status (both request and response)

given: Laddr: LineAddress
CurrentLineStatus: LineCondition

error on ~{for some (L:LineEntry) in Lines:
 L.Laddr = Laddr}

action: case L.State:ActivityStatus of

 Free:

 L.CyclePosition <- CurrentLineStatus
 L.AttachedVM <- AuthProcess

 Attached:

 let (N:NkcpEntry) in CurrentNkcps:
 (for some (V:VMEEntry) in N.VMs:
 (V.VMName = L.AttachedVM
 &
 (L.Connection = Logon
 &
 V.Laddr = L.Laddr)
 or
 (L.Connection = Dial
 &
 (for some (U:LineAddress) in V.Users:
 U = L.Laddr)))) in
 let (V:VMEEntry) in N.VMs:
 (V.VIName = L.AttachedVM) in
 if L.Connection = Logon
 &
 V.Laddr = L.Laddr
 then V.Disconnected <- true
 KernelCall[SendMessage[
 LineDisconnected[
 L.Laddr,
 V.VMName],
 N.Process]]
 KernelCall[SendMessage[
 LineDisconnected[
 L.Laddr,
 V.VMName],
 OpProcess]]

9 December 1977
Authorization Process

System Development Corporation
TM-6062/111/00

```
else let (U:LineAddress) in V.Users:  
    (U = L.Laddr) in  
    KernelCall [SendMessage(  
        Dropped(V,U),  
        N.Process)]  
    KernelCall [SendMessage(  
        Dropped(V,U),  
        OpProcess)]  
    V.Users <- Remove(V.Users,U)  
end  
L.State <- Free  
L.CyclePosition <- CurrentLineStatus  
L.AttachedVM <- AuthProcess  
  
AttachValidation:  
case L.CyclePosition:LineStatus of  
    HookingPeripherals,  
    NotifyingNkcp:  
        L.LineDropped <- true  
  
    other:  
        L.State <- Free  
        L.CyclePosition <- CurrentLineStatus  
        L.AttachedVM <- AuthProcess  
end  
end
```

9 December 1977
Authorization Process

System Development Corporation
TM-6062/111/00

```
exit: N'L.State =
      if L.State = Attached
      | 
      | (L.State = AttachValidation
      | &
      |   L.CyclePosition ~inset {
      |     HookingPeripherals,
      |     NotifyingNkcp)
      | then Free
      | else L.State
      end
N'L.CyclePosition =
      if L.State = Attached
      | 
      | (L.State = AttachValidation
      | &
      |   L.CyclePosition ~inset {
      |     HookingPeripherals,
      |     NotifyingNkcp)
      | then CurrentLineStatus
      | else L.CyclePosition
      end
N'L.AttachedVM =
      if L.State = Attached
      | 
      | (L.State = AttachValidation
      | &
      |   L.CyclePosition ~inset {
      |     HookingPeripherals,
      |     NotifyingNkcp)
      | then AuthProcess
      | else L.AttachedVM
      end
N'L.LineDropped =
      if L.State = AttachValidation
      &
      L.CyclePosition inset {
        HookingPeripherals,
        NotifyingNkcp)
      then true
      else L.LineDropped
      end
```

```
if L.State = Attached
  &
  L.Connection = Logon
  &
  L.Laddr = V.Laddr
  then N"V.Disconnected = true
  else N"V.Disconnected = V.Disconnected
end
if L.State = Attached
  &
  ~(L.Connection = Logon
  &
  V.Laddr = Laddr)
  &
  for some (U:LineAddress) in V.Users:
    (U = L.Laddr)
  then N"V.Users = Remove(V.Users,U)
  else N"V.Users = V.Users
end

if L.State = Attached
  then KernelCalled[SendMessage(OpProcess)]
else KernelCalled[SendMessage(N.Process)]
end
```

9 December 1977
Authorization Process

System Development Corporation
TM-6062/111/00

LGOLI: Network Process message, LOGON or DIAL request received

```
given: Laddr: LineAddress
      AttemptedCommand: AccessCategory
      UserId: VirtualMachineName
      RequestedSecLevel: ProcessName

error on ~{for some (L:LineEntry) in Lines:
  (L.Laddr = Laddr
   &
   L.State = Free
   &
   L.CyclePosition = Available)}

action: if Dominates[L.MaxSecLevel, RequestedSecLevel]
  &
  Dominates[RequestedSecLevel, L.MinSecLevel]
  then L.#Retries <- 0
    L.#AwaitingHooks <- 0
    L.State <- AttachValidation
    L.Connection <- AttemptedCommand
    L.CyclePosition <- ReadinitialPassword
    L.AttachedVM <- UserId
    L.RequestedSecLevel <- RequestedSecLevel
    L.LineDropped <- false
    L.Msg <- nil
    KernelCall[SendMessage(
      ReadinitialPassword[L.Laddr],
      NetworkProcess)]
  else EndAccessSequence[L,
    Terminal[ClearanceMismatch]
end
```

LGDL2: Network Process message (Retry of LOGON or DIAL)

```
given: Laddr: LineAddress
       UserId: VirtualMachineName
       RequestedSecLevel: ProcessName

entry: for some (L:LineEntry) in Lines:
       (L.Laddr = Laddr
        &
        L.State = AttachValidation
        &
        L.CyclePosition = Retry)

action: if Dominates(L.MaxSecLevel, RequestedSecLevel)
        &
        Dominates(RequestedSecLevel, L.MinSecLevel)
        then L.CyclePosition <- ReadInitialPassword
            L.AttachedVM <- UserId
            L.RequestedSecLevel <- RequestedSecLevel
            KernelCall(SendMessage(
                ReadInitialPassword(L.Laddr),
                NetworkProcess))
        else EndAccessSequence(L,
                               TerminalClearanceMismatch)
        end

exit: N" L.CyclePosition =
        if Dominates(L.MaxSecLevel, RequestedSecLevel)
        &
        Dominates(RequestedSecLevel, L.MinSecLevel)
        then ReadInitialPassword
        else ReEnablePending
        end
    N" L.State =
        if Dominates(L.MaxSecLevel, RequestedSecLevel)
        &
        Dominates(RequestedSecLevel, L.MinSecLevel)
        then L.State /* AttachValidation */
        else Free
        end
    N" L.AttachedVM =
        if Dominates(L.MaxSecLevel, RequestedSecLevel)
        &
        Dominates(RequestedSecLevel, L.MinSecLevel)
        then UserId
        else AuthProcess
        end
```

```
N" L.RequestedSecLevel =
    if Dominates(L.MaxSecLevel, RequestedSecLevel)
    &
    Dominates(RequestedSecLevel, L.MinSecLevel)
    then RequestedSecLevel
    else L.RequestedSecLevel
end

N" PendingRequests =
    if Dominates(L.MaxSecLevel, RequestedSecLevel)
    &
    Dominates(RequestedSecLevel, L.MinSecLevel)
    then Append(PendingRequests, Entry1)
    else Append(PendingRequests, Entry2)
end

if Dominates(L.MaxSecLevel, RequestedSecLevel)
&
Dominates(RequestedSecLevel, L.MinSecLevel)
then KernelCalled[SendMessage(NetworkKProcess)]
else KernelCalled[SendMessage(NetworkKProcess)]
KernelCalled[SendMessage(NetworkProcess)]
end

where Entry1 = <MsgId = new(MessageId),
      Kind = WriteAndReadLine,
      Command = Undefined,
      Responses = (<Respondent = NetworkProcess,
                    Text = nil,
                    State = NoResponse) >
Entry2 = <MsgId = new(MessageId),
      Kind = ClearLine,
      Command = Undefined,
      Responses = (<Respondent = NetworkProcess,
                    Text = nil,
                    State = NoResponse) >
```

9 December 1977
Authorization Process

System Development Corporation
TM-6062/111/00

LGOL3: Userid, password, and requested security level validations

```
given: Laddr: LineAddress
       Password: string

entry: for some (L:LineEntry) in Lines:
       (L.Laddr = Laddr
        &
        L.State = AttachValidation
        &
        L.CyclePosition = ReadInitialPassword)

action: if for some (D:DirectoryEntry) in UserDirectory:
       (D.UserId = L.AttachedVM
        &
        (L.Connection = Logon =>
         D.LogonPassword = Password)
        &
        (L.Connection = Dial =>
         D.DialPassword = Password))
       then /* perform security level checks */
       if Dominates(D.MaxSecLevel,L.RequestedSecLevel)
       &
       Dominates(L.RequestedSecLevel,D.MinSecLevel)
       then if for some (A:AccessPasswordEntry)
             in D.AccessPasswords:
             (A.SecLevel = L.RequestedSecLevel)
             then L.CyclePosition <-
                  ReadAccessPassword
                  KernelCall[SendMessage(
                     ReadAccessPassword(L.Laddr),
                     NetworkProcess)]
                  else L.CyclePosition <-
                        PerformResourceChecks
             end
             else /* security violation */
                  EndAccessSequence(L.SecurityViolation)
             end
       else Retry[L]
       end
```

```
[ exit: N" L.CyclePosition =
    if for some (D:DirectoryEntry) in UserDirectory:
        (D.UserId = L.AttachedVM
        &
        (L.Connection = Logon =>
            D.LogonPassword = Password)
        &
        (L.Connection = Dial =>
            D.DialPassword = Password))
    then if Dominates(D.MaxSecLevel,L.RequestedSecLevel)
        &
        Dominates(L.RequestedSecLevel,D.MinSecLevel)
    then if for some (A:AccessPasswordEntry)
        in D.AccessPasswords:
        (A.SecLevel = L.RequestedSecLevel)
    then ReadAccessPassword
    else PerformResourceChecks
    end
    else ReEnablePending
    end
else if N" L.#Retries = #MaxRetries
    then ReEnablePending
    else Retry
    end
end
N" L.State =
if (for some (D:DirectoryEntry) in UserDirectory:
    (D.UserId = L.AttachedVM
    &
    (L.Connection = Logon =>
        D.LogonPassword = Password)
    &
    (L.Connection = Dial =>
        D.DialPassword = Password))
    &
    ~(Dominates(D.MaxSecLevel,L.RequestedSecLevel)
    &
    Dominates(L.RequestedSecLevel,D.MinSecLevel)))
    |
    N" L.#Retries = #MaxRetries
    then Free
    else L.State
end
N" L.AttachedVM =
if (for some (D:DirectoryEntry) in UserDirectory:
    (D.UserId = L.AttachedVM
    &
    (L.Connection = Logon =>
        D.LogonPassword = Password)
    &
    (L.Connection = Dial =>
        D.DialPassword = Password))
    &
```

9 December 1977
Authorization Process

System Development Corporation
TM-6062/111/00

```
~(Dominates(D.MaxSecLevel,L.RequestedSecLevel)
 &
 Dominates(L.RequestedSecLevel,D.MinSecLevel)))
|
N'L.#Retries = #MaxRetries
then AuthProcess
else L.AttachedVM
end
N'L.#Retries =
if ~(for some (D:DirectoryEntry) in UserDirectory:
(D.UserId = L.AttachedVM
 &
 (L.Connection = Logon =>
 D.LogonPassword = Password)
 &
 (L.Connection = Dial =>
 D.DialPassword = Password)))
then L.#Retries + 1
else L.#Retries
end
N'PendingRequests =
if for some (D:DirectoryEntry) in UserDirectory:
(D.UserId = L.AttachedVM
 &
 (L.Connection = Logon =>
 D.LogonPassword = Password)
 &
 (L.Connection = Dial =>
 D.DialPassword = Password))
then if Dominates(D.MaxSecLevel,L.RequestedSecLevel)
 &
 Dominates(L.RequestedSecLevel,D.MinSecLevel)
then if for some (A:AccessPasswordEntry)
in D.AccessPasswords:
(A.SecLevel = L.RequestedSecLevel)
then Append(PendingRequests,Entry1]
else PendingRequests
end
else Append(PendingRequests,Entry2)
end
else if N'L.#Retries = #MaxRetries
then Append(PendingRequests,Entry2]
else Append(PendingRequests,Entry1]
end
end
```

```
if for some (O:DirectoryEntry) in UserDirectory:  
    (O.UserId = L.AttachedVM  
     &  
      (L.Connection = Logon =>  
       O.LogonPassword = Password)  
     &  
      (L.Connection = Dial =>  
       O.DialPassword = Password))  
    then if Dominates(D.MaxSecLevel,L.RequestedSecLevel)  
    &  
        Dominates(L.RequestedSecLevel,D.MinSecLevel)  
        then if for some (A:AccessPasswordEntry)  
              in O.AccessPasswords:  
                (A.SecLevel = L.RequestedSecLevel)  
                then KernelCalled[SendMessage(  
                                         NetworkProcess)]  
                end  
            else KernelCalled[SendMessage(  
                                         NetworkProcess)]  
            KernelCalled[SendMessage(  
                                         NetworkProcess)]  
        end  
    end  
else if N'L.#Retries = #MaxRetries  
    then KernelCalled[SendMessage(  
                               NetworkProcess)]  
    KernelCalled[SendMessage(  
                               NetworkProcess)]  
else KernelCalled[SendMessage(  
                               NetworkProcess)]  
end  
end  
  
where Entry1 = <MsgId = new[MessageId],  
          Kind = WriteAndReadLine,  
          Command = Undefined,  
          Responses = (<Respondent = NetworkProcess,  
                        Text = nil,  
                        State = NoResponse)>  
Entry2 = <MsgId = new[MessageId],  
          Kind = ClearLine,  
          Command = Undefined,  
          Responses = (<Respondent = NetworkProcess,  
                        Text = nil,  
                        State = NoResponse)>
```

'GDL4: Perform access password checks

```
given: Laddr: LineAddress
       AccessPassword: string

entry: for some (L:LineEntry) in Lines:
        (L.Laddr = Laddr
         &
         L.State = AttachValidation
         &
         L.CyclePosition = ReadAccessPassword)

error on ~(for some (D:DirectoryEntry) in UserDirectory:
           (D.UserId = L.UserId
            &
            for some (A:AccessPasswordEntry)
              in D.AccessPasswords:
              A.SecLevel = L.RequestedSecLevel))

action: if A.Password = AccessPassword
        then L.CyclePosition <- PerformResourceChecks
        else KernelCall[SendMessage(
                           SecViol(L.Laddr,
                                   L.AttachedVM,
                                   RequestedSecLevel),
                           OpProcess)]
        Retry(L)
end

exit: N'L.CyclePosition =
      if A.Password = AccessPassword
        then PerformResourceChecks
        else if L.#Retries + 1 = #MaxRetries
          then ReEnablePending
          else Retry
      end
      N'L.#Retries =
      if A.Password == AccessPassword
        then L.#Retries + 1
        else L.#Retries
      end
      N'L.State =
      if A.Password == AccessPassword
        &
        N'L.#Retries = #MaxRetries
        then Free
        else L.State
      end
```

```
N"!L.AttachedVM =
    if A.Password == AccessPassword
    &
    N"!L.#Retries = #MaxRetries
    then AuthProcess
    else L.AttachedVM
end
N"PendingRequests =
    if A.AccessPassword == Password
    then PendingRequests
    else if N"!L.#Retries == #MaxRetries
        then Append(PendingRequests,Entry1)
        else Append(PendingRequests,Entry2)
    end
end

if A.Password == AccessPassword
then KernelCalled[SendMessage(OpProcess)]
    if L.#Retries + 1 == #MaxRetries
        then KernelCalled[SendMessage(
            NetworkProcess)]
        KernelCalled[SendMessage(
            NetworkProcess)]
        else KernelCalled[SendMessage(
            NetworkProcess)]
    end
end

where Entry1 = <MsgId = new[MessageId],
      Kind = ClearLine,
      Command = Undefined,
      Responses = {Respondent = NetworkProcess,
                    Text = nil,
                    State = NoResponse}>
Entry2 = <MsgId = new[MessageId],
      Kind = WriteAndReadLine,
      Command = Undefined,
      Responses = {Respondent = NetworkProcess,
                    Text = nil,
                    State = NoResponse}>
```

LGOLS: Perform resource checks

```
given: L:LineEntry in Lines
       D:DirectoryEntry in UserDirectory

error on ~(D.UserId = L.AttachedVM
      &
      ~ ShuttingDown)

action: if L.Connection = Logon
        then if for all (N:NkcpEntry) in CurrentNkcps:
              N.Process == L.RequestedSecLevel
              then if #Nkcps < #MaxNkcps
                  then CreateNkcp[L.RequestedSecLevel]
                  else EndAccessSequence[L,NoNkcp]
              end
        end
        let (N:NkcpEntry) in CurrentNkcps:
          (N.Process = L.RequestedSecLevel) in
        if for all (V:VMEntry) in N.VMs:
          V.VMName == L.AttachedVM
          then KernelCall[CreateVM(L.AttachedVM,
          N.Process)]
          if OK
            then #VMs <- #VMs + 1
            #Users <- #Users + 1
            N.VMs <- Append[N.VMs,
            <VMName =
              L.AttachedVM,
              Laddr = L.Laddr,
              Disconnected = false,
              Users = nil,
              Msg = nil>]
            L.CyclePosition <- HookingPeripherals
          else EndAccessSequence[L,NoVM]
            KernelCall[SendMessage(
            PurgelfAble,N.Process)]
        end
```

```
else let (V:VMEntry) in N.VMs:  
    (V.VMName = L.AttachedVM) in  
    if V.Disconnected  
        then V.Laddr <- L.Laddr  
        V.Disconnected <- false  
        #Users <- #Users + 1  
        KernelCall [SendMessage(  
            ReDirectLine[L.Laddr,  
                N.Process],  
            NetworkProcess)]  
        KernelCall [SendMessage(  
            ConnectedVM[L],  
            N.Process)]  
        L.CyclePosition <-  
            NotifyingNkcp  
    else Retry[L]  
end  
end  
else if L.Connection = Dial  
    then if for some (N:NkcpEntry)  
        in CurrentNkcps:  
        (N.Process = L.RequestedSecLevel)  
        &  
        for some (V:VMEntry) in N.VMs:  
            (V.VMName = L.AttachedVM))  
        then KernelCall [SendMessage(  
            ReDirectLine[  
                L.Laddr,  
                N.Process],  
            NetworkProcess)]  
            KernelCall [SendMessage(  
                NewUser[L],  
                N.Process)]  
            L.CyclePosition <-  
                NotifyingNkcp  
            #Users <- #Users + 1  
            V.Users <- Append[V.Users,  
                L.Laddr]  
        else Retry[L]  
    end  
end  
end
```

LGDL6: Attach Dedicated Devices

```
given: Laddr: LineAddress
entry: for some (L:LineEntry) in Lines:
        (L.Laddr = Laddr
         &
         L.State = AttachValidation
         &
         L.CyclePosition = HookingPeripherals)

error on ~(for some (N:NkcpEntry) in CurrentNkcps:
            (N.Process = L.AttachedProcess)
            &
            for some (D:DirectoryEntry) in UserDirectory:
                (D.UserId = L.AttachedVM))

action:
for all (A:DedicatedDeviceEntry) in D.DedicatedDevices:
if for some (B:URPOwnedDeviceEntry) in URPOwnedDevices:
    (A.Raddr = B.Raddr)
    then /* URProcess controls allocation */
        if Dominates(B.MaxSecLevel,N.Process)
            &
            Dominates(N.Process,B.MinSecLevel)
            then KernelCall(SendMessage(
                Attach[A.Raddr,N.Process],
                URProcess))
                L.#AwaitingHooks <- L.#AwaitingHooks + 1
            else L.Msg <- Concat[L.Msg,Unavail[A.Raddr]]
end
```

```
else /* AuthProcess controls allocation */
let (B:NonshareableDriveEntry) in NonshareableDrives:
    (A.Raddr = B.Raddr) in
if B.State = Available
    &
    Dominate(B.MaxSecLevel,N.Process)
    &
    Dominatus(N.Process,B.MinSecLevel)
    &
    Dominates(B.MaxSecLevel,A.VolSecLevel)
    &
    Dominates(A.VolSecLevel,B.MinSecLevel)
    &
    Dominates(N.Process,A.VolSecLevel)
then B.Access <- A.Access
    if Write in set B.Access
        &
        A.VolSecLevel == N.Process
            then B.Access <- Remove(B.Access,Write)
end

KernelCall(GrantAccess(
    B.Raddr,
    N.Process,
    B.Access))
if OK
    then N.AttachedDevices <- Append[
        N.AttachedDevices,
        <Raddr = B.Raddr,
        Access = B.Access>]
    B.State <- AttachedToUser
    B.AttachedProcess <- N.Process
    L.Msg <- Concat[L.Msg,
                    Avail[A.Raddr,Access]]
    else L.Msg <- Concat[L.Msg,
                    Unavail[A.Raddr]]
end
else L.Msg <- Concat[L.Msg,Unavail[A.Raddr]]
end
end
```

9 December 1977
Authorization Process

System Development Corporation
TM-6062/111/00

LGDL7: Perform Links at Logon

given: Laddr: LineAddress
entry: for some (L:LineEntry) in Lines:
(L.Laddr = Laddr
&
L.State = AttachValidation
&
L.CyclePosition = HookingPeripherals)
error on ~(for some (N:NkcpEntry) in CurrentNkcps:
(N.Process = L.AttachedProcess)
&
for some (D:DirectoryEntry) in UserDirectory:
(D.UserId = L.AttachedVM))
action:

9 December 1977
Authorization Process

System Development Corporation
TM-6062/111/00

LGOL8: Response to message to NKCP re new VM

```
given: VM: VirtualMachineName
       Process: ProcessName
       Laddr: LineAddress

entry: for some (L:LineEntry) in Lines:
       (L.Laddr = Laddr)

error on ~(L.AttachedVM = VM
      &
      L.State = AttachValidation
      &
      L.CyclePosition = NotifyingNkcp)

action: if Responded[Process]
        then L.State <- Attached
            L.CyclePosition <- Attached
            if L.LineDropped
                then KernelCall[SendMessage(
                    ClearLine(L.Laddr),
                    NetworkProcess)]
            end
        else if for some (N:NkcpEntry) in CurrentNkcps:
                (N.Process = Process)
                then if L.Connection = Logon
                    then KernelCall[SendMessage(
                        NewOrConnectedVM[L],
                        N.Process)]
                else KernelCall[SendMessage(
                    NewUser[L],
                    N.Process)]
            end
        else if L.LineDropped
            then KernelCall[SendMessage(
                ClearLine(L.Laddr),
                NetworkProcess)]
            L.State <- Free
        else L.CyclePosition <-
            PerformResourceChecks
    end
end
```

```
exit: N" L.State =
      if Responded[Process]
        then Attached
        else if for all (N:NkcpEntry)
              in CurrentNkcps:
                (N.Process == Process)
                &
                L.LineDropped
                then Free
                else L.State
            end
      N" L.CyclePosition =
      if Responded[Process]
        then Attached
        else if for some (N:NkcpEntry)
              in CurrentNkcps:
                (N.Process == Process)
                |
                L.LineDropped
                then L.CyclePosition
                else PerformResourceChecks
            end
      if Responded[Process]
        then KernelCalled[SendMessage(NetworkProcess)]
        else if for some (N:NkcpEntry) in CurrentNkcps:
              (N.Process == Process)
              then KernelCalled[SendMessage(N.Process)]
              else if L.LineDropped
                    then KernelCalled[SendMessage(
                                      NetworkProcess)]
            end
      end
end
```

NKCP1: Disconnect

```
given: Process: ProcessName
      VM: VirtualMachineName
      Laddr: LineAddress
      LineAction: string

error on ~(for some (N:NkcpEntry) in CurrentNkcps:
           (N.Process = Process)
           &
           for some (L:LineEntry) in Lines:
               (L.Laddr = Laddr))

action: if for some (V:VMEntry) in N.VMs:
           (V.VMName = VM
            &
            V.Laddr = Laddr
            &
            V.Disconnected = false)
       then N.V.Disconnected <- true
           if LineAction = 'hold'
               then KernelCall [SendMessage(
                               RedirectLine[L.Laddr,
                                             AuthProcess],
                               NetworkProcess)]
           else KernelCall [SendMessage(
                               ClearLine[L.Laddr],
                               NetworkProcess)]
       end
       L.State <- Free
       L.CyclePosition <- ReEnablePending
       #Users <- #Users - 1
       else /* ignore */
   end

exits: N" L.State =
           if for some (V:VMEntry) in N.VMs:
               (V.VMName = VM
                &
                V.Laddr = Laddr
                &
                ~V.Disconnected)
           then Free
           else L.State
   end
```

```
N"!L.CyclePosition =
    if for some (V:VMEntry) in N.VMs:
        (V.VMName = VM
         &
         V.Laddr = Laddr
         &
         ~V.Disconnected)
        then ReEnablePending
        else L.CyclePosition
    end
N"#Users =
    if for some (V:VMEntry) in N.VMs:
        (V.VMName = VM
         &
         V.Laddr = Laddr
         &
         ~V.Disconnected)
        then #Users - 1
        else #Users
    end
N"PendingRequests =
    if for some (V:VMEntry) in N.VMs:
        (V.VMName = VM
         &
         V.Laddr = Laddr
         &
         ~V.Disconnected)
        then Append[PendingRequests,Entry]
        else PendingRequests
    end
    if for some (V:VMEntry) in N.VMs:
        (V.VMName = VM
         &
         V.Laddr = Laddr
         &
         ~V.Disconnected)
        then N"!V.Disconnected = true
    end
KernelCalled[SendMessage(NetworkProcess)]
where Entry = <MsgId = new[MessageId],
      Kind = if LineAction = 'hold'
              then ReDirectLine
              else ClearLine
      end,
      Command = Undefined,
      Responses = {Respondent = NetworkProcess,
                   Text = nil,
                   State = NoResponse}>
```

NKCP2: Logoff

```
given: Process: ProcessName
       VM: VirtualMachineName
       LineAction: string
       ReasonForLogoff: LogoffReasons

error on ~{(for some (N:NkcpEntry) in CurrentNkcps:
           (N.Process = Process
            &
            for some (V:VMEntry) in N.VMs:
              (V.VMName = VM)))}

action: KernelCall [DestroyVM(V.VMName)]
if OK
  then #Users <- #Users - 1
  #VMs <- #VMs - 1
  if V.Disconnected
    then case ReasonForLogoff:LogoffReasons of
        UserChoice:
          error

        Forced:
          KernelCall [SendMessage(
            ForcedLogoff[V.VMName],
            OpProcess)]

        Disconnected:
          KernelCall [SendMessage(
            DisconnLogoff[V.VMName],
            OpProcess)]
      end
    else if LineAction = 'hold'
      then KernelCall [SendMessage(
        ReDirectLine[
          V.Laddr,
          AuthProcess],
        NetworkProcess)]
    else KernelCall [SendMessage(
      ClearLine[V.Laddr],
      NetworkProcess)]
  end
L.State <- Free
L.CyclePosition <- ReEnablePending
```

```
case ReasonForLogoff:LogoffReasons of
  UserChoices:
    KernelCall [SendMessage(
      Logoff [
        V.VMName,
        V.Laddr,
        #Users],
      OpProcess)]

  Forced:
    KernelCall [SendMessage(
      ForcedLogoff[V.VMName],
      OpProcess)]

  Disconnected:
    error
end

for all (U:LineAddress) in V.Users:
  KernelCall [SendMessage(
    ClearLine[U],
    NetworkProcess)]
  KernelCall [SendMessage(
    Dropped[V,U],
    OpProcess)]
  #Users <- #Users - 1
  let (Line:LineEntry) in Lines:
    (Line.Laddr = U) in
    Line.State <- Free
    Line.CyclePosition <- ReEnablePending
  VMs <- Remove[VMs,V]
  KernelCall [SendMessage(
    SystemResourceUse[N.Process,VM],
    AcntProcess)]
else KernelCall [SendMessage(
  VMNotDestroyed[VM],
  N.Process)]
end

exit: N">#Users =
  if DestroyedVM
    then #Users = C"V.Users - 1
    else #Users
  end
N">#VMs =
  if DestroyedVM
    then #VMs = 1
    else #VMs
  end
```

```
N"VMs =
  if DestroyedVM
    &
    ~ V.Disconnected
    then Remove(N.VMs,V)
    else N.VMs
  end
N"PendingRequests =
  if DestroyedVM
    &
    ~ V.Disconnected
    then if LineAction = 'hold'
      then union of(
        Append(PendingRequests.Entry1),
        set: for all (U:LineAddress)
          in V.Users:
          Entry2)
      else union of (
        Append(PendingRequests.Entry2),
        set: for all (U:LineAddress)
          in V.Users:
          Entry2)
    end
    else PendingRequests
  end
for all (U:LineAddress) in V.Users:
  for some (Line:LineEntry) in Lines:
    (Line.Laddr = U
    &
    N"Line.State = Free
    &
    N"Line.CyclePosition = ReEnablePending)

KernelCalled[DestroyVM(V.VMName)]
if DestroyedVM
  then if V.Disconnected
    then KernelCalled[SendMessage(OpProcess)]
    else KernelCalled[SendMessage(OpProcess)]
      KernelCalled[SendMessage(
        NetworkProcess)]
      KernelCalled[SendMessage(
        NetworkProcess)]
      KernelCalled[SendMessage(
        AcntProcess)]
      for all (U:LineAddress) in V.Users:
        (KernelCalled[SendMessage(
          NetworkProcess)]
        KernelCalled[SendMessage(
          NetworkProcess)])
  else KernelCalled[SendMessage(N.Process)]
end
```

9 December 1977
Authorization Process

System Development Corporation
TM-6062/111/00

```
where Entry1 = <MsgId = new(MessageId),
      Kind = ReDirectLine,
      Command = Undefined,
      Responses = {Respondent = NetworkProcess,
                    Text = nil,
                    State = NoResponse}>
Entry2 = <MsgId = new(MessageId),
      Kind = ClearLine,
      Command = Undefined,
      Responses = {Respondent = NetworkProcess,
                    Text = nil,
                    State = NoResponse}>
```

OP1: Autolog

```
given: UserId: VirtualMachineName
      RequestedSecLevel: ProcessName
      Password: string
      AccessPassword: string

error on ~{for some (D:DirectoryEntry) in UserDirectory:
  (D.UserId = UserId
  &
  D.LogonPassword = Password
  &
  Dominates [D.MaxSecLevel, RequestedSecLevel]
  &
  Dominates [RequestedSecLevel, D.MinSecLevel]
  &
  D.IplDefined = true
  &
  for all (A:AccessPasswordEntry)
    in D.AccessPasswords:
    ((A.SecLevel = RequestedSecLevel) =>
     (A.Password = AccessPassword)))
  &
  ~ ShuttingDown
  &
  #VMs < #MaxVMs)

action: if for all (N:NkcpEntry) in CurrentNkcps:
  (N.Process == RequestedSecLevel)
  then if #Nkcps < #MaxNkcps
    &
    #VMs < #MaxVMs
    then KernelCall [CreateProcess(
      AddressSpaceSize,
      CodeSize,Code)]
    if OK
      then AddNkcpToSet [
        RequestedSecLevel]
      else KernelCall [SendMessage(
        NoNkcp,OpProcess)]
      exit
    end
    else KernelCall [SendMessage(NoNkcp,
      OpProcess)]
    exit
  end
  let (N:NkcpEntry) in CurrentNkcps:
  (N.Process = RequestedSecLevel) in
  KernelCall [CreateVM(UserId,N.Process)]
```

```
if OK
    then #VMs <- #VMs + 1
        #Users <- #Users + 1
        N.VMs <- Append(N.VMs, <VMName = Userid,
                           Laddr = ??,
                           Disconnected = true,
                           Users = nil>)
        KernelCall[SendMessage(
                        Autolog{User{Id,N.Process},
                                OpProcess})
                  /* AttachDevices{N,D}
                     PerformLinks{N,D} */
                  KernelCall[SendMessage(NewVM[],N.Process)]
                else KernelCall[SendMessage(NoVMSpace,OpProcess)]
                  KernelCall[SendMessage(
                                PurgeIfAble,N.Process)]
end
```

9 December 1977
Authorization Process

System Development Corporation
TM-6062/111/00

UR2 and OP2: Map user id

```
given: UserId: VirtualMachineName
      Requester: ProcessName

entry: Requester inset {OpProcess, URProcess}

action: if for some (N:NkcpEntry) in CurrentNkcps:
         for some (V:VMEntry) in N.VMs:
             (V.VMName = UserId)
             then KernelCall [SendMessage (UserIdMapped [
                 UserId, N.Process],
                 Requester)]
             else KernelCall [SendMessage (UserIdMapped [
                 UserId, nil],
                 Requester)]
         end

exit: KernelCalled [SendMessage (Requester)]
```

OP4b: Detach of shared device (by operator)

```
given: Raddr: DeviceAddress
entry: for some (S:SharableDriveEntry) in SharableDrives:
        S.Raddr = Raddr
action: case S.State:SharableDriveStatus of
        Available:
            KernelCall [SendMessage(
                Detached[S.Raddr],
                OpProcess)]
        OffLine:
            KernelCall [SendMessage(
                OffLine[S.Raddr],
                OpProcess)]
        AttachedToSystem:
            if for some (M:MiniDiskEntry) in MiniDisks:
                (M.ContainingVolume = S.MountedVolume
                 &
                 ~Empty(M.ProcessLinks))
            then KernelCall [SendMessage(
                NotCurrentlyDetachable[S.Raddr],
                OpProcess)]
            else KernelCall [IsDeviceReleasable(S.Raddr)]
            if OK
                then KernelCall [ReleaseDevice(
                    S.Raddr)]
            if OK
                then KernelCall [
                    SendMessage(
                        Detached[S.Raddr],
                        OpProcess)]
                S.State <- Available
                let (V:SharedVolumeEntry)
                    in SharedVolumes:
                    (V.MountedDevice
                     -
                     S.MountedVolume) in
                    V.State <- NotMounted
            else KernelCall [
                SendMessage(
                    NotDetached[
                        S.Raddr],
                    OpProcess)]
end
```

```
else KernelCall[SendMessage(
    NotCurrentlyDetachable[
        S.Raddr],
    OpProcess)]
end
end

exit: N"S.State =
    if S.State = AttachedToSystem
    &
    for all (M:MiniDiskEntry) in MiniDisks:
        (M.ContainingVolume = S.MountedVolume ->
            Empty[M.CurrentLinks])
    &
    DeviceIsReleasable
    &
    DeviceReleased
    then Available
    else S.State
end
if S.State = AttachedToSystem
&
for all (M:MiniDiskEntry) in MiniDisks:
    (M.ContainingVolume = S.MountedVolume ->
        Empty[M.CurrentLinks])
&
DeviceIsReleasable
&
DeviceReleased
    then for some (V:SharedVolumeEntry) in SharedVolumes:
        (V.MountedDevice = S.MountedVolume
        &
        N"V.State = NotMounted
        &
        N"V.MountedDevice = nil)

KernelCalled[SendMessage(OpProcess)]
if S.State = AttachedToSystem
    then if for all (M:MiniDiskEntry) in MiniDisks:
        (M.ContainingVolume = S.MountedVolume ->
            Empty[M.CurrentLinks])
    then KernelCalled[IsDeviceReleasable]
        if DeviceIsReleasable
            then KernelCalled[ReleaseDevice]
        end
    end
end
```

OP4a: Detach of nonshared device (by operator)

```
given: Raddr: DeviceAddress
entry: for some (NS:NonshareableDriveEntry)
       in NonshareableDrives:
       NS.Raddr = Raddr
action: case NS.State: DriveStatus of
        OffLine:
          KernelCall [SendMessage(
                        OffLine [NS.Raddr],
                        OpProcess)]
        DetachPending:
          /* ignore */
        Available:
          KernelCall [SendMessage(
                        Detached [NS.Raddr],
                        OpProcess)]
        AttachedToUser:
          KernelCall [SendMessage(
                        RelinquishDevice [NS.Raddr],
                        NS.AttachedProcess)]
        NS.State <- DetachPending
end
```

```
exit: N"NS.State =
      if NS.State = AttachedToUser
          then DetachPending
          else NS.State
      end
N"PendingRequests =
      if NS.State = AttachedToUser
          then Append(PendingRequests,Entry)
          else PendingRequests
      end

      if NS.State = AttachedToUser
          then KernelCall[SendMessage(NS.AttachedProcess)]
          else if NS.State inset {OffLine, Available}
              then KernelCalled[SendMessage(OpProcess)]
          end
      end

where Entry = <MsgId = new[MessageId],
      Kind = RelinquishDevice,
      Command = DETACH-RADDR,
      Responses = (<Respondent = NS.AttachedProcess,
                    Text = nil,
                    State = NoResponse)>
```

OPSA: Vary (both online and offline) of shared device

```
given: Raddr: DeviceAddress
       Parameter: string

entry: for some (S:SharableDriveEntry) in SharableDrives:
       S.Raddr = Raddr

error on Parameter ~inset ('online, 'offline')

action: if Parameter = 'online'
        then error on S.State == OffLine
              S.State <- Available
              KernelCall[SendMessage(
                  OnLine[S.Raddr],
                  OpProcess)]
        else /* parameter = 'offline' */
              error on S.State == AttachedToSystem
              S.State <- OffLine
              KernelCall[SendMessage(
                  OffLine[S.Raddr],
                  OpProcess)]
end

exit: N"S.State =
      if Parameter = 'online'
          then Available
          else OffLine
      end

KernelCalled[SendMessage(OpProcess)]
```

OPSb: Vary (both online and offline) of nonshared device

```
given: Raddr: DeviceAddress
       Parameter: string

entry: for some (NS:NonshareableDriveEntry)
       in NonshareableDrives:
         NS.Raddr = Raddr

error on Parameter ~inset {'online', 'offline'}

action: if Parameter = 'online'
         then error on NS.State ~= OffLine
               NS.State <- Available
               KernelCall [SendMessage(
                           OnLine(NS.Raddr),
                           OpProcess)]
         else /* parameter = 'offline' */
               error on NS.State ~inset {OffLine,
                                         Available}
               NS.State <- OffLine
               KernelCall [SendMessage(
                           OffLine(NS.Raddr),
                           OpProcess)]
end

exit: N"NS.State =
      if Parameter = 'online'
        then Available
        else OffLine
      end

      KernelCalled [SendMessage(OpProcess)]
```

9 December 1977
Authorization Process

System Development Corporation
TM-6062/111/00

OP6a: QUERY, with parameters:

DASD
LINES
GRAF
ALL
NAMES
USERS with no further parameter

entry: true

action: use table information to create message
KernelCall[SendMessage(Info,OpProcess)]

exit: KernelCalled[SendMessage(OpProcess)]

9 December 1977
Authorization Process

System Development Corporation
TM-6062/111/00

OP6b: QUERY, with parameters:

raddr
SYSTEM raddr

given: Raddr: DeviceAddress

error on ~(for some (S:SharableDriveEntry) in SharableDrives:
(S.Raddr = Raddr)

|
for some (NS:NonshareableDriveEntry)
in NonshareableDrives:
(NS.Raddr = Raddr))

action: use table information to create message
KernelCall[SendMessage(Info,OpProcess)]

exit: KernelCalled(SendMessage(OpProcess))

9 December 1977
Authorization Process

System Development Corporation
TM-6062/111/00

```

OP6c: QUERY, with parameters:
      USERS userid
      userid

      given: UserId: VirtualMachineName
      entry: true

      action: if for some (N:NkcpEntry) in CurrentNkcps:
              for some (V:VMEntry) in N.VMs:
                  V.VMName = UserId
                  then use table information to create message
                      KernelCall[SendMessage(Info,OpProcess)]
                  else KernelCall[SendMessage(NoSuchUser [UserId],
                                              OpProcess)]
      end

      exit: KernelCalled[SendMessage(OpProcess)]

```

9 December 1977
Authorization Process

System Development Corporation
TM-6062/111/00

OP7: LOCATE-RADDR

```
given: Raddr: DeviceAddress
entry: for some (NS:NonshareableDriveEntry)
        in NonshareableDrives:
        NS.Raddr = Raddr
actions: if NS.State = AttachedToUser
          then KernelCall [SendMessage(
                           Locate(NS.Raddr),
                           N.Process)]
          else KernelCall [SendMessage(
                           DeviceNotOwned(D.Raddr),
                           OpProcess)]
end

exit: N"PendingRequests =
      if NS.State = AttachedToUser
          then Append(PendingRequests,
                      <MsgId = new(MessageId),
                      Kind = OpRequest,
                      Command = LOCATE-RADDR,
                      Responses = [
                        <Respondent = NS.AttachedProcess,
                        Text = nil,
                        State = NoResponse>])
          else PendingRequests
      end

      if NS.State = AttachedToUser
          then KernelCalled(SendMessage(NS.AttachedProcess))
          else KernelCalled(SendMessage(OpProcess))
end
```

9 December 1977
Authorization Process

System Development Corporation
TM-6062/111/00

OP8: Shutdown

```
entry: true
action: ShuttingDown <- true
exit: N"ShuttingDown = true
```

OP3: Attach (nonshareable disk drive) Device

```
given: Raddr: DeviceAddress
       Process: ProcessName
       VolSecLevel: ProcessName
       Access: set of AccessModes

entry: for some (NS:NonshareableDriveEntry)
       in NonshareableDrives:
       (NS.Raddr = Raddr)

error on ~(for some (N:NkcpEntry) in CurrentNkcps:
           (N.Process = Process
            &
            for all (A:AttachedDeviceEntry)
                    in N.AttachedDevices:
                    (A.Raddr == Raddr)
            &
            NS.State = Free
            &
            Dominates[N.Process,VolSecLevel]
            &
            Dominates[NS.MaxSecLevel,N.Process]
            &
            Dominates[N.Process,NS.MinSecLevel]
            &
            Dominates[NS.MaxSecLevel,VolSecLevel]
            &
            Dominates[VolSecLevel,NS.MinSecLevel])
            &
            ~Empty[Access]
            &
            ~ ShuttingDown)
```

```
action: NS.Access <- Access
    if Write inset NS.Access
        &
        ValSecLevel == N.Process
        then NS.Access <- Remove(NS.Access,Write)
    end
    if Empty(NS.Access)
        then KernelCall[SendMessage(
            NoAccess(NS.Raddr,N.Process),
            OpProcess)]
    else KernelCall[GrantAccess(
        NS.Raddr,
        N.Process,
        NS.Access)]
        if GrantedAccess
            then KernelCall[SendMessage(
                Attached(NS.Raddr),
                N.Process)]
            KernelCall[SendMessage(
                Attached[
                    NS.Raddr,
                    NS.AttachedProcess],
                OpProcess)]
            NS.State <- Attached
            NS.AttachedProcess <- N.Process
            N.AttachedDevices <- Append[
                N.AttachedDevices,
                <Raddr = NS.Raddr,
                Access = NS.Access>]
        else KernelCall[SendMessage(
            CannotAttach[
                NS.Raddr,
                N.Process],
            OpProcess)]
    end
end
```

9 December 1977
Authorization Process

System Development Corporation
TM-6062/111/00

```
exit: N"NS.Access =
      if Write Inset Access
      &
      Vo!SecLevel == N.Process
      then Remove[Access,Write]
      else Access
      end
N"NS.State =
      if ~Empty[N"NS.Access]
      &
      GrantedAccess
      then Attached
      else NS.State
      end
N"NS.AttachedProcess =
      if ~Empty[N"NS.Access]
      &
      GrantedAccess
      then N.Process
      else NS.AttachedProcess
      end
N"N.AttachedDevices =
      if ~Empty[N"NS.Access]
      &
      GrantedAccess
      then Append(NS.AttachedDevices,
                  <Raddr = NS.Raddr,
                  Access = NS.Access>)
      else N.AttachedDevices
      end
      if Empty[N"NS.Access]
      then KernelCalled[SendMessage(OpProcess)]
      else KernelCalled[GrantAccess]
      if GrantedAccess
      then KernelCalled[SendMessage(N.Process)]
          KernelCalled[SendMessage(OpProcess)]
      else KernelCalled[SendMessage(OpProcess)]
      end
end
```

9 December 1977
Authorization Process

System Development Corporation
TM-6062/111/00

LRI: URProcess request: need Nkcp

```
given: RequestedSecLevel: ProcessName
Raddr: DeviceAddress

entry: true

error on for all (D:URPOwnedDeviceEntry) in URPOwnedDevices:
    D.Raddr == Raddr

action: let (D:URPOwnedDeviceEntry) in URPOwnedDevices:
    (D.Raddr = Raddr) in
    if for some (N:NkcpEntry) in CurrentNkcps:
        (N.Process = RequestedSecLevel)
        then KernelCall [SendMessage(
            AddedNkcp [N.Process, D.Raddr],
            URProcess)]
    else if Dominates(D.MaxSecLevel, RequestedSecLevel)
        &
        Dominates(RequestedSecLevel, D.MinSecLevel)
        &
        #Nkcps < #MaxNkcps
        then KernelCall [CreateProcess(
            AddressSpaceSize,
            CodeSize, Code)]
        if OK
            then AddNkcpToSet [RequestedSecLevel]
            KernelCall [SendMessage(
                AddedNkcp [
                    RequestedSecLevel,
                    D.Raddr],
                URProcess)]
        else KernelCall [SendMessage(
            CannotAddNkcp [
                RequestedSecLevel,
                D.Raddr],
            URProcess)]
    end
    else KernelCall [SendMessage(
        CannotAddNkcp [
            RequestedSecLevel,
            D.Raddr],
        URProcess)]
end
end
```

```
exit: N#Nkcps =
      if for all (N:NkcpEntry) in CurrentNkcps:
          (N.Process == RequestedSecLevel)
          &
          Dominates[D.MaxSecLevel,RequestedSecLevel]
          &
          Dominates[RequestedSecLevel,D.MinSecLevel]
          &
          #Nkcps < #MaxNkcps
          &
          CreatedProcess
          then #Nkcps + 1
          else #Nkcps
      end
N"CurrentNkcps =
      if for all (N:NkcpEntry) in CurrentNkcps:
          (N.Process == RequestedSecLevel)
          &
          Dominates[D.MaxSecLevel,RequestedSecLevel]
          &
          Dominates[RequestedSecLevel,D.MinSecLevel]
          &
          #Nkcps < #MaxNkcps
          &
          CreatedProcess
          then Append[CurrentNkcps,Entry]
          else CurrentNkcps
      end

      if for some (N:NkcpEntry) in CurrentNkcps:
          (N.Process = RequestedSecLevel)
          then KernelCalled[SendMessage(URProcess)]
          else if Dominates[D.MaxSecLevel,RequestedSecLevel]
          &
          Dominates[RequestedSecLevel,D.MinSecLevel]
          &
          #Nkcps < #MaxNkcps
          then KernelCalled[CreateProcess]
              if CreatedProcess
                  then KernelCalled[SendMessage(
                      URProcess)]
                  KernelCalled[SendMessage(
                      OpProcess)]
                  KernelCalled[SendMessage(
                      URProcess)]
              else KernelCalled[SendMessage(
                  URProcess)]
          end
          else KernelCalled[SendMessage(URProcess)]
      end
end
```

9 December 1977
Authorization Process

System Development Corporation
TM-6062/111/00

where Entry = <Process = RequestedSecLevel,
VMs = Empty,
AttachedDevices = Empty,
Links = Empty>

9 December 1977
Authorization Process

System Development Corporation
TM-6062/111/00

(UR3a: URProcess response to device attachment request (attach succeeded)

given: Raddr: DeviceAddress
Process: ProcessName
Laddr: LineAddress

error on ~(for some (A:URPOwnedDeviceEntry) in URPOwnedDevices:
(A.Raddr = Raddr)
&
for some (N:NkcpEntry) in CurrentNkcps:
(N.Process = Process)
&
for some (L:LineEntry) in Lines:
(L.Laddr = Laddr)
&
L.State = AttachValidation
&
L.CyclePosition = HookingPeripherals
&
L.#AwaitingHooks > 0))

action: L.#AwaitingHooks <- L.#AwaitingHooks - 1
L.Msg <- Concat(L.Msg,Aval1[A.Raddr])
TryNotifyingNkcp(L)

```
exit: N" L.#AwaitingHooks = L.#AwaitingHooks - 1
      N" L.Msg = Concat(L.Msg,Aval1(A.Raddr))
      N" L.CyclePosition =
          if N" L.AwaitingHooks = 0
              then NotifyingNkcp
          else L.CyclePosition
      end
      N" PendingRequests =
          if N" L.#AwaitingHooks = 0
              then Append(PendingRequests,Entry)
          else PendingRequests
      end

      if N" L.AwaitingHooks = 0
          then if ~L.LineDropped
              then KernelCalled(SendMessage(
                  NetworkProcess))
          end
          KernelCalled(SendMessage(N.Process))
      end

where Entry = <MsgId = new(MessageId),
        Kind = NewVM,
        Command = Undefined,
        Responses = (Respondent = NetworkProcess,
                     Text = nil,
                     State = NoResponse)>
```

9 December 1977
Authorization Process

System Development Corporation
TM-6062/111/00

UR3b: URProcess response to device attachment request (attach failed)

given: Raddr: DeviceAddress
Process: ProcessName
Laddr: LineAddress

error on ~{for some (A:URPOwnedDeviceEntry) in URPOwnedDevices:
 (A.Raddr = Raddr)
&
 for some (N:NkcpEntry) in CurrentNkcps:
 (N.Process = Process)
&
 for some (L:LineEntry) in Lines:
 (L.Laddr = Laddr)
 &
 L.State = AttachValidation
 &
 L.CyclePosition = HookingPeripherals
 &
 L.#AwaitingHooks > 0})

action: L.#AwaitingHooks <- L.#AwaitingHooks - 1
L.Msg <- Concat[L.Msg, Unavail[A.Raddr]]
TryNotifyingNkcp[L]

9 December 1977
Authorization Process

System Development Corporation
TM-6062/111/00

```
exit: N'L.#AwaitingHooks = L.#AwaitingHooks - 1
      N'L.Msg = Concat(L.Msg,Unavail[A.Raddr])
      N'L.CyclePosition =
          if N'L.AwaitingHooks = 0
              then NotifyingNkcp
          else L.CyclePosition
          end
      N'PendingRequests =
          if N'L.AwaitingHooks = 0
              then Append(PendingRequests,Entry)
          else PendingRequests
          end
      if N'L.AwaitingHooks = 0
          then if ~L.LineDropped
                  then KernelCalled(SendMessage(
                      NetworkProcess))
          end
          KernelCalled(SendMessage(N.Process))
      end
where Entry = <MsgId = new(MessageId),
      Kind = NewVM,
      Command = Undefined,
      Responses = {Respondent = NetworkProcess,
                   Text = nil,
                   State = NoResponse}>
```

9 December 1977
Authorization Process

System Development Corporation
TM-6062/111/00

NKCP3: Drop User

given: Process: ProcessName
VM: VirtualMachineName
Laddr: LineAddress

error on ~|for some (N:NkcpEntry) in CurrentNkcps:
(N.Process = Process
&
for some (V:VMEntry) in N.VMs:
(V.VMName = VM
&
for some (U:LineAddress) in V.Users:
U = Laddr))
&
for some (L:LineEntry) in Lines:
(L.Laddr = Laddr
&
L.State = Attached
&
L.Connection = Dial
&
L.AttachedVM = VM))

action: L.State <- Free
L.CyclePosition <- ReEnablePending
KernelCall [SendMessage(ClearLine[L.Laddr],
NetworkProcess)]
KernelCall [SendMessage(Dropped[VM,U],
OpProcess)]
N.V.Users <- Remove[N.V.Users,U]
KernelCall [SendMessage(
SystemResourceUse(N.Process,VM),
AcntProcess)]

exit: N" L.State = Free
N" L.CyclePosition = ReEnablePending
N" N.V.Users = Remove[N.V.Users,U]
N" PendingRequests = Append(PendingRequests,
<MsgId = new(MessageId),
Kind = ClearLine,
Command = Undefined,
Responses = {Respondent = NetworkProcess,
Text = nil,
State = NoResponse}>)
KernelCalled[SendMessage(NetworkProcess)]
KernelCalled[SendMessage(OpProcess)]
KernelCalled[SendMessage(AcntProcess)]

NTWK3: Link password received

```
given: Process: ProcessName
      Password: string
      Requester: VirtualMachineName
      Laddr: LineAddress
      User: VirtualMachineName
      MiniDisk: MiniDiskName
      ReqAccess: LinkAccess

entry: for some (L:LineEntry) in Lines:
       (L.Laddr = Laddr
        &
        L.State = Attached
        &
        L.CyclePosition = ReadLinkPassword)

error on ~(for some (N:NkcpEntry) in CurrentNkcps:
           (N.Process = Process))

action: KernelCall [SendMessage(
                      RedirectLine(L.Laddr,N.Process),
                      NetworkProcess)]
       L.CyclePosition <- Attached
       let (D:DirectoryEntry) in UserDirectory:
           (D.UserId = User) in
       if U.LinkPassword = Password
           then let (M:MiniDiskEntry) in MiniDisks:
               (M.MDName = MiniDisk) in
               let (V:SharedVolumeEntry) in SharedVolumes:
                   (V.Volume = M.ContainingVolume) in
                   if V.State = Mounted
                       then let (S:SharableDriveEntry)
                           in SharableDrives:
                               (S.Raddr = V.MountedDevice) in
                               if S.State = AttachedToSystem
                                   then /* WHEW! everything is OK
                                         to actually process the
                                         link request */
                                       let (A:ACLEntry)
                                           in M.AccessControlList:
                                               (A.User = Requester) in
                                               ExamineLinkAccess[N,M,
                                                               ReqAccess]
                                           else NoLink[DeviceNotReady]
                                       end
                                   else NoLink[VolumeNotMounted]
                               end
                           else NoLink[IllegalPassword]
                       end
                   end
               end
           end
```

9 December 1977
Authorization Process

System Development Corporation
TM-6062/111/00

macro NoLink [Reason] =

```
KernelCall [SendMessage(
    CannotLink{
        Requester,User,
        Laddr,MiniDisk,
        Reason},
    N.Process)]
```

9 December 1977
Authorization Process

System Development Corporation
TM-6062/111/00

```
macro ExamineLinkAccess[N:NkcpEntry in CurrentNkcps,
                        M:MiniDiskEntry in MiniDisks,
                        Access:LinkAccess] =
  case Access:LinkAccess of
    R:
      if for all (C:ProcessLinkEntry) in M.CurrentLinks:
        Write ~inset C.Access
        then Link[{Read}]
        else NoLink[PreviousWriteLink]
      end

    RR:
      Link[{Read}]

    W:
      if Write inset A.Access
        then if Empty(M.CurrentLinks)
          then Link[{Write}]
          else NoLink[PreviousLink]
        end
        else NoLink[NoWritePermission]
      end

    WR:
      if Write inset A.Access
        then if Empty(M.CurrentLinks)
          then Link[{Write}]
          else Link[{Read}]
        end
        else /* choices:   Link[{Read}]
              NoLink[NoWritePermission] */
          NoLink[NoWritePermission]
      end

    M:
      if Write inset A.Access
        then if for all (C:ProcessLinkEntry)
          in M.CurrentLinks:
            Write ~inset C.Access
            then Link[{Write}]
            else NoLink[PreviousWriteLink]
          end
        else NoLink[NoWritePermission]
      end
```

MR:

```
if Write inset A.Access
  then if for all (C:ProcessLinkEntry)
    in M.CurrentLinks:
      Write ~inset C.Access
      then Link [(Write)]
      else Link [(Read)]
    end
  else /* choices:   Link [(Read)]
        NoLink [NoWritePermission]  w/
        NoLink [NoWritePermission]
  end
```

MW:

```
if Write inset A.Access
  then Link [(Write)]
  else NoLink [NoWritePermission]
end
```

```
macro Link{Access:set of AccessModes} ~

/* given: N:NkcpEntry in CurrentNkcps
   M:MiniDiskEntry in MiniDisks */

if for some (C:ProcessLinkEntry) in M.CurrentLinks:
  C.Process = N.Process
  then /* process already has a link to this minidisk:
         increase rights if necessary */
    let (NC:MDLinkEntry) in N.Links:
      (NC.MDName = M.MDName) in
    if Access == C.Access
      then if Read in set Access
        &
        Read ~inset C.Access
        then /* in first cut of system,
               all links include read
               permission: should never
               get here */
          KernelCall[GrantAccess(
            N.Process, M.MDName, (Read))]
          if OK
            then C.Access <- Append[
              C.Access, Read]
              NC.Access <- Append[
                NC.Access, Read]
            else NoLink(NotOK)
          end
        end
      if Write in set Access
        &
        Write ~inset C.Access
        then KernelCall[GrantAccess(
          N.Process, M.MDName, (Write))]
        if OK
          then C.Access <- Append[
            C.Access, Write]
            NC.Access <- Append[
              NC.Access, Write]
          else NoLink(NotOK)
        end
      end
    else /* not necessary to increase rights
           then why did NKCP ask for it?? */
  end
end
```

9 December 1977
Authorization Process

System Development Corporation
TM-6062/111/00

```
else KernelCall(GrantAccess(
    N.Process, M.MDName, Access])
if OK
    then M.CurrentLinks <- Append(
        M.CurrentLinks,
        <Process = N.Process,
        Access = Access>)
    N.Links <- Append(
        N.Links,
        <MDName = M.MDName,
        Access = Access>)
else NoLink(NotOK)
end
KernelCall(SendMessage(
    LinkStatus(N),
    N.Process))
```

NKCP4: Link (with password)

```
given: Process: ProcessName
Requester: VirtualMachineName
Laddr: LineAddress
User: VirtualMachineName
MiniDisk: MiniDiskName
ReqAccess: LinkAccess

error on ShuttingDown
|
Empty(ReqAccess)

action: if for some (N:NkcpEntry) in CurrentNkcpa:
        (N.Process = Process
         &
         for some (E:VMEntry) in N.VMs:
             (E.VMName = Requester))
         &
         for some (L:LineEntry) in Lines:
             (L.Laddr = Laddr
              &
              L.State = Attached
              &
              L.CyclePosition = Attached
              &
              L.RequestedSecLevel = Process
              &
              L.AttachedVM = Requester)
         &
         for some (D:DirectoryEntry) in UserDirectory:
             (D.UserId = User
              &
              for some (K:MDLinkEntry) in D.Links:
                  (K.MDName = MiniDisk))
         &
         for some (M:MiniDiskEntry) in MiniDisks:
             (M.MDName = MiniDisk)
         &
```

```
for some (A:ACLEntry) in M.AccessControlList:  
  (A.User = Requester  
   &  
   Dominates(Process,M.SecLevel)  
   &  
   (WriteAccessRequested ->  
    Process = M.SecLevel))  
then /* it's legal: now check  
   for resource availability */  
  if for some (V:SharedVolumeEntry)  
    in SharedVolumes:  
      (V.Volume = M.ContainingVolume  
       &  
       V.State = Mounted  
       &  
       for some (S:SharableDriveEntry)  
         in SharableDrives:  
           (S.Raddr = V.MountedDevice  
            &  
            S.State = AttachedToSystem))  
  then /* resources are available:  
   get the link share password */  
    KernelCall(SendMessage(  
      RedirectLine(L.Laddr,AuthProcess),  
      NetworkProcess))  
    KernelCall(SendMessage(  
      ReadLinkPassword(L.Laddr),  
      NetworkProcess))  
    L.CyclePosition <- ReadLinkPassword  
  else /* resources are not available */  
    NoLink(ResourcesNotAvailable)  
  end  
else /* not a legal request */  
  NoLink(IllegalRequest)  
end  
  
exit: N" L.CyclePosition =  
      if Legal  
      &  
      ResourcesAvailable  
      then ReadLinkPassword  
      else L.CyclePosition  
    end  
  N" PendingRequests =  
    if Legal  
    &  
    ResourcesAvailable  
    then Append(PendingRequests,Entry)  
    else PendingRequests  
  end
```

9 December 1977
Authorization Process

System Development Corporation
TM-6062/111/00

where Entry = <MsgId = new(MessageId),
Kind = WriteAndReadLine,
Command = Undefined,
Responses = {Respondent = NetworkProcess,
Text = nil,
State = NoResponse}>

KernelCalled[SendMessage(NetworkProcess)]

9 December 1977
Authorization Process

System Development Corporation
TM-5062/111/00

NKCP5, NKCP6: Detach nonshareable device (request from process),
and response (from process) to relinquish device request from
authorization process

given: Raddr: DeviceAddress
Process: ProcessName
User: VirtualMachineName

error on ~{for some (N:NkcpEntry) in CurrentNkcp;
 (N.Process = Process
 &
 for some (VM:VMEEntry) in N.VMs:
 (VM.VMName = User)
 &
 for some (A:AttachedDeviceEntry)
 in N.AttachedDevices:
 (A.Raddr = Raddr))
 &
 for some (NS:NonshareableDriveEntry)
 in NonshareableDrives:
 (NS.Raddr = Raddr
 &
 NS.AttachedProcess = Process))

action: KernelCall(ReleaseDevice(NS.Raddr))
if DeviceReleased
then NS.State <- Available
 N.AttachedDevices <- Remove{
 N.AttachedDevices,
 A}
 KernelCall(SendMessage{
 Available(NS.Raddr),
 OpProcess})
 KernelCall(SendMessage{
 DeviceUse(User,NS.Raddr),
 AcntProcess})
else KernelCall(SendMessage{
 NotDetached(NS.Raddr,Process),
 OpProcess})
 KernelCall(SendMessage{
 NotDetached(NS.Raddr),
 NS.AttachedProcess})
end

9 December 1977
Authorization Process

System Development Corporation
TM-6062/111/00

```
exit: N"NS.State =  
      if DeviceReleased  
        then Available  
        else NS.State  
      end  
N"N.AttachedDevices =  
      if DeviceReleased  
        then Remove(N.AttachedDevices,A)  
        else N.AttachedDevices  
      end  
  
KernelCalled[ReleaseDevice(NS.Addr)]  
KernelCalled[SendMessage(NoProcess)]  
if DeviceReleased  
  then KernelCalled[SendMessage(AcntProcess)]  
  else KernelCalled[SendMessage(NS.AttachedProcess)]  
end
```

9 December 1977
Authorization Process

System Development Corporation
TM-6062/111/00

NKCP7: Purge NKCP

```
given: Process: ProcessName
entry: true
error on ~!(for some (N:NkcpEntry) in CurrentNkcps:
  (N.Process = Process
   &
   Empty[N.VMs]
   &
   Empty[N.AttachedDevices]
   &
   Empty[N.Links]))
exit: N"CurrentNkcps = Remove(CurrentNkcps,N)
```

KERN1: message from Kernel, re shared device availability

givens: Raddr: DeviceAddress
Volume: VolumeId
CurrentStatus: ShareableDriveStatus

error on ~((for some (S:ShareableDriveEntry) in ShareableDrives:
 (S.Raddr = Raddr
 &
 (CurrentStatus = AttachedToSystem =>
 (for some (V:SharedVolumeEntry)
 in SharedVolumes:
 (V.Volume = Volume))))))

actions: if S.State = AttachedToSystem
 then if CurrentStatus = AttachedToSystem
 then if Volume == S.MountedVolume
 then if for some (M:MiniDiskEntry)
 in MiniDisks:
 (M.ContainingVolume =
 S.MountedVolume
 &
 ~Empty(M.CurrentLinks))
 then /* security error:
 wrong volume
 in use */
 error
 else /* old volume not in
 use: OK to switch */
 let (Void:SharedVolumeEntry)
 in SharedVolumes:
 (Void.Volume =
 S.MountedVolume
 &
 Void.State = Mounted
 &
 Void.MountedDevice =
 S.Raddr) in
 let (Vnew:SharedVolumeEntry)
 in SharedVolumes:
 (Vnew.Volume = Volume) in

```
if Dominates[
    S.SecLevel,
    Vnew.SecLevel]
then S.MountedVolume <-
    Vnew.Volume
Void.State <-
    NotMounted
Void.MountedDevice <-
    nil
Vnew.State <-
    Mounted
Vnew.MountedDevice <-
    S.Raddr
KernelCall[DriveMatchesVolume(
    Raddr, Volume)]
else KernelCall[DriveDoesNotMatchVolume(
    Raddr, Volume)]
end
end
else /* OK: same volume,
        same state */
    KernelCall[DriveMatchesVolume(
        Raddr, Volume)]
end
else /* old state = attached to system,
        new state = not attached;
        update table entries */
    let (V:SharedVolumeEntry)
        in SharedVolumes:
        (V.Volume = S.MountedVolume
        &
        V.State = Mounted
        &
        V.MountedDevice = S.Raddr) in
        V.State <- NotMounted
        V.MountedDevice <- nil
        S.MountedVolume <- nil
        S.State <- CurrentStatus
end
```

9 December 1977
Authorization Process

System Development Corporation
TM-6062/111/00

```
else /* old state = not attached to system */
  if CurrentStatus = AttachedToSystem
    then let (V:SharedVolumeEntry)
        in SharedVolumes:
           (V.Volume = Volume) in
           if Dominates(S.SecLevel,V.SecLevel)
             then S.State <- AttachedToSystem
                 S.MountedVolume <- V.Volume
                 V.State <- Mounted
                 V.MountedDevice <- S.Raddr
                 KernelCall[
                   DriveMatchesVolume(
                     Raddr,Volume)]
                 else KernelCall[
                   DriveDoesNotMatchVolume(
                     Raddr,Volume)]
           end
           else S.State <- CurrentStatus
   end
end
```

9 December 1977
Accounting Process

System Development Corporation
TM-6062/111/00

Accounting Process
Semi-Formal Description

This section contains a semi-formal description of the Accounting Process of KVM/370.

Data Types

primitive types and structuring mechanisms:

```
boolean [unordered, two elements: true, false]
string [unbounded, predefined string of length zero: nil]
set [of any type, predefined empty set: nil]
record [field list]
```

undefined types:

```
VirtualMachineName
ProcessName
MessageId
```

AccountingRecord:

```
record
  User: VirtualMachineName
  Postings: set of string
end
```

Data Structures

Accounting: set of AccountingRecord

9 December 1977
Accounting Process

System Development Corporation
TM-6062/111/00

Initial Conditions

Empty[Accounting]

Invariant Assertions

```
for all (A1,A2:AccountingRecord) in Accounting:  
  (A1.User = A2.User => A1 = A2)  
&  
for all (A:AccountingRecord) in Accounting:  
  (~Empty[A.Postings])
```

Global Macros / Functions

primitive macros / functions:

Append[set.entry]

9 December 1977
Accounting Process

System Development Corporation
TM-6062/111/00

MsgAuth: process

/w subdriver of AcntProcess,
handling messages from AuthProcess w/

given: Text: string

entry: just received message, Source = AuthProcess

action: error on MsgName[Text] ~inset {SystemResourceUse,
DeviceUse}

AUTH1

end MsgAuth

9 December 1977
Accounting Process

System Development Corporation
TM-6062/111/00

MsgOp: process

```
/w subdriver of AcntProcess,  
    handling messages from OpProcess w/  
  
given: MsgId: MessageId  
       Text: string  
  
entry: just received message, Source = OpProcess  
  
action: error on MsgName(Text) ~inset {ACNT-PUNCH,  
                                SHUTDOWN}  
        OPI  
  
end MsgOp
```

9 December 1977
Accounting Process

System Development Corporation
TM-6062/111/00

```
AcntDriver: process
    /* driver of AcntProcess */
    case HowWeGotHere of
        ExternalInterrupt:
            case InterruptSubType of
                Message:
                    case Source of
                        OpProcess: MsgOp
                        Auth: MsgAuth
                        other: /* anybody else talk
                                with AcntProcess? */
                    end
                other:
                    /* any other external interrupts? */
                end
            other:
                /* any other important interrupt classes? */
        end
    KernelCall [Receive]Interrupts]
    KernelCall [ReleaseCPU]
end AcntDriver
```

9 December 1977
Accounting Process

System Development Corporation
TM-6062/111/00

AUTH1: Accounting record from Nkcp via Authorization Process:
System Resource Use or Device Use

```
given: User: VirtualMachineName
      Text: string

entry: true /* user id has been validated by AuthProcess
        prior to the sending of this message */

action: if for some (A:AccountingRecord) in Accounting:
         A.User = User
         then /* user id already exists in data base */
             A.Postings <- Append[A.Postings,Text]
         else /* user id does not exist in data base:
                add it */
             Accounting <- Append[Accounting,
                 <User = User,
                 Postings = Append[nil,Text]>]
         end

exit: for some (A:AccountingRecord) in Accounting:
       (A.User = User
       &
       for some (S:string) in A.Postings:
           S = Text)
```

9 December 1977
Accounting Process

System Development Corporation
TM-6062/111/00

OPI: Operator command to re-initialize the accounting data base

```
entry: true
exit: N"Accounting = nil
KernelCalled[SendMessage(OpProcess)]
```

Updater Process
Semi-Formal Description

This section contains a semi-formal description of the Updater Process of KVM/370.

Data Types

primitive types and structuring mechanisms:

```
boolean [unordered, two elements: true, false]
string [unbounded, predefined string of length zero: nil]
integer subrange

scalar [ordered element list]
set [of any type, predefined empty set: nil]
record [field list]
```

undefined types:

```
DeviceAddress
LineAddress
ProcessName
VirtualMachineName
VolumeId
```

undefined functions / macros:

```
Dominates
DeviceType
#Cylinders
```

AccessModes: scalar(
 Read,
 Write)

PossibleEntries: scalar(
 Paging,
 Spooling,
 MiniDisk,
 Unknown,
 System)

9 December 1977
Updater Process

System Development Corporation
TM-6062/111/00

DirectoryEntry:
record

 UserId: VirtualMachineName
 LogonPassword: string
 DialPassword: string
 LinkPassword: string
 MaxSecLevel: ProcessName
 MinSecLevel: ProcessName
 DedicatedDevices: set of DedicatedDeviceEntry
 Links: set of MDLinkEntry
 Ip1Defined: boolean
 AccessPasswords: set of AccessPasswordEntry

end

LineEntry:
record

 Laddr: LineAddress
 MinSecLevel: ProcessName
 MaxSecLevel: ProcessName

end

AccessPasswordEntry:
record

 SecLevel: ProcessName
 Password: string

end

DedicatedDeviceEntry:
record

 Raddr: DeviceAddress
 VolSecLevel: ProcessName
 Access: set of AccessModes

end

MDLinkEntry:
record

 MDName: MiniDiskName
 Access: set of AccessModes

end

URPOwnedDeviceEntry:
record

 Raddr: DeviceAddress
 MaxSecLevel: ProcessName
 MinSecLevel: ProcessName

end

9 December 1977
Updater Process

System Development Corporation
TM-6062/111/00

```
NonshareableDriveEntry:  
record  
    Raddr: DeviceAddress  
    MaxSecLevel: ProcessName  
    MinSecLevel: ProcessName  
end  
  
SharableDriveEntry:  
record  
    Raddr: DeviceAddress  
    SecLevel: ProcessName  
end  
  
SharedVolumeEntry:  
record  
    Volume: Volumoid  
    SecLevel: ProcessName  
    Map: set of CylMap  
end  
  
CylMap:  
record  
    Cylinders: (1..#MaxCylinders,  
                1..#MaxCylinders)  
    Category: PossibleEntries  
end  
  
MiniDiskEntry:  
record  
    MDName: MiniDiskName  
    ContainingVolume: Volumoid  
    Cylinders: (1..#MaxCylinders,  
                1..#MaxCylinders)  
    SecLevel: ProcessName  
    AccessControlList: set of ACLEntry  
end  
  
ACLEntry:  
record  
    User: VirtualMachineName  
    Access: set of AccessModes  
end
```

9 December 1977
Updater Process

System Development Corporation
TM-6062/111/00

Data Structures

```
constant #MaxCylinders: positive integer
URPOwnedDevices: set of URPOwnedDeviceEntry
NonshareableDrives: set of NonshareableDriveEntry
SharableDrives: set of SharableDriveEntry
SharedVolumes: set of SharedVolumeEntry
MiniDisks: set of MiniDiskEntry
Lines: set of LineEntry
UserDirectories: set of DirectoryEntry
```

```
entry: true
exit: DistinctDeviceAddresses
&
LegalUserDirectory
&
LegalLines
&
LegalMiniDisks
&
LegalSharedVolumes
&
LegalShareableDrives
&
LegalNonshareableDrives
&
LegalURPOwnedDevices
```

```
DistinctDeviceAddresses =
for all (U:URPOwnedDeviceEntry) in URPOwnedDevices:
  (for all (NS:NonshareableDriveEntry) in NonshareableDrives:
    (U.Raddr == NS.Raddr)
    &
    for all (S:ShareableDriveEntry) in ShareableDrives:
      (U.Raddr == S.Raddr))
  &
  for all (NS:NonshareableDriveEntry) in NonshareableDrives:
    for all (S:ShareableDriveEntry) in ShareableDrives:
      NS.Raddr == S.Raddr
```

9 December 1977
Updater Process

System Development Corporation
TM-6062/111/00

LegalUserDirectory =

```
for all (U1,U2:DirectoryEntry) in UserDirectory:  
  (U1.UserId = U2.UserId => U1 = U2)  
&  
for all (U:DirectoryEntry) in UserDirectory:  
  (Dominates(U.MaxSecLevel,U.MinSecLevel))  
&  
(1.1) LegalDedicatedDevices(U)  
&  
(1.2) LegalLinks(U)  
&  
(1.3) LegalAccessPasswords(U))
```

9 December 1977
Updater Process

System Development Corporation
TM-6062/111/00

```
LegalDedicatedDevices [U:DirectoryEntry] =
  for all (E1,E2:DedicatedDeviceEntry) in U.DedicatedDevices:
    (E1.Raddr = E2.Raddr => E1 = E2)
    &
    for all (E:DedicatedDeviceEntry) in U.DedicatedDevices:
      for some (D:URPOwnedDeviceEntry) in URPOwnedDevices:
        (D.Raddr = E.Raddr
        &
        (DeviceType[E.Raddr] = Reader =>
          (E.VolSecLevel = nil
          &
          E.Access = {Read}))
        &
        (DeviceType[E.Raddr] in set {Printer, Punch} =>
          (E.VolSecLevel = nil
          &
          E.Access = {Write}))
        &
        (DeviceType[Raddr] = TapeDrive =>
          (Dominates[D.MaxSecLevel,E.VolSecLevel]
          &
          Dominates[E.VolSecLevel,D.MinSecLevel]
          &
          Dominates[U.MaxSecLevel,E.VolSecLevel]
          &
          ~Empty[E.Access])))
        )
      xor
      for some (D:NonshareableDriveEntry) in NonshareableDrives:
        (D.Raddr = Raddr
        &
        Dominates[D.MaxSecLevel,E.VolSecLevel]
        &
        Dominates[E.VolSecLevel,D.MinSecLevel]
        &
        Dominates[U.MaxSecLevel,E.VolSecLevel]
        &
        ~Empty[E.Access]))
```

LegalLinks[U:DirectoryEntry] =

```
for all (L1,L2:MOLinkEntry) in U.Links:  
  (L1.MDName = L2.MDName => L1 = L2)  
&  
for all (L:MOLinkEntry) in U.Links:  
  for some (M:MiniDiskEntry) in MiniDisks:  
    (M.MDName = L.MDName  
    &  
    for some (A:ACLEntry) in M.AccessControlList:  
      (A.User = U.UserId  
      &  
      for all (AM:AccessModes) in L.Access:  
        AM in set A.Access)  
    &  
    Dominates(U.MaxSecLevel,M.SecLevel))  
&  
~Empty[L.Access]
```

9 December 1977
Updater Process

System Development Corporation
TM 6062/111/00

LegalAccessPasswords [U:DirectoryEntry] =

```
for all (A1,A2:AccessPasswordEntry) in U.AccessPasswords:  
  (A1.SecLevel = A2.SecLevel => A1 = A2)  
&  
for all (A:AccessPasswordEntry) in U.AccessPasswords:  
  (Dominates [U.MaxSecLevel,A.SecLevel])  
&  
  Dominates [A.SecLevel,U.MinSecLevel])
```

9 December 1977
Updater Process

System Development Corporation
TM-6062/111/00

LegalLines =

```
for all (L1,L2:LineEntry) in Lines:  
  (L1.Laddr = L2.Laddr => L1 = L2)  
&  
for all (L:LineEntry) in Lines:  
  Dominates(L.MaxSecLevel,L.MinSecLevel)
```

9 December 1977
Updater Process

System Development Corporation
TM-6062/111/00

LegalMiniDisks =

```
for all (M1,M2:MiniDiskEntry) in MiniDisks:  
  (M1.MDName = M2.MDName => M1 = M2)  
  &  
  for all (M:MiniDiskEntry) in MiniDisks:  
    (LegalContainingVolume(M)  
     &  
     M.Cylinders.1 < M.Cylinders.2  
     &  
     M.Cylinders.2 < #Cylinders(M.ContainingVolume)  
     &  
     M.Cylinders.1 < #Cylinders(M.ContainingVolume)  
     &  
     LegalAccessControlList(M))
```

9 December 1977
Updater Process

System Development Corporation
TM-6062/111/08

LegalContainingVolume(M:MiniDiskEntry) =
for some (S:SharedVolumeEntry) in SharedVolumes:
(S.Volume = M.ContainingVolume
&
Dominates(S.SecLevel,M.SecLevel))

9 December 1977
Updater Process

System Development Corporation
TM-6062/111/00

```
LegalAccessControlList(M:MiniDiskEntry) =  
    for all (A1,A2:ACLEntry) in M.AccessControlList:  
        (A1.User = A2.User => A1 = A2)  
    &  
    for all (A:ACLEntry) in M.AccessControlList:  
        (for some (D:DirectoryEntry) in UserDirectory:  
            (D.UserId = A.User)  
        &  
        ~Empty(A.Access))
```

9 December 1977
Updater Process

System Development Corporation
TM-6062/111/00

LegalSharedVolumes =

for all (S1,S2:SharedVolumeEntry) in SharedVolumes:
(S1.Volume = S2.Volume => S1 = S2)

&

for all (S:SharedVolumeEntry) in SharedVolumes:
(LegalMap(S))

(4.1)

9 December 1977
Updater Process

System Development Corporation
TM-6062/111/00

LegalMap(S:SharedVolumeEntry) =
/* non-overlap */
for all (M1,M2:CylMap) in S.Map:
 (M1.Cylinders.1 > M2.Cylinders.2
 or
 M1.Cylinders.2 < M2.Cylinders.1)
&
for all (M:CylMap) in S.Map:
 /* each entry non-empty */
 M.Cylinders.2 > M.Cylinders.1
&
 /* no cylinders unaccounted for */
 M.Cylinders.2 == #Cylinders[S] =>
 for some (M1:CylMap) in SMaps:
 M1.Cylinders.1 = M.Cylinders.2 + 1)
&
for some (M:CylMap) in S.Map:
 (M.Cylinders.1 = 1)
&
/* each MiniDisk actually logged */
for all (M:CylMap) in S.Map:
 (M.Category = MiniDisk =>
 for some (MD:MiniDiskEntry) in MiniDisks:
 (MD.ContainingVolume
 &
 MD.Cylinders = M.Cylinders))

9 December 1977
Updater Process

System Development Corporation
TM-6062/111/00

LegalSharableDrives =

for all (SD1,SD2:SharableDriveEntry) in SharableDrives:
SD1.Raddr = SD2.Raddr => SD1 = SD2

9 December 1977
Updater Process

System Development Corporation
TM-6062/111/00

LegalNonshareableDrives =

```
for all (NS1,NS2:NonshareableDriveEntry) in NonshareableDrives:  
  (NS1.Raddr = NS2.Raddr => NS1 = NS2)  
&  
for all (NS:NonshareableDriveEntry) in NonshareableDrives:  
  Dominates(NS.MaxSecLevel,NS.MinSecLevel)
```

9 December 1977
Updater Process

System Development Corporation
TM-6062/111/00

LegalURPOwnedDevices =

```
*      for all (U1,U2:URPOwnedDeviceEntry) in URPOwnedDevices:  
          (U1.Raddr = U2.Raddr => U1 = U2)  
&  
*      for all (U:URPOwnedDeviceEntry) in URPOwnedDevices:  
          (Dominates(U.MaxSecLevel,U.MinSecLevel))  
&  
          DeviceType(Raddr) in set {Reader,  
                                      Printer,  
                                      Punch,  
                                      TapeDrive})
```